

## IV Decomposition Theorem.

JHM (Deligne) Let  $f: X \rightarrow Y$  be a proper smooth (surjective) algebraic map

$$Rf_* \mathbb{Q}_X = \bigoplus_{0 \leq i \leq d} \mathcal{H}^i(Rf_* \mathbb{Q}_X)[-i] \quad d = \dim f^{-1}(y) \quad \forall y \in Y$$

and  $\mathcal{H}^i(Rf_* \mathbb{Q}_X)$  is a semi-simple local system, which is dual  $\mathcal{H}^{2d-i}(Rf_* \mathbb{Q}_X)$

$$H^*(X, \mathbb{Q}) = H^*(Y, Rf_* \mathbb{Q}_X) = \bigoplus H^{*-i}(Y, \mathcal{H}^i(Rf_* \mathbb{Q}_X))$$

The spectral sequence  $E_2^{p,q} = H^p(Y, \mathcal{H}^q(Rf_* \mathbb{Q}_X)) \Rightarrow H^{p+q}(X)$  degenerate at  $E_2$

Hint Exercise 1.7.3 in de Cataldo's paper "perverse sheaves and the topology of algebraic varieties" Dimca "sheaves in topology" section 5.4.

PD Poincaré duality

HL Hard Lefschetz Theorem

$X$  smooth projective variety Let  $\eta \in H^2(X)$  denote the Chern class of an ample line bundle

$$\text{Then } \mathcal{H}^i(X) \xrightarrow{\sim} H^{2n-i}(X) \quad n = \dim X$$

HR Hodge Riemann Relation You can define the primitive part of  $\mathcal{H}^i(X)$

$$\text{by Hodge decomposition } \alpha \in H_{\text{prim}}^{p,q}(X). \quad p+q+i=n$$

$(\eta \cup \alpha, \bar{\alpha}) := \int \eta^i \wedge \alpha \wedge \bar{\alpha}$  is positive definite or negative definite

rough proof

$R_{\text{prim}}^q f_* \mathbb{Q}_X$  is the sub-local system of  $\mathcal{H}^q(Rf_* \mathbb{Q}_X)$  with stalks being  $H_{\text{prim}}^q(f^{-1}(y))$

for  $q < \dim f^{-1}(y) = d$

$$H^p(Y, R_{\text{prim}}^q f_* \mathbb{Q}_X) \xrightarrow{d_*} H^{p+2}(Y, R_{\text{prim}}^{q+1} f_* \mathbb{Q}_X)$$

$$\downarrow \wedge \eta^{d-q+1} = 0 \qquad \qquad \qquad \downarrow \wedge \eta^{d-q+1}$$

$$H^p(Y, R_{\text{prim}}^{2d-2q+2} f_* \mathbb{Q}_X) \longrightarrow H^{p+2}(Y, R_{\text{prim}}^{2d-2q+1} f_* \mathbb{Q}_X)$$

" $f$  being proper" is necessary.

Example

$$X = \{(x, y, z) \in \mathbb{P}^2 \times (\mathbb{C} \setminus \{0, 1\}) \mid y^2 z = x(x-z)(x-xz)\}$$

$$\downarrow f$$

Example

$$X = \{(x, y, z) \in \mathbb{P} \times (\mathbb{C} \setminus \{0\}) \mid yz = x(x-z)(x-yz)\}$$

$\downarrow f$

$$\mathbb{C} \setminus \{0\} = Y$$

$$\mathcal{H}^0(Rf_* \mathbb{Q}_X) = \mathbb{Q}_Y$$

$$\mathcal{H}^1(Rf_* \mathbb{Q}_X) = L \quad L \text{ is a rank 2 local system (semi-simple)}$$

$$\mathcal{H}^2(Rf_* \mathbb{Q}_X) = \mathbb{Q}_Y$$

$$\begin{pmatrix} 1 & 2 \\ 0 & 1 \end{pmatrix} \quad \begin{pmatrix} 1 & 0 \\ 2 & 1 \end{pmatrix}$$

Example

$\mathbb{C}^*$

$$\mathbb{C}^2 \setminus \{0\} = X$$

$$\mathbb{P}^1 = Y$$

$\mathbb{C}^2$  is simply connected.

$$\mathcal{H}^0(Rf_* \mathbb{Q}_X) = \mathbb{Q}_Y$$

$$\mathcal{H}^1(Rf_* \mathbb{Q}_X) = \mathbb{Q}_Y$$

$$\text{But } Rf_* \mathbb{Q}_X \neq \mathbb{Q}_X \oplus \mathbb{Q}_X[-1]$$

$$0 = H^*(X, \mathbb{Q}_X) = H^*(Y, Rf_* \mathbb{Q}_X) = H^*(Y, \mathbb{Q}_X \oplus \mathbb{Q}_X[-1]) = \mathbb{Q}.$$

Decomposition THM let  $f: X \rightarrow Y$  be a proper algebraic map between two varieties.

with  $X$  being smooth.

$$\text{Then } Rf_* \mathbb{Q}_X[\dim X] = \bigoplus_i {}^p \mathcal{H}^i(Rf_* \mathbb{Q}_X[\dim X]) [-i]$$

where  ${}^p \mathcal{H}^i(Rf_* \mathbb{Q}_X[\dim X])$  is a semi-simple perverse sheaf.

and dual to  ${}^p \mathcal{H}^i(Rf'_* \mathbb{Q}_X[\dim X])$  (since  $Rf'_* = Rf^!$ )

$$H^*(X, \mathbb{Q}) = \bigoplus \text{some intersection cohomology.}$$

MacPherson: "It contains as special cases the deepest homological properties of algebraic maps that we know."

3 different proof

1 BBD

2 Mixed Hodge module Saito.

3 Induction on semi-smallness by de Cataldo, Migliorini

(Williamson. The Hodge theory of the decomposition theorem. Bourbaki report)

Remark..  $\mathbb{Q}_X$  can be replaced by any semi-simple local system.

- If  $X$  is singular.  $\mathbb{Q}_X[\dim X]$  can be replaced by  $j_{!*} \mathbb{Q}_{U \cap X}[\dim X]$ , where  $U$  is smooth Zariski open in  $X$ .

Example

$$\mathbb{C} \xrightarrow{f} \mathbb{C}$$

$$j: \mathbb{C}^* \rightarrow \mathbb{C}$$

carries over in  $\wedge$ .

$$\text{Example} \quad \begin{matrix} \mathbb{C} & \xrightarrow{f} & \mathbb{C} \\ x & & x^k \end{matrix} \quad j: \mathbb{C}^* \rightarrow \mathbb{C}$$

$$Rf_* \mathbb{Q}_{\mathbb{C}^*} = \mathbb{L} \quad \text{rank } k \text{ local system} \quad \pi_1(\mathbb{C}^*) \rightarrow \begin{pmatrix} 0 & & & 1 \\ 1 & \ddots & & \\ & \ddots & \ddots & \\ & & & 0 \end{pmatrix}$$

$$Rf_* \mathbb{Q}_{\mathbb{C}} \text{ is semi-simple} \quad \text{In particular. } Rf_* \mathbb{Q}_{\mathbb{C}} = j_{!*} \mathbb{L}(\mathbb{C})$$

$$\text{In fact } \mathbb{L} = \mathbb{Q}_{\mathbb{C}^*} \oplus \mathbb{L}' \quad j_{!*} \mathbb{Q}_{\mathbb{C}^*}^{[1]} = \mathbb{Q}_{\mathbb{C}}^{[1]} \quad j_{!*} \mathbb{L}'^{[1]} = j_! \mathbb{L}'(\mathbb{C}) \text{ where } \mathbb{L}' \oplus \mathbb{Q}_{\mathbb{C}^*} = \mathbb{L}$$

Example  $\dim Y = 2$  a smooth variety

$$X = Bl_y Y \downarrow f \quad Rf_* \mathbb{Q}_{X^{[2]}} = \mathbb{Q}_Y^{[2]} \oplus \mathbb{Q}_y$$

$$\dim Y = n \geq 3 \quad Rf_* \mathbb{Q}_{X^{[n]}} = \mathbb{Q}_Y^{[n]} \oplus \mathbb{Q}_Y^{[-n+2]} \oplus \mathbb{Q}_Y^{[-n+4]} \oplus \dots \oplus \mathbb{Q}_Y^{[-2]}$$

$$\mathbb{C}^m \hookrightarrow \mathbb{C}^n \quad X = Bl_{\mathbb{C}^m} \mathbb{C}^n \quad \text{Exercise: What is } Rf_* \mathbb{Q}_{X^{[n]}}$$

$$\text{Example} \quad \begin{array}{ccc} \curvearrowleft & \rightarrow & \curvearrowright \\ X & & Y \end{array} \quad Rf_* \mathbb{Q}_{X^{[1]}} = j_{!*} \mathbb{Q}_{Y \setminus f^{-1}(y)}^{[1]}$$

Example Let  $X$  be a smooth projective surface.  $\{C_i\}_{i \in \mathbb{N}}$  some smooth irreducible curves in  $X$ . say  $C = \bigcup_{i \in \mathbb{N}} C_i$  is connected.

Can we contract  $C$  to a point such that the new variety  $Y$  is smooth?

$$\begin{array}{ccc} C \subseteq X & Rf_* \mathbb{Q}_{X^{[2]}} = \mathbb{Q}_Y^{[2]} \oplus \mathbb{Q}_y^k \oplus \cancel{\mathbb{Q}_y^b}^{[1]} & \text{stratification} \\ f \downarrow & & \\ y \in Y & H^i(f(y), \mathbb{Q}) = H^i(X, C) = \begin{cases} \mathbb{Q} & i=0 \\ \mathbb{Q}^k & i=2 \\ \mathbb{Q}^b & i=1 \end{cases} & \text{C is connected} \end{array}$$

$$\Rightarrow H^1(C) = 0 \quad \text{i.e. } b=0$$

Then  $C_i$  has to be  $\mathbb{P}^1$  and no circles.



Computations (計算).

$\dim Y = 0$ .  $Y$  is just a point. PD.

$\dim Y = 1$   $Y = Y_1 \sqcup Y_0$   $\dim Y_1 = 1$   $\dim Y_0 = 0$ .

(In general we take  $Y_i$  s.t.  $f|_{f^{-1}(Y_i)} : f^{-1}(Y_i) \rightarrow Y_i$  is a smooth map)

on  $Y_i$  we get local systems  $H^i(Rf_* \mathbb{Q}_{f^{-1}(Y_i)}) = L^i$

say  $\dim X = n$

$$L^0 \quad L^1 \quad \dots \quad L^{n-2}$$

$$-n \quad -n+1 \quad \dots \quad n-2$$

For  $y \in Y_0$

$$H^0(f^{-1}(y)) \quad H^1(f^{-1}(y)) \quad \dots \quad H^{n-2}(f^{-1}(y))$$

To be simple say  $n=2$ .

$$L^0 \quad L^1 \quad L^2 \quad \xrightarrow{j} Y$$

$$Rf_* \mathbb{Q}_X[2] = j_! L^0[2] \oplus j_* L^1[1] \oplus j_* L^2[0] \oplus \mathbb{Q}_{Y_0}[2] \oplus \mathbb{Q}_{Y_0}[1] \oplus \mathbb{Q}_{Y_0}^c$$

$H^1(j_* L^1[1])_{y_0} = H^0(f^{-1}(y_0))$  by duality.

$$H^1(j_* L^1[1])_{y_0} = H^0(f^{-1}(y_0)) \quad H^0(Y_0, L^2)$$

$$H^2(f^{-1}(y_0)) \simeq \bigoplus H^0(j_* L^2[0]) \oplus \mathbb{Q}^c$$

Example  $X = \{ (x, y, z), x \in \mathbb{P}^2 \times \mathbb{C} \mid y^2 z = x(x-2)(x-z) \}$

$\begin{matrix} \downarrow f \\ Y = \mathbb{C} \end{matrix}$  (  $X$  is not smooth, but  $X$  is a rational homology manifold  
hence  $\mathbb{Q}_X[\dim X]$  is a simple perverse sheaf. )

$$L^0 = \mathbb{Q}_{\mathbb{C} \setminus \{0, 1\}} \quad L^2 = \mathbb{Q}_{\mathbb{C} \setminus \{0, 1\}} \quad L^1 \text{ rank 2 local system. } \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

$$\mathbb{Q}_{\mathbb{C}} \quad \mathbb{Q}_{\mathbb{C}} \quad H^1(j_* L^1[1])_{0,1} = \mathbb{Q}.$$

$\lambda = 0 \quad y^2 = x^2(x-1) \quad \mathcal{X}$



$$H^0(f^{-1}(0)) = \mathbb{Q} \quad H^1(f^{-1}(0)) = \mathbb{Q} \quad H^2(f^{-1}(0)) = \mathbb{Q}$$

$\lambda = 1 \quad y^2 = x(x-1)^2 \quad \mathcal{X}$

$$H^0(f^{-1}(0)) = \mathbb{Q} \quad H^1(f^{-1}(0)) = \mathbb{Q} \quad H^2(f^{-1}(0)) = \mathbb{Q}$$

$\dim Y = 2 \quad Y = Y_2 \sqcup Y_1 \sqcup Y_0$

To be simple assume  $\dim X = 2$

By computing  $H^*(f^{-1}(y))$  for  $y \in Y_2$ , one gets a local system  $L$  on  $Y_2$

Compute  $j_{!*} L[2]$  where  $j: Y_2 \hookrightarrow Y$ .

Compute  $H^*(f^{-1}(y))$  for  $y \in Y_1$ . one gets a  $L'$  on  $Y_1$ .

Compare  $j_{!*} L[2]$  with  $L'$   $(f^{-1}(y)$  are just points for  $y \in Y_1$ )

Compare  $j_{!*} L^{[2]}$  with  $L'$

( $f^{-1}y$ ) are just points for  $y \in Y_1$ )

In fact  $j_{!*} L^{[2]}$  "contains"  $L'(2)$  by duality.

$$Rf_* \mathbb{Q}_{X^{[2]}} = j_{!*} L^{[2]} \oplus \mathbb{Q}_{y_0}^{\text{a}} \oplus \mathbb{Q}_{y_0}^{\text{x}} \oplus \mathbb{Q}_{y_0}^{\text{c}}$$

by duality.

$$\mathbb{Q}_{y_0}^{\text{c}} = H^2(f^{-1}y_0)$$

### Application local invariant cycle thm

Then let  $f: X \rightarrow Y$  be a proper alg map with  $X$  being smooth.

For any point  $y \in Y$ . By  $y'$  a generic point in  $B_y$

Then  $H^i(f^{-1}y) \xrightarrow{\text{Inv}} H^i(f^{-1}y')$  for any  $i$ . (back to previous example)

proof:  $U \subseteq Y$  is the biggest stratum and  $y' \in U$   $f: U \hookrightarrow Y$

$$H^i(j_{!*} L^{[\dim Y]})_y \longleftrightarrow H^i(f^{-1}y).$$

$$H^i(U \cap B_y, L^i) = H^i(f^{-1}y)^{\text{Inv}} \quad \text{Invariant part w.r.t. step for local system } L^i|_{U \cap B_y}.$$

### Global invariant cycle theorem

Let  $f$  be a proper map from  $X \rightarrow Y$  with  $X$  being smooth. ( $f$  is surjective)

Let  $F$  be a generic fiber of  $f$ . i.e.  $\exists$  stratification of  $Y$  with  $U$  being the Zariski open stratum s.t.  $F = f^{-1}y$  for  $y \in U$ .

Then we have the following surjective map

$$H^i(X) \longrightarrow H^i(F)^{\text{Inv}} \quad \text{Inv with respect the monodromy by } \pi_1(U)$$

$\bar{X}$  is any smooth compactification of  $X$ .

Def semi-small.  $f: X \rightarrow Y$  proper with Whitney stratification  $X = \coprod X_\alpha$   
 $Y = \coprod Y_\beta$

$f$  is called semi-small. if  $2 \dim f^{-1}y \leq \dim X - \dim Y_\beta$  for any  $y \in Y_\beta$

Prop If  $f$  is semi-small. then  $Rf_* \mathbb{Q}_X^{[\dim X]}$  is perverse.

If  $f: X \rightarrow Y$  is proper and  $\dim X - \dim Y = 2$ . then  $f$  is semi-small.

Observation. If  $f$  is semi-small. then  $Rf_* \mathbb{Q}_X$  is much easier to compute.

In fact we only need  $H^*(f^{-1}y)$  for top dim cohomology.

1.1. n.l. 1.1. semi-small  $\perp$  stalk for simple perverse sheaves.

In fact we only need  $H^*(f^{-1}Y)$  for top dim cohomology.

Hint. Check def of semi-small + stalk for simple perverse sheaves.

Example say  $f: X \rightarrow Y$  is semi-small. with  $\dim X = 3$  and  $X$  being smooth.

$$Rf_* \mathbb{Q}_X[3] = j_{!*} L_{Y_3}[3] \quad (L_{Y_3})_y = \mathbb{H}^{2\dim f^{-1}(y)}(f^{-1}(y)) \quad Y = Y_3 \sqcup Y_2 \sqcup Y_1 \sqcup Y_0$$

$$j: Y_3 \hookrightarrow Y \quad \oplus \quad \cancel{L_{Y_3}[2]} \quad \text{for } y \in Y_3, f^{-1}(y) \text{ has dim 0}$$

$$j': Y_1 \hookrightarrow \overline{Y} \quad \oplus \quad j'_{!*} L_{Y_1}[1] \quad (L_{Y_1})_y = \mathbb{H}^{2\dim f^{-1}(y)}(f^{-1}(y)) \quad y \in Y_1, f^{-1}(y) \text{ has dim } \leq 1$$

$$\oplus \quad \cancel{\cdot} \quad \text{by duality.}$$

Def Semi-smallness of  $f$   $X = \coprod X_\alpha \quad Y = \coprod Y_\beta$

$$r(f) := \max_{\beta} \left\{ 2 \dim f^{-1}(Y_\beta) + \dim Y_\beta - \dim X \right\}$$

$f$  is semi-small iff  $r(f) = 0$

Rough idea about the proof of decomposition theorem by de Cataldo, Migliorini.

1. Induction on semi-smallness. reduce the proof to the case

where  $f$  is semi-small.

2 By Lefschetz hyperplane section theorem. reduce the proof to show

$$Rf_* \mathbb{Q}_X = P \oplus \mathbb{Q}_{y_0}^c \quad (\star) \text{ where point } y_0 \text{ is a stratum in } Y$$

$$\text{and } c = \dim \mathbb{H}^{2\dim f^{-1}(y_0)}(f^{-1}(y_0)) \quad \text{if we assume } \dim X \text{ is even.}$$

To prove  $(\star)$ . one has to use the Hodge Riemann Relation.

for the image  $\mathbb{H}_n(F, \mathbb{Q}) \longrightarrow H_n(X, \mathbb{Q}) \quad n = \dim X \text{ is even}$

( $F$  may have several irreducible components).

One needs to use PD, HL, HR and induction on semi-smallness.

Application.  $V$  is a finite dim vector space over some field  $\mathbb{k}$ .

Say  $V = \text{span}\{v_1, \dots, v_n\}$  and  $\dim V = d$

Set  $w_i = \#\{i\text{-dim subvector space spanned by some vectors in } \{v_1, \dots, v_n\}\}$

Top heavy conjecture. (Proved by Huh Wang 2018).

$$w_i \leq w_{d-i} \text{ for } i \leq \frac{d}{2}$$

$$w_i \leq w_{i+1} \text{ for } i \leq \frac{d}{2}-1$$

proof by decomposition theorem.

They find a variety  $Y \subset \mathbb{C}^n \subset \underbrace{\mathbb{P}' \times \dots \times \mathbb{P}'}_{n \text{ times}}$

$$Y = \overline{V} \quad \dim \mathrm{H}^{2i}(Y) = w_i.$$

$Y$  is not smooth, but  $\mathrm{H}^*(Y)$  is injective into  $\mathrm{H}^*(X)$ .  
 $X$  is some kind of resolution of singularities of  $Y$ .

$$\begin{array}{ccc} \mathrm{H}^*(Y) & \xrightarrow{\text{injective}} & \mathrm{H}^*(X) \\ \downarrow & & \downarrow \text{S} \\ \mathrm{H}^{2i}(Y) & \longrightarrow & \mathrm{H}^{2i}(X) \end{array}$$

by decomposition theorem

How about more general?

Matroid. A finite set  $S = \{v_1, \dots, v_n\}$

We order some subset of  $S$  are independent.

Axioms : 1. empty set is independent

2. subset of independent set is independent

3. if  $A, B$  are two independent sets. and  $\#(A) > \#(B)$   
 then there exists  $x \in A \setminus B$  s.t.  $B \cup \{x\}$  is independent.

One can also define  $\{w_i\}$  for matroid.

How about the top heavy conjecture?

Yes. by. Braden, Huh, Matherne, Proudfoot, Wang 2021

Kazhdan-Lusztig Positivity Conjecture by Elias, Williamson. 2014

Log-concave conjecture. (Open) for vector space.

$$w_i^2 \geq w_{i-1} \cdot w_{i+1} \quad \text{even stronger} \quad w_i^2 \geq \frac{i+1}{i} w_{i-1} \cdot w_{i+1}.$$