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# HAUSDORFF DIMENSION OF UNIVOQUE SETS OF SELF-SIMILAR SETS WITH COMPLETE OVERLAPS

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## Abstract

Let  $\lambda \in (0, 1)$  and  $m \geq 3$  an integer. We consider the collection  $\mathcal{A}$  of homogeneous self-similar sets on the line such that every two of copies  $f_i(K), f_j(K)$  of the self-similar set  $K$  are either separated or overlapped with rank  $k$  in  $\{2, \dots, m\}$ . For  $K \in \mathcal{A}$  generated by  $n$  similitudes, we denote by  $n_j$  the number of overlaps with rank  $j \in \{2, \dots, m\}$ . The set of points in the self-similar set having a unique coding is called the univoque set and denoted by  $\mathcal{U}$ . In this paper, we investigate a uniform method to calculate the Hausdorff dimension of the set  $\mathcal{U}$ .

*Keywords:* Iterated Function System (IFS); Graph-Directed Self-Similar Set; Univoque Set; Configuration of Finite Pattern; Hausdorff Dimension.

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## 1. INTRODUCTION

Let  $\{g_j\}_{j=1}^n$  be an iterated function system (IFS) of similitudes defined on  $\mathbb{R}$  by

$$g_j(x) = r_j x + a_j,$$

where the similarity ratios  $r_j$  satisfy  $0 < |r_j| < 1$ , and  $a_j \in \mathbb{R}$ ,  $1 \leq j \leq n$ . Hutchinson<sup>1</sup> proved that there exists a unique non-empty compact set  $K \subset \mathbb{R}$  such that

$$K = \bigcup_{j=1}^n g_j(K).$$

We call  $K$  the self-similar set or the attractor generated by the IFS  $\{g_j\}_{j=1}^n$ . For any  $x \in K$ , there exists at least one sequence  $(i_k)_{k=1}^\infty \in \{1, \dots, n\}^{\mathbb{N}}$  such that

$$x = \lim_{k \rightarrow \infty} g_{i_1} \circ \dots \circ g_{i_k}(0) := \Pi((i_k)_{k=1}^\infty).$$

Thus,  $\Pi : \{1, \dots, n\}^{\mathbb{N}} \rightarrow K$  is surjective and continuous. We call such sequence a coding of  $x$ . A point  $x \in K$  is called univoque point if its coding is unique. We denote by  $\mathcal{U}$  the set of all univoque points in  $K$ . For the univoque set, in the setting of  $\beta$ -expansions, there are many results.<sup>2–4</sup> But there are few results in the setting of general self-similar sets.<sup>5,6</sup>

In this paper, we consider a class of overlapping self-similar sets as follows:

Fix an integer  $m \geq 3$  and fix a  $\lambda \in (0, 1)$ . Let  $\mathcal{A}$  be the collection of all self-similar sets  $K$  generated by the IFSs  $\{f_i(x) = \lambda x + b_i\}_{i=1}^n$ , where  $n \geq 3$  and  $b_i \in \mathbb{R}$  for every  $1 \leq i \leq n$ , satisfying the following conditions:

- (I)  $0 = b_1 < b_2 < \dots < b_n = 1 - \lambda$ ;
- (II)  $f_i([0, 1]) \cap f_j([0, 1]) = \emptyset$  for any  $1 \leq i < j \leq n$  with  $j - i \geq 2$ ;
- (III) There exist  $i, j \in \{1, \dots, n - 1\}$  such that
 
$$f_i([0, 1]) \cap f_{i+1}([0, 1]) = \emptyset \quad \text{and}$$

$$f_j([0, 1]) \cap f_{j+1}([0, 1]) \neq \emptyset;$$
- (IV) If  $f_i([0, 1]) \cap f_{i+1}([0, 1]) \neq \emptyset$ , then  $|f_i([0, 1]) \cap f_{i+1}([0, 1])| = \lambda^j$  with  $j \in \{2, 3, \dots, m\}$ , where  $|\cdot|$  stands for the length of an interval.

The above conditions (I)–(IV) imply the fact: for a  $K \in \mathcal{A}$ , if  $|f_i([0, 1]) \cap f_{i+1}([0, 1])| = \lambda^j$  with  $j \geq 2$ , then

$$\begin{aligned} K \cap (f_i([0, 1]) \cap f_{i+1}([0, 1])) \\ = f_{in^{j-1}}(K) = f_{(i+1)n^{j-1}}(K). \end{aligned}$$

This will be proved in Proposition 2.1. Thus we have  $\mathcal{U} \cap (f_i([0, 1]) \cap f_{i+1}([0, 1])) = \emptyset$ .

We now introduce some notations:

Let

$$\begin{aligned} n_j &:= \|\{1 \leq i \leq n - 1 : |f_i([0, 1]) \cap f_{i+1}([0, 1])| = \lambda^j\}\|, \quad j = 2, 3, \dots, m, \\ \Sigma &:= \sum_{j=2}^m n_j, \\ s_0 &:= \min\{1 \leq i \leq n - 1 : f_i([0, 1]) \cap f_{i+1}([0, 1]) = \emptyset\}, \\ t_0 &:= \max\{2 \leq i \leq n : f_{i-1}([0, 1]) \cap f_i([0, 1]) = \emptyset\}, \end{aligned} \tag{1}$$

where  $\|\cdot\|$  denotes the cardinality of a set. Thus,  $n - \Sigma$  is just the number of the connected components of  $\bigcup_{i=1}^n f_i([0, 1])$ .

We classify the digit set  $\{1, 2, \dots, n\}$ . For  $k, j \in \{2, \dots, m\}$  let

$$\begin{aligned} J_{kj} &:= \{1 \leq i \leq n : |f_i([0, 1]) \cap f_{i-1}([0, 1])| \\ &\quad = \lambda^k \text{ and } |f_i([0, 1]) \cap f_{i+1}([0, 1])| = \lambda^j\}, \\ J_{0j} &:= \{1 \leq i \leq n : f_i([0, 1]) \cap f_{i-1}([0, 1]) \\ &\quad = \emptyset \text{ and } |f_i([0, 1]) \cap f_{i+1}([0, 1])| = \lambda^j\}, \\ J_{k0} &:= \{1 \leq i \leq n : |f_i([0, 1]) \cap f_{i-1}([0, 1])| \\ &\quad = \lambda^k \text{ and } f_i([0, 1]) \cap f_{i+1}([0, 1]) = \emptyset\}, \\ J_{00} &:= \{1 \leq i \leq n : f_i([0, 1]) \cap f_{i-1}([0, 1]) \\ &\quad = f_i([0, 1]) \cap f_{i+1}([0, 1]) = \emptyset\}, \end{aligned} \tag{2}$$

where we adopt the convention that  $f_0([0, 1]) = f_{n+1}([0, 1]) = \emptyset$ .

Thus we have

$$\begin{aligned} \{1, 2, \dots, n\} \\ = J_{00} \cup \bigcup_{2 \leq k, j \leq m} (J_{kj} \cup J_{k0} \cup J_{0j}) \end{aligned}$$

with pairwise disjoint union.

It is easy to observe that for each  $i \in J_{0j}$  with  $j \in \{2, \dots, m\}$  there exists a unique  $i^* \in J_{k0}$  for some  $k \in \{2, \dots, m\}$  such that  $i < i^*$  and  $\bigcup_{l=i}^{i^*} f_l([0, 1])$  is a closed interval. We call  $i^*$  the dual of  $i$ .

Notice that

$$\begin{aligned} \sum_{j=2}^m \|J_{0j}\| &= \sum_{k=2}^m \|J_{k0}\|, \\ \|J_{00}\| + \sum_{j=2}^m \|J_{0j}\| &= n - \Sigma, \\ \sum_{j=0,2,3,\dots,m} \sum_{k=2}^m \|J_{kj}\| &= \Sigma, \end{aligned} \quad (3)$$

and

$$\begin{aligned} \sum_{j=0,2,3,\dots,m} \|J_{kj}\| \\ = n_k, \quad \text{for each } k = 2, 3, \dots, m. \end{aligned} \quad (4)$$

In this paper, we give a formula for the Hausdorff dimension of the univoque set  $\mathcal{U}$ .

**Theorem 1.1.** *Let  $K \in \mathcal{A}$ . Then*

$$\dim_H \mathcal{U} = \frac{\log \gamma}{-\log \lambda},$$

and  $\mathcal{H}^{\dim_H \mathcal{U}}(\mathcal{U}) > 0$ , where  $\gamma$  is the largest positive root of the equation:

(I)

$$\begin{aligned} x^m - nx^{m-1} + 2n_2x^{m-2} + 2n_3x^{m-3} \\ + 2n_4x^{m-4} + \cdots + 2n_{m-1}x + 2n_m = 0, \end{aligned}$$

when  $|f_1([0, 1]) \cap f_2([0, 1])| = |f_{n-1}([0, 1]) \cap f_n([0, 1])| = \emptyset$ ;

(II)

$$\begin{aligned} x^{m+t-1} - nx^{m+t-2} + (n_2x^{m-2} \\ + n_3x^{m-3} + \cdots + n_m)(2x^{t-1} - 1) = 0, \end{aligned}$$

when  $|f_1([0, 1]) \cap f_2([0, 1])| = \lambda^t$  and  $|f_{n-1}([0, 1]) \cap f_n([0, 1])| = \emptyset$ , or  $|f_{n-1}([0, 1]) \cap f_n([0, 1])| = \lambda^t$  and  $f_1([0, 1]) \cap f_2([0, 1]) = \emptyset$ , for some  $t \in \{2, 3, \dots, m\}$ ;

(III)

$$\begin{aligned} x^m(x^{t+q-2} - 1) + nx^{m-1}(1 - x^{t+q-2}) \\ + (n_2x^{m-2} + n_3x^{m-3} + \cdots \\ + n_m)(2x^{t+q-2} - x^{q-1} - x^{t-1}) = 0, \end{aligned}$$

when  $|f_1([0, 1]) \cap f_2([0, 1])| = \lambda^t$  and  $|f_{n-1}([0, 1]) \cap f_n([0, 1])| = \lambda^q$ , or  $|f_1([0, 1]) \cap f_2([0, 1])| = \lambda^q$  and  $|f_{n-1}([0, 1]) \cap f_n([0, 1])| = \lambda^t$ , for some  $t, q \in \{2, 3, \dots, m\}$ .

The rest of this paper is arranged as follows. In Sec. 2, we prove an important property of the collection  $\mathcal{A}$  and introduce the concept of configuration. The proof of Theorem 1.1 is given in Sec. 3.

## 2. PRELIMINARIES

In this section, we first give a property of the collection  $\mathcal{A}$ , and then introduce the concept of configuration set.<sup>7</sup>

**Lemma 2.1 (Ref. 8).** *The conditions (I) and (IV) imply that: If  $|f_i([0, 1]) \cap f_{i+1}([0, 1])| = \lambda^j$  for some  $1 \leq i \leq n-1$  and  $j \geq 2$  an integer, then*

$$f_{in^{j-1}}(x) = f_{(i+1)1^{j-1}}(x).$$

**Proof.** In fact, we have  $f_1(0) = 0$  and  $f_n(1) = 1$  by (I). Thus

$$\begin{aligned} |f_i([0, 1]) \cap f_{i+1}([0, 1])| \\ = |[f_{i+1}(0), f_i(1)]| = |[b_{i+1}, \lambda + b_i]| \\ = \lambda + b_i - b_{i+1} = \lambda^j. \end{aligned} \quad (5)$$

Let  $f_{in^{j-1}}(x) = \lambda^j x + \alpha$  and let  $f_{(i+1)1^{j-1}}(x) = \lambda^j x + \beta$ . Then

$$\lambda^j + \alpha = f_{in^{j-1}}(1) = f_i(1) = \lambda + b_i$$

and

$$\beta = f_{(i+1)1^{j-1}}(0) = f_{i+1}(0) = b_{i+1}.$$

Hence,  $\alpha = \beta$  by (5).  $\square$

Denote  $Q_{i,i+1} = f_i([0, 1]) \cap f_{i+1}([0, 1])$ . When  $Q_{i,i+1}$  is not empty, we denote by  $Q'_{i,i+1}$  the set obtained by deleting the right endpoint of  $Q_{i,i+1}$ , by  $Q''_{i,i+1}$  the set obtained by deleting the left endpoint of  $Q_{i,i+1}$ . We have  $Q'_{i,i+1} = Q''_{i,i+1} = \emptyset$  when  $Q_{i,i+1} = \emptyset$ .

**Lemma 2.2.** *Let  $K \in \mathcal{A}$ . Let  $|f_i([0, 1]) \cap f_{i+1}([0, 1])| = |Q_{i,i+1}| = \lambda^{u+1}$  for some  $u \in \mathbb{N}^+$ . Then:*

- (I) *If  $(f_i(K) \cap Q_{i,i+1}) \setminus (f_{i+1}(K) \cap Q_{i,i+1}) \neq \emptyset$ , then  $(f_{n-1}(K) \cap Q_{n-1,n}) \setminus (f_n(K) \cap Q_{n-1,n}) \neq \emptyset$ ;*
- (II) *If  $(f_{i+1}(K) \cap Q_{i,i+1}) \setminus (f_i(K) \cap Q_{i,i+1}) \neq \emptyset$ , then  $(f_2(K) \cap Q_{1,2}) \setminus (f_1(K) \cap Q_{1,2}) \neq \emptyset$ ;*
- (III) *Suppose that  $|f_{n-1}([0, 1]) \cap f_n([0, 1])| = |Q_{n-1,n}| = \lambda^{l+1}$  with  $l \in \mathbb{N}^+$ . If  $x \in (f_i(K) \setminus f_{i+1}(K)) \cap Q_{i,i+1}$ , then  $x$  has a unique coding  $in^{u-1}((n-1)n^{l-1})^\infty$ ;*
- (IV) *Suppose that  $|f_1([0, 1]) \cap f_2([0, 1])| = |Q_{1,2}| = \lambda^{h+1}$  with  $h \in \mathbb{N}^+$ . If  $x \in (f_{i+1}(K) \setminus f_i(K)) \cap Q_{i,i+1}$ , then  $x$  has a unique coding  $(i+1)1^{u-1}(21^{h-1})^\infty$ .*

**Proof.** (I) Take  $x \in (f_i(K) \cap Q_{i,i+1}) \setminus (f_{i+1}(K) \cap Q_{i,i+1})$ . Then the coding of  $x$  must begin with  $in^{u-1}$  and so  $x = f_{in^{u-1}}(y)$  with  $y \in f_n([0, 1]) \cap K$ . Since  $y \notin f_n(K)$ , we have  $y \in (f_{n-1}(K) \cap Q_{n-1,n})$ . Therefore,

$$(f_{n-1}(K) \cap Q_{n-1,n}) \setminus (f_n(K) \cap Q_{n-1,n}) \neq \emptyset.$$

(II) Take  $x \in (f_{i+1}(K) \cap Q_{i,i+1}) \setminus (f_i(K) \cap Q_{i,i+1})$ . Then the coding of  $x$  must begin with  $(i+1)1^{u-1}$  and so  $x = f_{(i+1)1^{u-1}}(y)$  with  $y \in f_1([0, 1]) \cap K$ . Since  $y \notin f_1(K)$ , we have  $y \in (f_2(K) \cap Q_{1,2})$ . Therefore,

$$(f_2(K) \cap Q_{1,2}) \setminus (f_1(K) \cap Q_{1,2}) \neq \emptyset.$$

(III) Take  $x \in (f_i(K) \cap Q_{i,i+1}) \setminus (f_{i+1}(K) \cap Q_{i,i+1})$ . Then the coding of  $x$  must begin with  $in^{u-1}$  and so  $x = f_{in^{u-1}}(y)$  with  $y \in f_n([0, 1]) \cap K$ . Since  $y \notin f_n(K)$ , we have  $y \in (f_{n-1}(K) \cap Q_{n-1,n})$ . Thus, the coding of  $y$  must begin with  $(n-1)n^{l-1}$ . Let  $y = f_{(n-1)n^{l-1}}(z)$  with  $z \in f_n([0, 1]) \cap K$ . Note that  $z \notin f_n(K)$ . We repeat the above process as done on  $y$ , we have  $z = f_{(n-1)n^{l-1}}(w)$  with  $w \in (f_n([0, 1]) \cap K) \setminus f_n(K)$ . Finally we have  $x$  has a unique coding  $in^{u-1}((n-1)n^{l-1})^\infty$ .

(IV) Take  $x \in (f_{i+1}(K) \cap Q_{i,i+1}) \setminus (f_i(K) \cap Q_{i,i+1})$ . Then the coding of  $x$  must begin with  $(i+1)1^{u-1}$  and so  $x = f_{(i+1)1^{u-1}}(y)$  with  $y \in f_1([0, 1]) \cap K$ . Since  $y \notin f_1(K)$ , we have  $y \in (f_2(K) \cap Q_{1,2})$ . Thus, the coding of  $y$  must begin with  $21^{h-1}$ . Let  $y = f_{21^{h-1}}(z)$  with  $z \in f_1([0, 1]) \cap K$ . Note that  $z \notin f_1(K)$ . We repeat the above process as done on  $y$ , we have  $z = f_{21^{h-1}}(w)$  with  $w \in (f_1([0, 1]) \cap K) \setminus f_1(K)$ . Finally we have  $x$  has a unique coding  $(i+1)1^{u-1}(21^{h-1})^\infty$ .  $\square$

**Corollary 2.1.** Let  $K \in \mathcal{A}$ . If  $|f_i([0, 1]) \cap f_{i+1}([0, 1])| = \lambda^j$  with  $j \geq 2$ , then

$$f_i(K) \cap Q_{i,i+1} = f_{i+1}(K) \cap Q_{i,i+1}.$$

**Proof.** Suppose that it is not true. Without loss of generality, assume that

$$(f_{i+1}(K) \cap Q_{i,i+1}) \setminus (f_i(K) \cap Q_{i,i+1}) \neq \emptyset.$$

From Lemma 2.2(II) and (IV) it follows that

$$(f_{i+1}(K) \cap Q_{i,i+1}) \setminus (f_i(K) \cap Q_{i,i+1})$$

$$= \{x\} \text{ and } x \text{ has a coding } (i+1)1^{j-2}(21^{h-1})^\infty.$$

Let  $x_k = f_{(i+1)1^{j-2}(21^{h-1})^k}(f_1(1))$ . Then  $x = \lim_{k \rightarrow \infty} x_k$ . Notice that

$$x_k = f_{(i+1)1^{j-2}(21^{h-1})^k}(f_1(1))$$

$$\begin{aligned} &= f_{(i+1)1^{j-2}(21^{h-1})^{k-1}}(f_{21^h}(1)) \\ &= f_{(i+1)1^{j-2}(21^{h-1})^{k-1}}(f_{1n^h}(1)) \\ &= f_{(i+1)1^{j-2}(21^{h-1})^{k-1}}(f_1(1)) \\ &= x_{k-1} = \cdots = f_{(i+1)1^{j-2}}(f_1(1)) \\ &= f_{(i+1)1^{j-1}}(1), \end{aligned} \tag{6}$$

where  $f_{(i+1)1^{j-1}}(1) = f_{in^{j-1}}(1) = f_i(1) \in f_i(K)$ , leading to a contradiction.  $\square$

**Proposition 2.1.** Let  $K \in \mathcal{A}$ . If  $|f_i([0, 1]) \cap f_{i+1}([0, 1])| = \lambda^j$  with  $j \geq 2$ , then

$$\begin{aligned} K \cap (f_i([0, 1]) \cap f_{i+1}([0, 1])) \\ = f_{in^{j-1}}(K) = f_{(i+1)1^{j-1}}(K). \end{aligned}$$

**Proof.** The second equality is obtained by Lemma 2.1. From the proof of Lemma 2.1 it follows that

$$f_{(i+1)1^{j-1}}(x) = \lambda^j x + b_{i+1} \text{ and so}$$

$$f_{(i+1)1^{j-1}}([0, 1]) = f_i([0, 1]) \cap f_{i+1}([0, 1]).$$

Thus

$$\begin{aligned} f_{(i+1)1^{j-1}}(K) &\subseteq K \cap (f_i([0, 1]) \cap f_{i+1}([0, 1])) \\ &= K \cap f_{(i+1)1^{j-1}}([0, 1]). \end{aligned}$$

From Corollary 2.1 it follows that

$$\begin{aligned} K \cap (f_i([0, 1]) \cap f_{i+1}([0, 1])) \\ &= (f_i(K) \cap Q_{i,i+1}) \cup (f_{i+1}(K) \cap Q_{i,i+1}) \\ &= f_i(K) \cap Q_{i,i+1} = f_{i+1}(K) \cap Q_{i,i+1}. \end{aligned} \tag{7}$$

Now take  $x \in K \cap (f_i([0, 1]) \cap f_{i+1}([0, 1])) = f_i(K) \cap Q_{i,i+1}$ . Then  $x$  has a coding begins with  $in^{j-2}$ . Let  $x = f_{in^{j-2}}(y)$  with  $y \in f_n([0, 1])$  and  $y \in K$ . Thus

$$\begin{aligned} y &\in f_n([0, 1]) \cap K \\ &= f_n([0, 1]) \cap (f_{n-1}(K) \cup f_n(K)) \\ &= (f_{n-1}(K) \cap Q_{n-1,n}) \cup f_n(K) \\ &= (f_n(K) \cap Q_{n-1,n}) \cup f_n(K) \end{aligned} \tag{8}$$

by Corollary 2.1. Thus  $x \in f_{in^{j-2}}(K)$ .  $\square$

The key idea of this paper is the configuration set.<sup>7</sup>

**Definition 2.1.** Suppose  $(X, d)$  is a compact metric space. Let  $|A|$  be the diameter of  $A \subset X$ , and  $\text{dist}(A, B) = \inf_{x \in A, y \in B} d(x, y)$ . We say that  $(X, d, \{\mathcal{D}^k\}_k, \{\delta_k\}_k)$  (for simplicity we may replace  $(X, d, \{\mathcal{D}^k\}_k, \{\delta_k\}_k)$  by  $X$ ) is a configuration set if

there exists a constant  $c \geq 1$  such that  $\{\delta_k\}_k$  is a decreasing sequence with  $\lim_{k \rightarrow \infty} \delta_k = 0$ ,  $\delta_{k+1} \geq c^{-1} \delta_k$  for all  $k$ ,  $\mathcal{D}^i$  consists of finitely many compact subsets of  $X$  for any  $i \geq 0$  with  $\mathcal{D}^0 = \{X\}$ , and for any  $A \in \mathcal{D}^k$ ,

$$c^{-1} \delta_k \leq |A| \leq c \delta_k,$$

and there exists some  $\mathcal{F}(A) \subset \mathcal{D}^{k+1}$  satisfying

$$A = \bigcup_{B \in \mathcal{F}(A)} B \quad \text{and} \quad \text{dist}(B, B') =$$

$$\geq c^{-1} \delta_k, \quad \forall B, B' \in \mathcal{F}(A) \text{ with } B \neq B'.$$

**Definition 2.2.** Let  $(X, d, \{\mathcal{D}^k\}_k, \{\delta_k\}_k)$  be a configuration set. We say that  $X$  is a configuration set of finite pattern if the following conditions are satisfied:

- (1)  $\delta_k = \lambda^k$  for some  $\lambda \in (0, 1)$ ;
- (2) there is a surjective label mapping  $\ell: \bigcup_{k=0}^{\infty} \mathcal{D}^k \rightarrow \{1, 2, \dots, m\}$  and a transition matrix  $M = (a_{ij})_{m \times m}$  such that for any  $1 \leq i, j \leq m$ , any  $k \geq 0$  and any  $A \in \mathcal{D}^k$  with  $\ell(A) = i$ ,

$$\|\{B \in \mathcal{F}(A) : \ell(B) = j\}\| = a_{ij}.$$

The following result was proved in Ref. 7.

**Theorem 2.1.** Suppose that  $X$  is a configuration set of finite pattern. Let  $\rho$  be the spectral radius of the transition matrix  $M$ . Then

$$\dim_H X = \dim_B X = s = \frac{\log \rho}{-\log \lambda},$$

and  $\mathcal{H}^s(X) > 0$ . Moreover, if the matrix  $M$  is irreducible, then

$$0 < \mathcal{H}^s(X) < \infty,$$

where  $\mathcal{H}^s(X)$  is the  $s$ -dimensional Hausdorff measure of the set  $X$ .

### 3. PROOF OF THEOREM 1.1

**Lemma 3.1.** Suppose that  $|f_1([0, 1]) \cap f_2([0, 1])| = \lambda^t$  and  $|f_{n-1}([0, 1]) \cap f_n([0, 1])| = \lambda^q$ , or  $|f_1([0, 1]) \cap f_2([0, 1])| = \lambda^q$  and  $|f_{n-1}([0, 1]) \cap f_n([0, 1])| = \lambda^t$  for some  $t, q \in \{2, 3, \dots, m\}$ , then

$$\dim_H \mathcal{U} = \frac{\log \gamma}{-\log \lambda},$$

where  $\gamma$  is the largest positive root of the equation

$$\begin{aligned} x^m(x^{t+q-2} - 1) + nx^{m-1}(1 - x^{t+q-2}) \\ + (n_2x^{m-2} + n_3x^{m-3} + \dots + n_m)(2x^{t+q-2} \\ - x^{q-1} - x^{t-1}) = 0. \end{aligned}$$

**Proof.** In the following we only consider the case  $|f_1([0, 1]) \cap f_2([0, 1])| = \lambda^t$  and  $|f_{n-1}([0, 1]) \cap f_n([0, 1])| = \lambda^q$  for some  $t, q \in \{2, 3, \dots, m\}$ . Thus  $1 \in J_{0t}$  and  $n \in J_{q0}$ . Without loss of generality we assume that  $t \leq q$ .

The proof of this lemma is arranged as follows:

- **Construction of sets  $\{H_i\}_{i=1}^{n+2m-4}$ :** We construct sets  $\{H_i\}_{i=1}^{n+2m-4}$  on the intervals  $\{[f_i(0), f_i(1)]\}_{i=1}^n$ .
- **Graph-directed self-similar set structure:** We show that there are non-empty compact sets  $\{E_i\}_{i=1}^{n+2m-4}$  such that  $E_i \subseteq H_i$  for every  $1 \leq i \leq n + 2m - 4$  and the set  $K^* := \bigcup_{i=1}^{n+2m-4} E_i$  is a graph-directed self-similar set. Then  $\mathcal{U} = K^*$  except for a countable set, hence  $\dim_H \mathcal{U} = \dim_H K^*$ .
- **Decomposition of the set  $K^*$ :** We decompose the set  $K^*$  into some groups and find the relation between this groups.
- **$K^*$  has a configuration structure:** We define a label mapping  $\ell$  and show that  $K^*$  has a configuration structure of finite pattern.  $\square$

#### Construction of sets $\{H_i\}_{i=1}^{n+2m-4}$ :

For the first interval  $[f_1(0), f_1(1)]$ , we insert the points  $f_{1k}(1), k = 2, \dots, m-1$  to get  $m-1$  number of sub-intervals as follows:

$$\begin{aligned} & [f_1(0), f_{1m-1}(1)] \setminus f_{1m-2}(Q''_{1,2}), \\ & [f_{1m-k+1}(1), f_{1m-k}(1)] \setminus f_{1m-k-1}(Q''_{1,2}) \end{aligned}$$

for  $k = 2, \dots, m-1$ .

We label them as  $1, \dots, m-1$  from the left to the right order, i.e.

$$\begin{aligned} H_1 &= [f_1(0), f_{1m-1}(1)] \setminus f_{1m-2}(Q''_{1,2}), \\ H_k &= [f_{1m-k+1}(1), f_{1m-k}(1)] \setminus f_{1m-k-1}(Q''_{1,2}), \end{aligned}$$

for  $k = 2, \dots, m-1$ .

For each of the middle  $n-2$  intervals  $f_k([0, 1])$ ,  $k = 2, \dots, n-1$ , we remove the intersections if there exist to get a new interval:

$$f_k([0, 1]) \setminus (Q'_{k-1,k} \cup Q''_{k,k+1}), \quad k = 2, \dots, n-1.$$

We label them as  $m, m+1, \dots, m+n-3$  from the left to the right order, i.e.

$$\begin{aligned} H_{m+k-2} &= f_k([0, 1]) \setminus (Q'_{k-1,k} \cup Q''_{k,k+1}), \\ k &= 2, \dots, n-1. \end{aligned} \tag{9}$$

For the last interval  $[f_n(0), f_n(1)]$ , we insert the points  $f_{nk}(0), k = 2, \dots, m-1$  to get  $m-1$  number

of sub-intervals as follows:

$$\begin{aligned} [f_{n^k}(0), f_{n^{k+1}}(0)] \setminus f_{n^{k-1}}(Q'_{n-1,n}) &\quad \text{for} \\ k = 1, \dots, m-2, \\ [f_{n^{m-1}}(0), f_n(1)] \setminus f_{n^{m-2}}(Q'_{n-1,n}). \end{aligned}$$

We label them as  $n+m-2, n+m-1, \dots, n+2m-4$  from the left to the right order, i.e.

$$H_{n+m+k-3} = [f_{n^k}(0), f_{n^{k+1}}(0)] \setminus f_{n^{k-1}}(Q'_{n-1,n}) \quad \text{for} \\ k = 1, \dots, m-2,$$

$$H_{n+2m-4} = [f_{n^{m-1}}(0), f_n(1)] \setminus f_{n^{m-2}}(Q'_{n-1,n}),$$

with the convention that  $f_{10}$  and  $f_{n^0}$  are the identity.

#### Graph-directed self-similar set structure:

Note that in (9), for  $i = 2, \dots, n-1$

$$\begin{aligned} H_{m+i-2} &= f_i([0, 1]) \setminus (Q'_{i-1,i} \cup Q''_{i,i+1}) \\ &= \begin{cases} [f_i(0), f_i(1)] & \text{if } i \in J_{00}, \\ [f_i(0), f_{in^{j-1}}(0)] & \text{if } i \in J_{0j} \setminus \{1\}; 2 \leq j \leq m, \\ [f_{i1^{k-1}}(1), f_i(1)] & \text{if } i \in J_{k0} \setminus \{n\}; 2 \leq k \leq m, \\ [f_{i1^{k-1}}(1), f_{in^{j-1}}(0)] & \text{if } i \in J_{kj}; 2 \leq k, j \leq m. \end{cases} \end{aligned}$$

Then we have

$$\begin{aligned} H_{m+i-2} &= \begin{cases} f_i \left( \bigcup_{l=1}^{n+2m-4} H_l \right) & \text{if } i \in J_{00}, \\ f_i \left( \bigcup_{l=1}^{n+m+j-5} H_l \right) & \text{if } i \in J_{0j} \setminus \{1\}; 2 \leq j \leq m, \\ f_i \left( \bigcup_{l=m-k+2}^{n+2m-4} H_l \right) & \text{if } i \in J_{k0} \setminus \{n\}; 2 \leq k \leq m, \\ f_i \left( \bigcup_{l=m-k+2}^{n+m+j-5} H_l \right) & \text{if } i \in J_{kj}; 2 \leq k, j \leq m, \end{cases} \\ &\quad (10) \end{aligned}$$

and

$$\begin{cases} H_1 \supseteq f_1(H_1 \cup H_2), \\ H_k \supseteq f_1(H_{k+1}); \\ k = 2, \dots, m-2, \\ H_{m-1} \supseteq f_1 \left( \bigcup_{l=m}^{n+m+t-5} H_l \right), \\ H_{n+m-2} \supseteq f_n \left( \bigcup_{l=m-q+2}^{n+m-3} H_l \right), \\ H_{n+m+k-3} \supseteq f_n(H_{n+m+k-4}); \\ k = 2, \dots, m-2, \\ H_{n+2m-4} \supseteq f_n(H_{n+2m-5} \cup H_{n+2m-4}). \end{cases} \quad (11)$$

Hence, from (10) and (11) we conclude that there are non-empty compact sets  $E_i \subseteq H_i, 1 \leq i \leq n+2m-4$ , i.e. a graph-directed self-similar set, satisfying

$$\begin{aligned} E_{m+i-2} &= \begin{cases} f_i \left( \bigcup_{l=1}^{n+2m-4} E_l \right) & \text{if } i \in J_{00}, \\ f_i \left( \bigcup_{l=1}^{n+m+j-5} E_l \right) & \text{if } i \in J_{0j} \setminus \{1\}; 2 \leq j \leq m, \\ f_i \left( \bigcup_{l=m-k+2}^{n+2m-4} E_l \right) & \text{if } i \in J_{k0} \setminus \{n\}; 2 \leq k \leq m, \\ f_i \left( \bigcup_{l=m-k+2}^{n+m+j-5} E_l \right) & \text{if } i \in J_{kj}; 2 \leq k, j \leq m, \end{cases} \\ &\quad (12) \end{aligned}$$

and

$$\begin{cases} E_1 = f_1(E_1 \cup E_2), \\ E_k = f_1(E_{k+1}); \\ k = 2, \dots, m-2, \\ E_{m-1} = f_1 \left( \bigcup_{l=m}^{n+m+t-5} E_l \right), \\ E_{n+m-2} = f_n \left( \bigcup_{l=m-q+2}^{n+m-3} E_l \right), \\ E_{n+m+k-3} = f_n(E_{n+m+k-4}); \\ k = 2, \dots, m-2, \\ E_{n+2m-4} = f_n(E_{n+2m-4} \cup E_{n+2m-5}). \end{cases} \quad (13)$$

Let  $K^* = \bigcup_{i=1}^{n+2m-4} E_i \subset K$ . From Proposition 2.1 it follows that

$$\begin{aligned} \mathcal{U} \cap \left( \bigcup_{i=1}^{n-1} Q_{i,i+1} \cup \bigcup_{k=1}^{m-2} f_{1^k}(Q_{1,2}) \right. \\ \left. \cup \bigcup_{k=1}^{m-2} f_{n^k}(Q_{n-1,n}) \right) = \emptyset. \end{aligned}$$

This means  $\mathcal{U} \subseteq K^*$ . In fact, we have  $K^* \setminus \mathcal{U}$  is countable. One can refer to Ref. 8 for more information.

### Decomposition of the set $K^*$ :

As we know, determining the Hausdorff dimension of  $K^*$  by a routine way requires calculating the spectral radius of a  $(n+2m-4) \times (n+2m-4)$  incidence matrix. To reduce the size of this matrix, we group some parts of  $K^*$  to show that it is a configuration set of finite pattern defined in Definitions 2.1 and 2.2 (see also Ref. 7).

Note that

$$\begin{aligned} \bigcup_{l=1}^{m-1} E_l &= f_1 \left( \bigcup_{l=1}^{n+m-5} E_l \right), \\ \bigcup_{l=n+m-2}^{n+2m-4} E_l &= f_n \left( \bigcup_{l=m-q+2}^{n+2m-4} E_l \right). \end{aligned}$$

Denote

$$\begin{aligned} L &= \bigcup_{l=1}^{m+s_0-2} E_l, & M &= \bigcup_{l=m+s_0-1}^{m+t_0-3} E_l, \\ R &= \bigcup_{l=m+t_0-2}^{n+2m-4} E_l, & A &= \bigcup_{l=m}^{m+s_0-2} E_l, \\ B &= \bigcup_{l=m+t_0-2}^{n+m-3} E_l, \end{aligned} \quad (14)$$

and for  $p = 2, 3, \dots, m+1$ ,

$$L_p = \bigcup_{l=m-p+2}^{m-1} E_l, \quad R_p = \bigcup_{l=n+m-2}^{n+m+p-5} E_l, \quad (15)$$

with the convention that  $L_2 := \emptyset, R_2 := \emptyset$ . Hence  $L_{m+1} \cup A = L, B \cup R_{m+1} = R$ . Then we can rewrite

(12) and (13) as

$$E_{m+i-2} = \begin{cases} f_i(L \cup M \cup R) & \text{if } i \in J_{00}, \\ f_i(L \cup M \cup B \cup R_j) & \text{if } i \in J_{0j} \setminus \{1\}; j \in \{2, \dots, m\}, \\ f_i(L_k \cup A \cup M \cup R) & \text{if } i \in J_{k0} \setminus \{n\}; k \in \{2, \dots, m\}, \\ f_i(L_k \cup A \cup M \cup B \cup R_j) & \text{if } i \in J_{kj}; k, j \in \{2, \dots, m\}. \end{cases} \quad (16)$$

$$\begin{cases} E_1 = f_{1^{m-1}}(L \cup M \cup B \cup R_t), \\ E_l = f_{1^{m-l}}(A \cup M \cup B \cup R_t); \\ l = 2, 3, \dots, m-1, \\ E_{n+2m-4} = f_{n^{m-1}}(L_q \cup A \cup M \cup R), \\ E_{n+m+l-3} = f_{n^l}(L_q \cup A \cup M \cup B); \\ l = 1, 2, \dots, m-2. \end{cases} \quad (17)$$

Now we show the relation between the groups of  $K^*$ :

**Statement 1.** We have (I)

$$\begin{aligned} A &= \bigcup_{k=2}^m \bigcup_{j=0,2,\dots,m} \bigcup_{i \in J_{kj} \cap \{3, \dots, s_0\}} \{f_{(i-1)}(B \cup R_k) \\ &\quad \cup f_i(L_k \cup A \cup M)\} \\ &\quad \cup \{f_2(L_t \cup A \cup M) \cup f_{s_0}(R)\}; \\ B &= \bigcup_{k=2}^m \bigcup_{j=2}^m \bigcup_{i \in J_{kj} \cap \{t_0+1, \dots, n-1\}} \{f_{(i-1)}(B \cup R_k) \\ &\quad \cup f_i(L_k \cup A \cup M)\} \\ &\quad \cup \{f_{t_0}(L \cup M) \cup f_{(n-1)}(B \cup R_q)\}; \end{aligned}$$

- (II)  $L_p = f_1(A \cup M \cup B \cup R_t) \cup f_1(L_{p-1}), p = 3, 4, \dots, m$ , while  $L_{m+1} = f_1(L \cup M \cup B \cup R_t)$ ;
- (III)  $R_p = f_n(L_q \cup A \cup M \cup B) \cup f_n(R_{p-1}), p = 3, 4, \dots, m$ , while  $R_{m+1} = f_n(L_q \cup A \cup M \cup R)$ ;
- (IV)  $L = f_1(L \cup M \cup B \cup R_t) \cup A$ ;
- (V)  $R = B \cup f_n(L_q \cup A \cup M \cup R)$ ;
- (VI)

$$M = \bigcup_{i \in J_{00}} f_i(L \cup M \cup R) \cup \bigcup_{j=2}^m \bigcup_{i \in J_{0j} \cap \{s_0+1, \dots, t_0-2\}} \{f_i(L \cup M) \cup f_i^*(R)\}$$

$$\begin{aligned} & \cup \bigcup_{j=0,2,\dots,m} \bigcup_{k=2}^m \\ & \quad \bigcup_{i \in J_{kj} \cap \{s_0+2,\dots,t_0-1\}} \{f_{(i-1)}(B \cup R_k) \\ & \quad \cup f_i(L_k \cup A \cup M)\}, \end{aligned}$$

where we adopt the convention  $\{i, \dots, j\} = \emptyset$  when  $i > j$ .

**Proof of Statement 1.** (I) By (14), (16) we have

$$\begin{aligned} A &= \bigcup_{l=m}^{m+s_0-2} E_l = \bigcup_{l=m}^{m+s_0-3} E_l \cup E_{m+s_0-2} = \bigcup_{k=2}^m \bigcup_{j=2}^m \\ &\quad \bigcup_{i \in J_{kj} \cap \{2,\dots,s_0-1\}} f_i(L_k \cup A \cup M \cup B \cup R_j) \\ &\quad \cup \bigcup_{k=2}^m \bigcup_{i \in J_{k0} \cap \{s_0\}} f_i(L_k \cup A \cup M \cup R) \\ &= \bigcup_{k=2}^m \bigcup_{j=0,2,\dots,m} \bigcup_{i \in J_{kj} \cap \{3,\dots,s_0\}} \{f_{(i-1)}(B \cup R_k) \\ &\quad \cup f_i(L_k \cup A \cup M)\} \\ &\quad \cup \{f_2(L_t \cup A \cup M) \cup f_{s_0}(R)\}, \\ B &= \bigcup_{l=m+t_0-2}^{n+m-3} E_l = E_{m+t_0-2} \cup \bigcup_{l=m+t_0-1}^{n+m-3} E_l \\ &= \bigcup_{j=2}^m \bigcup_{i \in J_{0j} \cap \{t_0\}} f_i(L \cup M \cup B \cup R_j) \cup \bigcup_{k=2}^m \\ &\quad \bigcup_{j=2}^m \bigcup_{i \in J_{kj} \cap \{t_0+1,\dots,n-1\}} f_i(L_k \cup A \cup M \cup B \cup R_j) \\ &= \bigcup_{k=2}^m \bigcup_{j=2}^m \bigcup_{i \in J_{kj} \cap \{t_0+1,\dots,n-1\}} \{f_{(i-1)}(B \cup R_k) \\ &\quad \cup f_i(L_k \cup A \cup M)\} \\ &\quad \cup \{f_{t_0}(L \cup M) \cup f_{(n-1)}(B \cup R_q)\}. \end{aligned}$$

By (13)–(15) and (17) we have

$$\begin{aligned} L_p &= \bigcup_{l=m-p+2}^{m-1} E_l \\ &= E_{m-1} \cup \bigcup_{l=m-p+2}^{m-2} E_l \end{aligned}$$

$$\begin{aligned} &= f_1(A \cup M \cup B \cup R_t) \\ &\quad \cup f_1 \left( \bigcup_{l=m-p+3}^{m-1} E_l \right) \\ &= f_1(A \cup M \cup B \cup R_t) \\ &\quad \cup f_1(L_{p-1}), \text{ for } p = 3, \dots, m, \end{aligned}$$

$$\begin{aligned} L_{m+1} &= \bigcup_{l=1}^{m-1} E_l \\ &= f_1 \left( \bigcup_{l=1}^{m+s_0-2} E_l \right) \\ &\quad \cup \bigcup_{l=m+s_0-1}^{m+t_0-3} E_l \cup \bigcup_{l=m+t_0-2}^{n+m+t-5} E_l \\ &= f_1(L \cup M \cup B \cup R_t), \end{aligned}$$

and

$$\begin{aligned} R_p &= \bigcup_{l=n+m-2}^{n+m+p-5} E_l = E_{n+m-2} \cup \bigcup_{l=n+m-1}^{n+m+p-5} \\ &E_l = f_n(L_q \cup A \cup M \cup B) \cup f_n \left( \bigcup_{l=n+m-2}^{n+m+p-6} E_l \right) \\ &= f_n(L_q \cup A \cup M \cup B) \\ &\quad \cup f_n(R_{p-1}), \text{ for } p = 3, \dots, m, \\ R_{m+1} &= \bigcup_{l=n+m-2}^{n+2m-4} \\ &E_l = f_n \left( \bigcup_{l=m-q+2}^{m-1} E_l \cup \bigcup_{l=m}^{m+s_0-2} E_l \cup \right. \\ &\quad \left. \bigcup_{l=m+s_0-1}^{m+t_0-3} E_l \cup \bigcup_{l=m+t_0-2}^{n+2m-4} E_l \right) \\ &= f_n(L_q \cup A \cup M \cup R), \end{aligned}$$

which proves (II) and (III).

The proof of (IV) and (V) is direct from (II), (III) and the facts  $L = L_{m+1} \cup A$ ,  $R = B \cup R_{m+1}$ .

(VI) By (14), (16) we have

$$M = \bigcup_{l=m+s_0-1}^{m+t_0-3}$$

$$\begin{aligned}
 E_l &= \bigcup_{i \in J_{00}} f_i(L \cup M \cup R) \cup \bigcup_{j=2}^m \\
 &\quad \bigcup_{i \in J_{0j} \cap \{s_0+1, \dots, t_0-2\}} f_i(L \cup M \cup B \cup R_j) \\
 &\cup \bigcup_{k=2}^m \bigcup_{i \in J_{k0} \cap \{s_0+2, \dots, t_0-1\}} f_i(L_k \cup A \cup M \cup R) \\
 &\cup \bigcup_{k=2}^m \bigcup_{j=2}^m \\
 &\quad \bigcup_{i \in J_{kj} \cap \{s_0+2, \dots, t_0-2\}} f_i(L_k \cup A \cup M \cup B \cup R_j) \\
 &= \bigcup_{i \in J_{00}} f_i(L \cup M \cup R) \cup \bigcup_{j=2}^m \\
 &\quad \bigcup_{i \in J_{0j} \cap \{s_0+1, \dots, t_0-2\}} \{f_i(L \cup M) \cup f_{i^*}(R)\} \\
 &\cup \bigcup_{k=2}^m \bigcup_{j=0,2,\dots,m} \\
 &\quad \bigcup_{i \in J_{kj} \cap \{s_0+2, \dots, t_0-1\}} \{f_i(L_k \cup A \cup M) \\
 &\quad \cup f_{(i-1)}(B \cup R_k)\}.
 \end{aligned}$$

### $K^*$ has a configuration structure:

Now we are ready to construct the collections  $\{\mathcal{D}^k\}_{k \geq 0}$  and to establish a label mapping  $\ell : \bigcup_{k \geq 0} \mathcal{D}^k \rightarrow \{1, 2, \dots, m+t+q-2\}$ . We first define the label mapping  $\ell$  on certain subsets of  $K^*$ , and then construct the collections  $\{\mathcal{D}^k\}_{k \geq 0}$  according to  $\ell$ . A compact subset  $A \subseteq K^*$  is said to be of pattern  $k$  if  $\ell(A) = k$ .

Define the mapping  $\ell$  as follows: for any  $I, J \in \bigcup_{s=0}^{\infty} \{1, 2, \dots, n\}^s$  with same length

$$\left\{
 \begin{array}{l}
 \ell(f_I(L \cup M) \cup f_J(R)) = 1, \\
 \ell(f_I(B \cup R_p) \cup f_J(L_p \cup A \cup M)) = p; \\
 \quad p = 2, \dots, m, \\
 \ell(f_I(L_{t-p+1} \cup A \cup M) \cup f_J(R)) = m+p; \\
 \quad p = 1, \dots, t-1, \\
 \ell(f_I(L \cup M) \cup f_J(B \cup R_{q-p+1})) = m+t+p-1; \\
 \quad p = 1, \dots, q-1,
 \end{array}
 \right. \quad (18)$$

with the convention that  $f_I$  and  $f_J$  are the identity when  $s = 0$ .

Let  $\mathcal{D}^0 = \{K^*\}$  and so  $\ell(K^*) = 1$  by (14) and (18). We call  $K^*$  the 0-level pattern 1 set.

The set  $K^*$  can be decomposed into a union of disjoint subsets, i.e.

$$\begin{aligned}
 K^* &= \bigcup_{i \in J_{00}} f_i \left( \bigcup_{l=1}^{n+2m-4} E_l \right) \cup \bigcup_{j=2}^m \bigcup_{i \in J_{0j}} \left\{ f_i \left( \bigcup_{l=1}^{m+t_0-3} E_l \right) \right. \\
 &\quad \left. \cup f_{i^*} \left( \bigcup_{l=m+t_0-2}^{n+2m-4} E_l \right) \right\} \cup \bigcup_{k=2}^m \bigcup_{j=0,2,3,\dots,m} \\
 &\quad \bigcup_{i \in J_{kj}} \left\{ f_{(i-1)} \left( \bigcup_{l=m+t_0-2}^{n+m+k-5} E_l \right) \cup f_i \left( \bigcup_{l=m-k+2}^{m+t_0-3} E_l \right) \right\} \\
 &= \bigcup_{i \in J_{00}} \{f_i(L \cup M \cup R)\} \cup \bigcup_{j=2}^m \\
 &\quad \bigcup_{i \in J_{0j}} \{f_i(L \cup M) \cup f_{i^*}(R)\} \\
 &\cup \bigcup_{k=2}^m \bigcup_{j=0,2,3,\dots,m} \bigcup_{i \in J_{kj}} \{f_{(i-1)}(B \cup R_k) \\
 &\quad \cup f_i(L_k \cup A \cup M)\}.
 \end{aligned}$$

We take  $\mathcal{D}^1$  to be the collection of sets in the braces. Hence, by (3), (4) and (18),  $\mathcal{D}^1$  consists of  $(n-\Sigma)$  number of 1-level pattern 1 sets and  $n_p$  number of 1-level pattern  $p$  sets for each  $p \in \{2, 3, \dots, m\}$ .

In the following statement we show that for each  $1 \leq k \leq m+t+q-2$ , an  $s$ -level pattern  $k$  set can be decomposed into a disjoint union of certain  $(s+1)$ -level sets of patterns in  $\{1, 2, \dots, m+t+q-2\}$ .

**Statement 2.** (I) Each  $s$ -level pattern 1 set can be represented as a disjoint union of  $(n-\Sigma)$  number of  $(s+1)$ -level pattern 1 sets and  $n_j$  number of  $(s+1)$ -level pattern  $j$  sets for each  $j \in \{2, 3, \dots, m\}$ ;

(II) Each  $s$ -level pattern  $p$  with  $p \in \{3, \dots, m\}$  can be represented as a disjoint union of  $(n-\Sigma-1)$  number of  $(s+1)$ -level pattern 1 sets,  $n_j$  number of  $(s+1)$ -level pattern  $j$  sets for each  $j \in \{2, 3, \dots, p-2, p, \dots, m\}$  and  $(n_{p-1} + 1)$  number of  $(s+1)$ -level pattern  $(p-1)$  sets;

(III) Each  $s$ -level pattern 2 can be represented as a disjoint union of  $(n-\Sigma-2)$  number of  $(s+1)$ -level pattern 1 sets,  $n_j$  number of  $(s+1)$ -level pattern  $j$  sets for each  $j \in \{2, 3, \dots, t-1, t+1, \dots, q-1, q+1, \dots, m\}$ ,  $(n_t - 1)$  number of  $(s+1)$ -level pattern  $t$  sets,  $(n_q - 1)$  number

- of  $(s+1)$ -level pattern  $q$  sets, one  $(s+1)$ -level pattern  $(m+1)$  set and one  $(s+1)$ -level pattern  $(m+t)$  set;
- (IV) Each  $s$ -level pattern  $(m+p)$  set with  $p \in \{1, 2, \dots, t-2\}$  can be represented as a disjoint union of  $(n-\Sigma-1)$  number of  $(s+1)$ -level pattern 1 sets,  $n_j$  number of  $(s+1)$ -level pattern  $j$  sets for each  $j \in \{2, 3, \dots, m\}$  and one  $(s+1)$ -level of pattern  $(m+p+1)$  set;
- (V) Each  $s$ -level pattern  $(m+t-1)$  set can be represented as a disjoint union of  $(n-\Sigma-1)$  number of  $(s+1)$ -level pattern 1 sets,  $n_j$  number of  $(s+1)$ -level pattern  $j$  sets for each  $j \in \{2, \dots, t-1, t+1, \dots, m\}$ ,  $(n_t-1)$  number of  $(s+1)$ -level pattern  $t$  sets and one  $(s+1)$ -level pattern  $(m+1)$  set;
- (VI) Each  $s$ -level pattern  $(m+p+t-1)$  set with  $p \in \{1, 2, \dots, q-2\}$  can be represented as a disjoint union of  $(n-\Sigma-1)$  number of  $(s+1)$ -level pattern 1 sets,  $n_j$  number of  $(s+1)$ -level pattern  $j$  sets for each  $j \in \{2, 3, \dots, m\}$  and one  $(s+1)$ -level of pattern  $(m+p+t)$  set;
- (VII) Each  $s$ -level pattern  $(m+t+q-2)$  set can be represented as a disjoint union of  $(n-\Sigma-1)$  number of  $(s+1)$ -level pattern 1 sets,  $n_j$  number of  $(s+1)$ -level pattern  $j$  sets for each  $j \in \{2, \dots, q-1, q+1, \dots, m\}$ ,  $(n_q-1)$  number of  $(s+1)$ -level pattern  $q$  sets and one  $(s+1)$ -level pattern  $(m+t)$  set.

**Proof of Statement 2.** In the following proof we adopt the convention that  $\{i, \dots, j\} = \emptyset$  when  $i < j$ .

Let  $I, J \in \bigcup_{s=0}^{\infty} \{1, 2, \dots, n\}^s$  with same length, then by Statement 1(I) and (IV) we have

$$\begin{aligned} f_J(A) &= \bigcup_{k=2}^m \bigcup_{j=0,2,\dots,m} \{f_{J(i-1)}(B \cup R_k) \\ &\quad \cup f_{Ji}(L_k \cup A \cup M)\} \\ &\quad \cup \{f_{J2}(L_t \cup A \cup M) \cup f_{Js_0}(R)\}, \\ f_I(B) &= \bigcup_{k=2}^m \bigcup_{j=2}^m \{f_{I(i-1)}(B \cup R_k) \\ &\quad \cup f_{Ii}(L_k \cup A \cup M)\} \end{aligned}$$

$$\begin{aligned} &\cup \{f_{It_0}(L \cup M) \cup f_{I(n-1)}(B \cup R_t)\}, \\ f_J(M) &= \bigcup_{i \in J_{00}} f_{Ji}(L \cup M \cup R) \cup \bigcup_{j=2}^m \\ &\quad \bigcup_{i \in J_{0j} \cap \{s_0+1, \dots, t_0-2\}} \{f_{Ji}(L \cup M) \cup f_{Ji^*}(R)\} \\ &\quad \cup \bigcup_{k=2}^m \bigcup_{j=0,2,\dots,m} \\ &\quad \bigcup_{i \in J_{kj} \cap \{s_0+2, \dots, t_0-1\}} \{f_{J(i-1)}(B \cup R_k)\} \\ &\quad \cup f_{Ji}(L_k \cup A \cup M)\}. \end{aligned}$$

Hence, by (3), (4) and (18),  $f_I(B) \cup f_J(A \cup M)$  can be represented as a disjoint union of  $(n-\Sigma-2)$  number of  $(s+1)$ -level pattern 1 sets,  $n_j$  number of  $(s+1)$ -level pattern  $j$  sets for each  $j \in \{2, 3, \dots, t-1, t+1, \dots, q-1, q+1, \dots, m\}$ ,  $(n_t-1)$  number of  $(s+1)$ -level pattern  $t$  sets,  $(n_q-1)$  number of  $(s+1)$ -level pattern  $q$  sets, one  $(s+1)$ -level pattern  $(m+1)$  set and one  $(s+1)$ -level pattern  $(m+t)$  set, which proves (III).

By Statement 1(IV) and (V), we have

$$\begin{aligned} f_I(L \cup M) \cup f_J(R) &= f_{I1}(L \cup M) \cup f_{I1}(B \cup R_t) \cup f_I(A) \cup f_I(M) \\ &\quad \cup f_J(B) \cup f_{Jn}(L_q \cup A \cup M) \cup f_{Jn}(R) \\ &= \bigcup_{k=2}^m \bigcup_{j=0,2,\dots,m} \bigcup_{i \in J_{kj} \cap \{3, \dots, s_0\}} \{f_{I(i-1)}(B \cup R_k) \\ &\quad \cup f_{Ii}(L_k \cup A \cup M)\} \\ &\quad \cup \{f_{I2}(L_t \cup A \cup M) \cup f_{Is_0}(R)\} \\ &\quad \cup f_{I1}(L \cup M) \cup f_{I1}(B \cup R_t) \cup f_I(M) \\ &\quad \cup \{f_{Jt_0}(L \cup M) \cup f_{J(n-1)}(B \cup R_q)\} \\ &\quad \cup \bigcup_{k=2}^m \bigcup_{j=2}^m \bigcup_{i \in J_{kj} \cap \{t_0+1, \dots, n-1\}} \{f_{J(i-1)}(B \cup R_k) \\ &\quad \cup f_{Ji}(L_k \cup A \cup M)\} \\ &\quad \cup f_{Jn}(L_q \cup A \cup M) \cup f_{Jn}(R) \\ &= \bigcup_{k=2}^m \bigcup_{j=0,2,\dots,m} \bigcup_{i \in J_{kj} \cap \{2, \dots, s_0\}} \{f_{I(i-1)}(B \cup R_k) \\ &\quad \cup f_{Ii}(L_k \cup A \cup M)\} \\ &\quad \cup \{f_{I1}(L \cup M) \cup f_{Is_0}(R)\} \end{aligned}$$

$$\begin{aligned}
 & \cup f_I(M) \cup \{f_{Jt_0}(L \cup M) \cup f_{Jn}(R)\} \\
 & \cup \bigcup_{k=2}^m \bigcup_{j=0,2,\dots,m} \\
 & \quad \bigcup_{i \in J_{kj} \cap \{t_0+1,\dots,n\}} \{f_{J(i-1)}(B \cup R_k)\} \\
 & \cup f_{Ji}(L_k \cup A \cup M),
 \end{aligned}$$

which, by the decomposition of  $f_I(M)$  and Eqs. (3), (4) and (18), proves (I)

By Statement 1(II) and (III), we get

$$\begin{aligned}
 & f_I(B \cup R_p) \cup f_J(L_p \cup A \cup M) \\
 & = f_I(B) \cup f_{In}(L_q \cup A \cup M \cup B \cup R_{p-1}) \\
 & \quad \cup f_J(A) \cup f_J(M) \\
 & \quad \cup f_{J1}(L_{p-1} \cup A \cup M \cup B \cup R_t) \\
 & = \{f_{It_0}(L \cup M) \cup f_{I(n-1)}(B \cup R_q)\} \\
 & \quad \cup \bigcup_{k=2}^m \bigcup_{j=2}^m \bigcup_{i \in J_{kj} \cap \{t_0+1,\dots,n-1\}} \{f_{I(i-1)}(B \cup R_k)\} \\
 & \quad \cup f_{Ii}(L_k \cup A \cup M) \cup f_{In}(L_q \cup A \cup M) \\
 & \quad \cup f_{In}(B \cup R_{p-1}) \\
 & \quad \cup \{f_{J2}(L_t \cup A \cup M) \cup f_{Js_0}(R)\} \\
 & \quad \cup \bigcup_{k=2}^m \bigcup_{j=0,2,\dots,m} \bigcup_{i \in J_{kj} \cap \{3,\dots,s_0\}} \{f_{J(i-1)}(B \cup R_k)\} \\
 & \quad \cup f_{Ji}(L_k \cup A \cup M) \\
 & \quad \cup f_J(M) \cup f_{J1}(L_{p-1} \cup A \cup M) \cup f_{J1}(B \cup R_t) \\
 & = \{f_{It_0}(L \cup M) \cup f_{Js_0}(R)\} \\
 & \quad \cup \bigcup_{k=2}^m \bigcup_{j=0,2,\dots,m} \bigcup_{i \in J_{kj} \cap \{t_0+1,\dots,n\}} \{f_{I(i-1)}(B \cup R_k)\} \\
 & \quad \cup f_{Ii}(L_k \cup A \cup M) \\
 & \quad \cup \bigcup_{k=2}^m \bigcup_{j=0,2,\dots,m} \bigcup_{i \in J_{kj} \cap \{2,\dots,s_0\}} \{f_{J(i-1)}(B \cup R_k)\} \\
 & \quad \cup f_{Ji}(L_k \cup A \cup M) \cup f_{Jn}(B \cup R_{p-1}) \\
 & \quad \cup f_{J1}(L_{p-1} \cup A \cup M); \quad p = 3, 4, \dots, m,
 \end{aligned}$$

which, by the decomposition of  $f_J(M)$  and Eqs. (3), (4) and (18), proves (II).

$$\begin{aligned}
 & \text{By Statement 1(II),} \\
 & f_I(L_{t-p+1} \cup A \cup M) \cup f_J(R) \\
 & = f_{I1}(L_{t-p} \cup A \cup M \cup B \cup R_t) \cup f_I(A) \\
 & \quad \cup f_I(M) \cup f_J(B) \cup f_{Jn}(L_q \cup A \cup M \cup R) \\
 & = f_{I1}(L_{t-p} \cup A \cup M) \cup f_{I1}(B \cup R_t) \\
 & \quad \cup \{f_{I2}(L_t \cup A \cup M) \cup f_{Is_0}(R)\} \\
 & \quad \cup \bigcup_{k=2}^m \bigcup_{j=0,2,\dots,m} \bigcup_{i \in J_{kj} \cap \{3,\dots,s_0\}} \{f_{I(i-1)}(B \cup R_k)\} \\
 & \quad \cup f_{Ii}(L_k \cup A \cup M) \} \cup \{f_{Jt_0}(L \cup M) \\
 & \quad \cup f_{J(n-1)}(B \cup R_q)\} \\
 & \quad \cup f_{Jn}(L_q \cup A \cup M) \cup f_{Jn}(R) \\
 & \quad \cup \bigcup_{k=2}^m \bigcup_{j=2}^m \bigcup_{i \in J_{kj} \cap \{t_0+1,\dots,n-1\}} \{f_{J(i-1)}(B \cup R_k)\} \\
 & \quad \cup f_{Ji}(L_k \cup A \cup M) \\
 & = \{f_{I1}(L_{t-p} \cup A \cup M) \cup f_{Is_0}(R)\} \cup f_I(M) \\
 & \quad \cup \bigcup_{k=2}^m \bigcup_{j=0,2,\dots,m} \bigcup_{i \in J_{kj} \cap \{2,\dots,s_0\}} \{f_{I(i-1)}(B \cup R_k)\} \\
 & \quad \cup f_{Ii}(L_k \cup A \cup M) \} \cup \bigcup_{k=2}^m \bigcup_{j=0,2,\dots,m} \\
 & \quad \bigcup_{i \in J_{kj} \cap \{t_0+1,\dots,n\}} \{f_{J(i-1)}(B \cup R_k)\} \\
 & \quad \cup f_{Ji}(L_k \cup A \cup M) \} \cup \{f_{Jt_0}(L \cup M) \\
 & \quad \cup f_{Jn}(R)\}; \quad p = 1, 2, \dots, t-2,
 \end{aligned}$$

which, by the decomposition of  $f_I(M)$  and Eqs. (3), (4) and (18), proves (IV).

$$\begin{aligned}
 & \text{By Statement 1(V),} \\
 & f_I(A \cup M) \cup f_J(R) \\
 & = f_I(A) \cup f_I(M) \cup f_J(B) \\
 & \quad \cup f_{Jn}(L_q \cup A \cup M \cup R) \\
 & = \{f_{I2}(L_t \cup A \cup M) \cup f_{Is_0}(R)\} \\
 & \quad \cup f_I(M) \cup \{f_{Jt_0}(L \cup M) \cup f_{J(n-1)}(B \cup R_q)\} \\
 & \quad \cup \bigcup_{k=2}^m \bigcup_{j=0,2,\dots,m} \bigcup_{i \in J_{kj} \cap \{3,\dots,s_0\}} \{f_{I(i-1)}(B \cup R_k)\} \\
 & \quad \cup f_{Ii}(L_k \cup A \cup M)
 \end{aligned}$$

$$\begin{aligned}
& \cup \bigcup_{k=2}^m \bigcup_{j=2}^m \bigcup_{i \in J_{kj} \cap \{t_0+1, \dots, n-1\}} \{f_{J(i-1)}(B \cup R_k) \\
& \cup f_{Ji}(L_k \cup A \cup M)\} \\
& \cup f_{Jn}(L_q \cup A \cup M) \cup f_{Jn}(R) \\
& = \{f_{I2}(L_t \cup A \cup M) \cup f_{Is_0}(R)\} \cup f_I(M) \\
& \cup \{f_{Jt_0}(L \cup M) \cup f_{Jn}(R)\} \\
& \cup \bigcup_{k=2}^m \bigcup_{j=0,2,\dots,m} \bigcup_{i \in J_{kj} \cap \{3, \dots, s_0\}} \{f_{I(i-1)}(B \cup R_k) \\
& \cup f_{Ii}(L_k \cup A \cup M)\} \\
& \cup \bigcup_{k=2}^m \bigcup_{j=0,2,\dots,m} \bigcup_{i \in J_{kj} \cap \{t_0+1, \dots, n\}} \{f_{J(i-1)}(B \cup R_k) \\
& \cup f_{Ji}(L_k \cup A \cup M)\},
\end{aligned}$$

which, by the decomposition of  $f_I(M)$  and Eqs. (3), (4) and (18), proves (V).

By Statement 1(III) and (IV), we get

$$\begin{aligned}
& f_I(L \cup M) \cup f_J(B \cup R_{q-p+1}) \\
& = f_{I1}(L \cup M \cup B \cup R_t) \cup f_I(A) \cup f_I(M) \\
& \cup f_J(B) \cup f_{Jn}(L_q \cup A \cup M \cup B \cup R_{q-p}) \\
& = f_{I1}(L \cup M) \cup f_{I1}(B \cup R_t) \cup f_I(M) \\
& \cup \{f_{I2}(L_t \cup A \cup M) \cup f_{Is_0}(R)\} \\
& \cup \bigcup_{k=2}^m \bigcup_{j=0,2,\dots,m} \bigcup_{i \in J_{kj} \cap \{3, \dots, s_0\}} \{f_{I(i-1)}(B \cup R_k) \\
& \cup f_{Ii}(L_k \cup A \cup M)\} \\
& \cup \bigcup_{k=2}^m \bigcup_{j=2}^m \bigcup_{i \in J_{kj} \cap \{t_0+1, \dots, n-1\}} \{f_{J(i-1)}(B \cup R_k) \\
& \cup f_{Ji}(L_k \cup A \cup M)\} \cup \{f_{Jt_0}(L \cup M) \\
& \cup f_{J(n-1)}(B \cup R_q)\} \cup f_{Jn}(L_q \cup A \cup M) \\
& \cup f_{Jn}(B \cup R_{q-p}) \\
& = \{f_{I1}(L \cup M) \cup f_{Is_0}(R)\} \cup f_I(M) \\
& \cup \bigcup_{k=2}^m \bigcup_{j=0,2,\dots,m} \bigcup_{i \in J_{kj} \cap \{2, \dots, s_0\}} \{f_{I(i-1)}(B \cup R_k) \\
& \cup f_{Ii}(L_k \cup A \cup M)\} \cup \\
& \bigcup_{k=2}^m \bigcup_{j=0,2,\dots,m} \bigcup_{i \in J_{kj} \cap \{t_0+1, \dots, n\}} \{f_{J(i-1)}(B \cup R_k)
\end{aligned}$$

$$\begin{aligned}
& \cup f_{Ji}(L_k \cup A \cup M)\} \cup \{f_{Jt_0}(L \cup M) \\
& \cup f_{Jn}(B \cup R_{q-p})\}; \quad p = 1, 2, \dots, t-2,
\end{aligned}$$

which, by the decomposition of  $f_I(M)$  and Eqs. (3), (4) and (18), proves (VI).

By Statement 1(IV), we have

$$\begin{aligned}
& f_I(L \cup M) \cup f_J(B) \\
& = f_{I1}(L \cup M \cup B \cup R_t) \cup f_I(A) \cup f_I(M) \cup f_J(B) \\
& = f_{I1}(L \cup M) \cup f_{I1}(B \cup R_t) \\
& \cup \{f_{I2}(L_t \cup A \cup M) \cup f_{Is_0}(R)\} \cup f_I(M) \\
& \cup \bigcup_{k=2}^m \bigcup_{j=0,2,\dots,m} \bigcup_{i \in J_{kj} \cap \{3, \dots, s_0\}} \{f_{I(i-1)}(B \cup R_k) \\
& \cup f_{Ii}(L_k \cup A \cup M)\} \\
& \cup \bigcup_{k=2}^m \bigcup_{j=2}^m \bigcup_{i \in J_{kj} \cap \{t_0+1, \dots, n-1\}} \{f_{J(i-1)}(B \cup R_k) \\
& \cup f_{Ji}(L_k \cup A \cup M)\} \\
& \cup \{f_{Jt_0}(L \cup M) \cup f_{J(n-1)}(B \cup R_q)\} \\
& = \{f_{I1}(L \cup M) \cup f_{Is_0}(R)\} \cup \{f_{Jt_0}(L \cup M) \\
& \cup f_{J(n-1)}(B \cup R_q)\} \cup f_I(M) \\
& \cup \bigcup_{k=2}^m \bigcup_{j=0,2,\dots,m} \bigcup_{i \in J_{kj} \cap \{2, \dots, s_0\}} \{f_{I(i-1)}(B \cup R_k) \\
& \cup f_{Ii}(L_k \cup A \cup M)\} \\
& \cup \bigcup_{k=2}^m \bigcup_{j=0,2,\dots,m} \bigcup_{i \in J_{kj} \cap \{t_0+1, \dots, n-1\}} \{f_{J(i-1)}(B \cup R_k) \\
& \cup f_{Ji}(L_k \cup A \cup M)\},
\end{aligned}$$

which, by the decomposition of  $f_I(M)$  and Eqs. (3), (4) and (18), proves (VII). Thus according to above Statement 2 we can define  $\mathcal{D}^k$  inductively.

Now we take  $\delta_k = \lambda^k, \forall k \geq 1$ . For  $A \in \mathcal{D}^s; s \geq 0$  we have  $c_1 \lambda^s \leq |A| \leq n \lambda^s$ , where  $c_1 = \min\{\lambda, 1 - \lambda\}$ . On the other hand, for every  $A \in \mathcal{D}^s; s \geq 0$  and  $B, B' \in \mathcal{F}(A)$  with  $B \neq B'$  we have

$$\text{dist}(B, B') \geq c_2 \lambda^s,$$

where  $c_2 = \lambda \min\{\text{dist}(f_i(1), f_{(i+1)}(0)); 1 \leq i \leq n-1, f_i([0, 1]) \cap f_{(i+1)}([0, 1]) = \emptyset\}$ .

Therefore,  $K^*$  satisfies the conditions in Definitions (2.1) and (2.2) for  $c = \max\{n, c_1^{-1}, c_2^{-1}\}$  and  $\delta_k = \lambda^k; k \geq 1$ .

From the above analysis we find that  $K^*$  has a configuration structure of  $(m+t+q-2)$  patterns

and the corresponding  $(m+t+q-2) \times (m+t+q-2)$  matrix is

$$\begin{pmatrix} n-\Sigma & n_2 & \cdots & n_t & n_{t+1} & \cdots & n_q & n_{q+1} & \cdots & n_m & 0 & 0 & \cdots & 0 & 0 & \cdots & 0 & 0 \\ n-\Sigma-2 & n_2 & \cdots & n_t & n_{t+1} & \cdots & n_q-1 & n_{q+1} & \cdots & n_m & 1 & 0 & \cdots & 0 & 1 & \cdots & 0 & 0 \\ \vdots & \vdots & \cdots & \vdots & \vdots & \cdots & \vdots & \vdots & \cdots & \vdots & \vdots & \vdots & \cdots & \vdots & \vdots & \cdots & \vdots & \vdots \\ n-\Sigma-1 & n_2 & \cdots & n_t & n_{t+1} & \cdots & n_q & n_{q+1} & \cdots & n_m & 0 & 0 & \cdots & 0 & 0 & \cdots & 0 & 0 \\ n-\Sigma-1 & n_2 & \cdots & n_{t+1} & n_{t+1} & \cdots & n_q & n_{q+1} & \cdots & n_m & 0 & 0 & \cdots & 0 & 0 & \cdots & 0 & 0 \\ \vdots & \vdots & \cdots & \vdots & \vdots & \cdots & \vdots & \vdots & \cdots & \vdots & \vdots & \vdots & \cdots & \vdots & \vdots & \cdots & \vdots & \vdots \\ n-\Sigma-1 & n_2 & \cdots & n_t & n_{t+1} & \cdots & n_q & n_{q+1} & \cdots & n_m & 0 & 0 & \cdots & 0 & 0 & \cdots & 0 & 0 \\ n-\Sigma-1 & n_2 & \cdots & n_t & n_{t+1} & \cdots & n_q+1 & n_{q+1} & \cdots & n_m & 0 & 0 & \cdots & 0 & 0 & \cdots & 0 & 0 \\ \vdots & \vdots & \cdots & \vdots & \vdots & \cdots & \vdots & \vdots & \cdots & \vdots & \vdots & \vdots & \cdots & \vdots & \vdots & \cdots & \vdots & \vdots \\ n-\Sigma-1 & n_2 & \cdots & n_t & n_{t+1} & \cdots & n_q & n_{q+1} & \cdots & n_m & 0 & 0 & \cdots & 0 & 0 & \cdots & 0 & 0 \\ n-\Sigma-1 & n_2 & \cdots & n_t & n_{t+1} & \cdots & n_q & n_{q+1} & \cdots & n_m & 0 & 0 & \cdots & 0 & 0 & \cdots & 0 & 0 \\ \vdots & \vdots & \cdots & \vdots & \vdots & \cdots & \vdots & \vdots & \cdots & \vdots & \vdots & \vdots & \cdots & \vdots & \vdots & \cdots & \vdots & \vdots \\ n-\Sigma-1 & n_2 & \cdots & n_t & n_{t+1} & \cdots & n_q & n_{q+1} & \cdots & n_m & 0 & 0 & \cdots & 0 & 0 & \cdots & 0 & 0 \\ n-\Sigma-1 & n_2 & \cdots & n_t & n_{t+1} & \cdots & n_q & n_{q+1} & \cdots & n_m & 0 & 1 & \cdots & 0 & 0 & \cdots & 0 & 0 \\ n-\Sigma-1 & n_2 & \cdots & n_t & n_{t+1} & \cdots & n_q & n_{q+1} & \cdots & n_m & 0 & 0 & \cdots & 0 & 0 & \cdots & 0 & 0 \\ \vdots & \vdots & \cdots & \vdots & \vdots & \cdots & \vdots & \vdots & \cdots & \vdots & \vdots & \vdots & \cdots & \vdots & \vdots & \cdots & \vdots & \vdots \\ n-\Sigma-1 & n_2 & \cdots & n_t-1 & n_{t+1} & \cdots & n_q & n_{q+1} & \cdots & n_m & 1 & 0 & \cdots & 0 & 0 & \cdots & 0 & 0 \\ n-\Sigma-1 & n_2 & \cdots & n_t & n_{t+1} & \cdots & n_q & n_{q+1} & \cdots & n_m & 0 & 0 & \cdots & 0 & 0 & \cdots & 0 & 0 \\ \vdots & \vdots & \cdots & \vdots & \vdots & \cdots & \vdots & \vdots & \cdots & \vdots & \vdots & \vdots & \cdots & \vdots & \vdots & \cdots & \vdots & \vdots \\ n-\Sigma-1 & n_2 & \cdots & n_t & n_{t+1} & \cdots & n_q & n_{q+1} & \cdots & n_m & 0 & 0 & \cdots & 0 & 0 & \cdots & 0 & 1 \\ n-\Sigma-1 & n_2 & \cdots & n_t & n_{t+1} & \cdots & n_q-1 & n_{q+1} & \cdots & n_m & 0 & 0 & \cdots & 0 & 1 & \cdots & 0 & 0 \end{pmatrix} \quad (19)$$

The spectral radius of the above matrix is just the largest positive root of the equation:

$$\begin{aligned} & x^m(x^{t+q-2}-1) + nx^{m-1}(1-x^{t+q-2}) \\ & + (n_2x^{m-2} + n_3x^{m-3} + \cdots + n_m) \\ & \times (2x^{t+q-2} - x^{q-1} - x^{t-1}) = 0. \end{aligned}$$

This finishes the proof of the lemma.  $\square$

**Lemma 3.2.** Suppose that  $|f_1([0, 1]) \cap f_2([0, 1])| = \lambda^t$  and  $f_{n-1}([0, 1]) \cap f_n([0, 1]) = \emptyset$ , or  $f_1([0, 1]) \cap f_2([0, 1]) = \emptyset$  and  $|f_{n-1}([0, 1]) \cap f_n([0, 1])| = \lambda^t$  for some  $t \in \{2, 3, \dots, m\}$ , then

$$\dim_H \mathcal{U} = \frac{\log \gamma}{-\log \lambda},$$

where  $\gamma$  is the largest positive root of the equation

$$\begin{aligned} & x^{m+t-1} - nx^{m+t-2} + (n_2x^{m-2} \\ & + n_3x^{m-3} + \cdots + n_m)(2x^{t-1} - 1) = 0. \end{aligned}$$

**Proof.** In the following we only consider the case  $f_{n-1}([0, 1]) \cap f_n([0, 1]) = \emptyset$  and  $|f_1([0, 1]) \cap f_2([0, 1])| = \lambda^t$  for some  $t \in \{2, 3, \dots, m\}$ . Thus  $1 \in J_{0t}$  and  $n \in J_{00}$ .

Since  $f_{n-1}([0, 1]) \cap f_n([0, 1]) = \emptyset$  then we have  $t_0 = n$ ,  $B = \emptyset$ ,  $R_{m+1} = R$  and an  $s$ -level set of pattern 2 does not generate any  $(s+1)$ -level set of pattern  $m+t$  for any  $s \geq 0$ , then we do not get any of the patterns  $m+t, m+t+1, \dots, m+t+q-2$ .

Thus, the proof of this lemma is just a special case of the proof of Lemma 3.1. In this case we get  $K^* = \bigcup_{i=1}^{n+2m-4} E_i \subset K$ , where

$$E_{m+i-2} = \begin{cases} f_i \left( \bigcup_{l=1}^{n+2m-4} E_l \right) & \text{if } i \in J_{00} \setminus \{n\}, \\ f_i \left( \bigcup_{l=1}^{n+m+j-5} E_l \right) & \text{if } i \in J_{0j} \setminus \{1\}; \quad 2 \leq j \leq m, \\ f_i \left( \bigcup_{l=m-k+2}^{n+2m-4} E_l \right) & \text{if } i \in J_{k0} \setminus \{ \}; \quad 2 \leq k \leq m, \\ f_i \left( \bigcup_{l=m-k+2}^{n+m+j-5} E_l \right) & \text{if } i \in J_{kj}; \quad 2 \leq k, \quad j \leq m, \end{cases} \quad (20)$$

and

$$\begin{cases} E_1 = f_1(E_1 \cup E_2), \\ E_k = f_1(E_{k+1}); \quad k = 2, \dots, m-2, \\ E_{m-1} = f_1 \left( \bigcup_{l=m}^{n+2m+t-5} E_l \right), \\ E_{n+m-2} = f_n \left( \bigcup_{l=1}^{n+m-3} E_l \right), \\ E_{n+m+k-3} = f_n(E_{n+m+k-4}); \quad k = 2, \dots, m-2, \\ E_{n+2m-4} = f_n(E_{n+2m-4} \cup E_{n+2m-5}). \end{cases} \quad (21)$$

We also have

$$\begin{aligned} L &= \bigcup_{l=1}^{m+s_0-2} E_l, \quad M = \bigcup_{l=m+s_0-1}^{m+n-3} E_l, \\ R &= \bigcup_{l=m+n-2}^{n+2m-4} E_l, \quad A = \bigcup_{l=m}^{m+s_0-2} E_l, \end{aligned} \quad (22)$$

and for  $p = 2, 3, \dots, m+1$ ,

$$L_p = \bigcup_{l=m-p+2}^{m-1} E_l, \quad R_p = \bigcup_{l=n+m-2}^{n+m+p-5} E_l, \quad (23)$$

with the convention that  $L_2 := \emptyset, R_2 := \emptyset$ . Hence  $L_{m+1} \cup A = L, R_{m+1} = R$ . Then we can rewrite

(20) and (21) as

$$E_{m+i-2}$$

$$= \begin{cases} f_i(L \cup M \cup R) & \text{if } i \in J_{00} \setminus \{n\}, \\ f_i(L \cup M \cup R_j) & \text{if } i \in J_{0j} \setminus \{1\}; j \in \{2, \dots, m\}, \\ f_i(L_k \cup A \cup M \cup R) & \text{if } i \in J_{k0}; k \in \{2, \dots, m\}, \\ f_i(L_k \cup A \cup M \cup R_j) & \text{if } i \in J_{kj}; k, j \in \{2, \dots, m\}, \end{cases} \quad (24)$$

$$\begin{cases} E_1 = f_{1^{m-1}}(L \cup M \cup R_t), \\ E_l = f_{1^{m-l}}(A \cup M \cup R_t); \\ l = 2, 3, \dots, m-1, \\ E_{n+2m-4} = f_{n^{m-1}}(L \cup M \cup R), \\ E_{n+m+l-3} = f_{n^l}(L \cup M); \\ l = 1, 2, \dots, m-2. \end{cases} \quad (25)$$

Then we can define the label mapping as follows: for any  $I, J \in \bigcup_{s=0}^{\infty} \{1, 2, \dots, n\}^s$  with same length

$$\begin{cases} \ell(f_I(L \cup M) \cup f_J(R)) = 1, \\ \ell(f_I(R_p) \cup f_J(L_p \cup A \cup M)) = p; \\ p = 2, \dots, m, \\ \ell(f_I(L_{t-p+1} \cup A \cup M) \cup f_J(R)) = m+p; \\ p = 1, \dots, t-1, \end{cases} \quad (26)$$

with the convention that  $f_I$  and  $f_J$  are the identity when  $s = 0$ .

Let  $\mathcal{D}^0 = \{K^*\}$  and so  $\ell(K^*) = 1$  by (22) and (26). We call  $K^*$  the 0-level pattern 1 set.

The set  $K^*$  can be decomposed into a union of disjoint subsets, i.e.

$$\begin{aligned} K^* &= \bigcup_{i \in J_{00}} f_i \left( \bigcup_{l=1}^{n+2m-4} E_l \right) \cup \bigcup_{j=2}^m \\ &\quad \bigcup_{i \in J_{0j}} \left\{ f_i \left( \bigcup_{l=1}^{m+n-3} E_l \right) \cup f_{i^*} \left( \bigcup_{l=m+n-2}^{n+2m-4} E_l \right) \right\} \\ &\quad \cup \bigcup_{k=2}^m \bigcup_{j=0,2,3,\dots,m} \bigcup_{i \in J_{kj}} \left\{ f_{(i-1)} \left( \bigcup_{l=m+n-2}^{n+m+k-5} E_l \right) \right. \\ &\quad \left. \cup f_i \left( \bigcup_{l=m-k+2}^{m+n-3} E_l \right) \right\} \\ &= \bigcup_{i \in J_{00}} f_i \{(L \cup M \cup R)\} \end{aligned}$$

$$\begin{aligned} &\cup \bigcup_{j=2}^m \bigcup_{i \in J_{0j}} \{f_i(L \cup M) \cup f_{i^*}(R)\} \\ &\cup \bigcup_{k=2}^m \bigcup_{j=0,2,3,\dots,m} \bigcup_{i \in J_{kj}} \{f_{(i-1)}(R_k) \\ &\quad \cup f_i(L_k \cup A \cup M)\}. \end{aligned}$$

We take  $\mathcal{D}^1$  to be the collection of sets in the braces. Hence, by (3), (4) and (26),  $\mathcal{D}^1$  consists of  $(n - \Sigma)$  number of 1-level pattern 1 sets and  $n_p$  number of 1-level pattern  $p$  sets for each  $p \in \{2, 3, \dots, m\}$ . This way we can construct  $\mathcal{D}^k$ ,  $k \geq 0$  inductively.

Statement 2 can be reformulated as follows.

**Statement 2.** (I) Each  $s$ -level pattern 1 set can be represented as a disjoint union of  $(n - \Sigma)$  number of  $(s + 1)$ -level pattern 1 sets and  $n_j$  number of  $(s + 1)$ -level pattern  $j$  sets for each  $j \in \{2, 3, \dots, m\}$ ;

(II) Each  $s$ -level pattern  $p$  with  $p \in \{3, \dots, m\}$  can be represented as a disjoint union of  $(n - \Sigma - 1)$  number of  $(s + 1)$ -level pattern 1 sets,  $n_j$  number of  $(s + 1)$ -level pattern  $j$  sets for each  $j \in \{2, 3, \dots, p-2, p, \dots, m\}$  and  $(n_{p-1} + 1)$  number of  $(s + 1)$ -level pattern  $(p - 1)$  sets;

(III) Each  $s$ -level pattern 2 can be represented as a disjoint union of  $(n - \Sigma - 2)$  number of  $(s + 1)$ -level pattern 1 sets,  $n_j$  number of  $(s + 1)$ -level pattern  $j$  sets for each  $j \in \{2, 3, \dots, t - 1, t + 1, \dots, m\}$ ,  $(n_t - 1)$  number of  $(s + 1)$ -level pattern  $t$  sets and one  $(s + 1)$ -level pattern  $(m + 1)$  set;

(IV) Each  $s$ -level pattern  $(m + p)$  set with  $p \in \{1, 2, \dots, t - 2\}$  can be represented as a disjoint union of  $(n - \Sigma - 1)$  number of  $(s + 1)$ -level pattern 1 sets,  $n_j$  number of  $(s + 1)$ -level pattern  $j$  sets for each  $j \in \{2, 3, \dots, m\}$  and one  $(s + 1)$ -level of pattern  $(m + p + 1)$  set;

(V) Each  $s$ -level pattern  $(m + t - 1)$  set can be represented as a disjoint union of  $(n - \Sigma - 1)$  number of  $(s + 1)$ -level pattern 1 sets,  $n_j$  number of  $(s + 1)$ -level pattern  $j$  sets for each  $j \in \{2, \dots, t - 1, t + 1, \dots, m\}$ ,  $(n_t - 1)$  number of  $(s + 1)$ -level pattern  $t$  sets and one  $(s + 1)$ -level pattern  $(m + 1)$  set.

Therefore,  $K^*$  has a configuration structure of  $(m + t - 1)$  patterns and the corresponding

$(m+t-1) \times (m+t-1)$  matrix is

$$\begin{pmatrix} n-\Sigma & n_2 & n_3 \cdots & n_t & n_{t+1} \cdots n_m & 0 & 0 \cdots & 0 & 0 & 0 \\ n-\Sigma-2 & n_2 & n_3 \cdots & n_t-1 & n_{t+1} \cdots n_m & 1 & 0 \cdots & 0 & 0 & 0 \\ n-\Sigma-1 & n_2+1 & n_3 \cdots & n_t & n_{t+1} \cdots n_m & 0 & 0 \cdots & 0 & 0 & 0 \\ \vdots & \vdots & \vdots \cdots & \vdots & \vdots \cdots & \vdots & \vdots \cdots & \vdots & \vdots & \vdots \\ n-\Sigma-1 & n_2 & n_3 \cdots & n_t & n_{t+1} \cdots n_m & 0 & 0 \cdots & 0 & 0 & 0 \\ n-\Sigma-1 & n_2 & n_3 \cdots & n_t+1 & n_{t+1} \cdots n_m & 0 & 0 \cdots & 0 & 0 & 0 \\ \vdots & \vdots & \vdots \cdots & \vdots & \vdots \cdots & \vdots & \vdots \cdots & \vdots & \vdots & \vdots \\ n-\Sigma-1 & n_2 & n_3 \cdots & n_t & n_{t+1} \cdots n_m & 0 & 0 \cdots & 0 & 0 & 0 \\ n-\Sigma-1 & n_2 & n_3 \cdots & n_t & n_{t+1} \cdots n_m & 0 & 1 \cdots & 0 & 0 & 0 \\ n-\Sigma-1 & n_2 & n_3 \cdots & n_t & n_{t+1} \cdots n_m & 0 & 0 \cdots & 0 & 0 & 0 \\ \vdots & \vdots & \vdots \cdots & \vdots & \vdots \cdots & \vdots & \vdots \cdots & \vdots & \vdots & \vdots \\ n-\Sigma-1 & n_2 & n_3 \cdots & n_t & n_{t+1} \cdots n_m & 0 & 0 \cdots & 0 & 1 & 0 \\ n-\Sigma-1 & n_2 & n_3 \cdots & n_t & n_{t+1} \cdots n_m & 0 & 0 \cdots & 0 & 0 & 1 \\ n-\Sigma-1 & n_2 & n_3 \cdots & n_t-1 & n_{t+1} \cdots n_m & 1 & 0 \cdots & 0 & 0 & 0 \end{pmatrix}, \quad (27)$$

where the spectral radius is the largest positive root of the equation:

$$\begin{aligned} & x^{m+t-1} - nx^{m+t-2} \\ & + (n_2x^{m-2} + n_3x^{m-3} + \cdots + n_m) \\ & \times (2x^{t-1} - 1) = 0. \end{aligned}$$

This finishes the proof.  $\square$

**Lemma 3.3.** Suppose that  $f_1([0, 1]) \cap f_2([0, 1]) = f_{n-1}([0, 1]) \cap f_n([0, 1]) = \emptyset$ . Then

$$\dim_H \mathcal{U} = \frac{\log \gamma}{-\log \lambda},$$

where  $\gamma$  is the largest positive root of the equation

$$\begin{aligned} & x^m - nx^{m-1} + 2(n_2x^{m-2} + n_3x^{m-3} \\ & + n_4x^{m-4} + \cdots + n_{m-1}x + n_m) = 0. \end{aligned}$$

**Proof.** Here we have  $1, n \in J_{00}$ . The proof is also a special case of the proof of Lemma 3.1. Since  $f_1([0, 1]) \cap f_2([0, 1]) = f_{n-1}([0, 1]) \cap f_n([0, 1]) = \emptyset$  then we have  $s_0 = 1, t_0 = n, A = B = \emptyset, L_{m+1} = L, R_{m+1} = R$  and an  $s$ -level set of pattern 2 does not generate any  $(s+1)$ -level set of pattern  $m+1$  or any  $(s+1)$ -level set of pattern  $m+t$  for any  $s \geq 0$ , then we do not get any of the patterns  $m+1, m+2, \dots, m+t-1, m+t, m+t+1, \dots, m+t+q-2$ . In this case we get  $K^* = \bigcup_{i=1}^{n+2m-4} E_i \subset K$ , where

$$E_{m+i-2}$$

$$= \begin{cases} f_i \left( \bigcup_{l=1}^{n+2m-4} E_l \right) & \text{if } i \in J_{00} \setminus \{1, n\}, \\ f_i \left( \bigcup_{l=1}^{n+m+j-5} E_l \right) & \text{if } i \in J_{0j}; 2 \leq j \leq m, \\ f_i \left( \bigcup_{l=m-k+2}^{n+2m-4} E_l \right) & \text{if } i \in J_{k0}; 2 \leq k \leq m, \\ f_i \left( \bigcup_{l=m-k+2}^{n+m+j-5} E_l \right) & \text{if } i \in J_{kj}; 2 \leq k, j \leq m, \end{cases} \quad (28)$$

and

$$\begin{cases} E_1 = f_1(E_1 \cup E_2), \\ E_k = f_1(E_{k+1}); \quad k = 2, \dots, m-2, \\ E_{m-1} = f_1 \left( \bigcup_{l=m}^{n+2m-4} E_l \right), \\ E_{n+m-2} = f_n \left( \bigcup_{l=1}^{n+m-3} E_l \right), \\ E_{n+m+k-3} = f_n(E_{n+m+k-4}); \quad k = 2, \dots, m-2, \\ E_{n+2m-4} = f_n(E_{n+2m-4} \cup E_{n+2m-5}). \end{cases} \quad (29)$$

We also have

$$\begin{aligned} L &= \bigcup_{l=1}^{m-1} E_l, \quad M = \bigcup_{l=m}^{m+n-3} E_l, \\ R &= \bigcup_{l=m+n-2}^{n+2m-4} E_l, \end{aligned} \quad (30)$$

and for  $p = 2, 3, \dots, m$

$$L_p = \bigcup_{l=m-p+2}^{m-1} E_l, \quad R_p = \bigcup_{l=n+m-2}^{n+m+p-5} E_l, \quad (31)$$

with the convention that  $L_2 := \emptyset, R_2 := \emptyset$ . Then we can rewrite (28) and (29) as

$$E_{m+i-2}$$

$$= \begin{cases} f_i(L \cup M \cup R) & \text{if } i \in J_{00} \setminus \{1, n\}, \\ f_i(L \cup M \cup R_j) & \text{if } i \in J_{0j}; j \in \{2, \dots, m\}, \\ f_i(L_k \cup M \cup R) & \text{if } i \in J_{k0}; \\ & k \in \{2, \dots, m\}, \\ f_i(L_k \cup M \cup R_j) & \text{if } i \in J_{kj}; \\ & k, j \in \{2, \dots, m\}, \end{cases} \quad (32)$$

$$\begin{cases} E_1 = f_{1^{m-1}}(L \cup M \cup R), \\ E_l = f_{1^{m-l}}(M \cup R); \quad l = 2, 3, \dots, m-1, \\ E_{n+2m-4} = f_{n^{m-1}}(L \cup M \cup R), \\ E_{n+m+l-3} = f_{n^l}(L \cup M); \\ \quad l = 1, 2, \dots, m-2. \end{cases} \quad (33)$$

Then we can define the label mapping as follows: for any  $I, J \in \bigcup_{s=0}^{\infty} \{1, 2, \dots, n\}^s$  with same length

$$\begin{cases} \ell(f_I(L \cup M) \cup f_J(R)) = 1, \\ \ell(f_I(R_p) \cup f_J(L_p \cup A \cup M)) = p; \quad p = 2, \dots, m, \end{cases} \quad (34)$$

with the convention that  $f_I$  and  $f_J$  are the identity when  $s = 0$ .

Let  $\mathcal{D}^0 = \{K^*\}$  and so  $\ell(K^*) = 1$  by (30) and (34). We call  $K^*$  the 0-level pattern 1 set.

The set  $K^*$  can be decomposed into a union of disjoint subsets, i.e.

$$\begin{aligned} K^* &= \bigcup_{i \in J_{00}} f_i \left( \bigcup_{l=1}^{n+2m-4} E_l \right) \cup \bigcup_{j=2}^m \\ &\quad \bigcup_{i \in J_{0j}} \left\{ f_i \left( \bigcup_{l=1}^{m+n-3} E_l \right) \cup f_{i^*} \left( \bigcup_{l=m+n-2}^{n+2m-4} E_l \right) \right\} \\ &\quad \cup \bigcup_{k=2}^m \bigcup_{j=0,2,3,\dots,m} \bigcup_{i \in J_{kj}} \left\{ f_{(i-1)} \left( \bigcup_{l=m+n-2}^{n+m+k-5} E_l \right) \right. \\ &\quad \left. \cup f_i \left( \bigcup_{l=m-k+2}^{m+n-3} E_l \right) \right\} \\ &= \bigcup_{i \in J_{00}} f_i \{(L \cup M \cup R)\} \cup \bigcup_{j=2}^m \\ &\quad \bigcup_{i \in J_{0j}} \{f_i(L \cup M) \cup f_{i^*}(R)\} \cup \bigcup_{k=2}^m \\ &\quad \bigcup_{j=0,2,3,\dots,m} \bigcup_{i \in J_{kj}} \{f_{(i-1)}(R_k) \cup f_i(L_k \cup M)\}. \end{aligned}$$

We take  $\mathcal{D}^1$  to be the collection of sets in the braces. Hence, by (3), (4) and (34),  $\mathcal{D}^1$  consists of  $(n - \Sigma)$  number of 1-level pattern 1 sets and  $n_p$  number of 1-level pattern  $p$  sets for each  $p \in \{2, 3, \dots, m\}$ . This way we can construct  $\mathcal{D}^k$ ,  $k \geq 0$  inductively.

Statement 2 can be reformulated as follows.

**Statement 2.** (I) Each  $s$ -level pattern 1 set can be represented as a disjoint union of  $(n - \Sigma)$

number of  $(s + 1)$ -level pattern 1 sets and  $n_j$  number of  $(s + 1)$ -level pattern  $j$  sets for each  $j \in \{2, 3, \dots, m\}$ ;

- (II) Each  $s$ -level pattern  $p$  with  $p \in \{3, \dots, m\}$  can be represented as a disjoint union of  $(n - \Sigma - 1)$  number of  $(s + 1)$ -level pattern 1 sets,  $n_j$  number of  $(s + 1)$ -level pattern  $j$  sets for each  $j \in \{2, 3, \dots, p - 2, p, \dots, m\}$ ,  $(n_{p-1} + 1)$  number of  $(s + 1)$ -level pattern  $(p - 1)$  sets;
- (III) Each  $s$ -level pattern 2 can be represented as a disjoint union of  $(n - \Sigma - 2)$  number of  $(s + 1)$ -level pattern 1 sets,  $n_j$  number of  $(s + 1)$ -level pattern  $j$  sets for each  $j \in \{2, 3, \dots, m\}$ .

Therefore,  $K^*$  has a configuration structure of  $(m)$  patterns and the corresponding  $(m \times m)$  matrix is

$$\begin{pmatrix} n - \Sigma & n_2 & n_3 \cdots n_m \\ n - \Sigma - 2 & n_2 & n_3 \cdots n_m \\ n - \Sigma - 1 & n_2 + 1 & n_3 \cdots n_m \\ \vdots & \vdots & \vdots \cdots \vdots \\ n - \Sigma - 1 & n_2 & n_3 \cdots n_m \end{pmatrix}, \quad (35)$$

where the spectral radius is the largest positive root of the equation:

$$x^m - nx^{m-1} + 2(n_2x^{m-2} + n_3x^{m-3} + \cdots + n_m) = 0.$$

This finishes the proof.  $\square$

**Proof of Theorem 1.1.** It is just based on Lemmas 3.3, 3.2 and 3.1.  $\square$

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