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Two-degree trees

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Abstract: A graph is called a two-degree graph if its vertices have only two distinct degrees. A two-degree tree of order at least three has two degrees 1 and d for some $d \geq 2$; such a tree is called a $(1, d)$ -tree. Given a positive integer n , we determine (1) the possible values of d such that there exists a $(1, d)$ -tree of order n ; (2) the values of d such that there exists a unique $(1, d)$ -tree of order n and (3) the maximum diameter of two-degree trees of order n . The results provide a new example showing that sometimes the behavior of graphs is determined by number theoretic properties.

Keywords: two-degree tree; diameter; unique graph

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具有两个度数的树

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摘要: 如果一个图只有两个不同的度数, 这个图就称为二度图. 阶数至少为 3 的二度树具有度数 1 和 d , 这里 d 是至少为 2 的整数; 这样的树称为 $(1, d)$ -树. 给定一个正整数 n , 下面的信息被确定了: (1) 存在一个 n 阶 $(1, d)$ -树的可能的 d 的值; (2) 存在唯一的 n 阶 $(1, d)$ -树的可能的 d 的值; (3) n 阶 $(1, d)$ -树的最大可能的直径. 这些结果提供了一个新的例子, 表明有时候图的行为是由数论性质决定的.

关键词: 二度树; 直径; 唯一图

The *order* of a graph is its number of vertices, and the *size* is its number of edges. A *tree* is a connected graph that contains no cycle. Trees are the simplest connected graphs in the sense that they have the least possible size among connected graphs of a given order. A graph is called a *two-degree graph* if its vertices have only two distinct degrees. A *leaf* of a graph is a vertex of degree 1. Every nontrivial tree has at least two leaves [1, p.100]. Thus a two-degree tree has two degrees 1 and d for some $d \geq 2$; such a tree is called a $(1, d)$ -tree. $(1, 3)$ -trees are called cubic trees in [2, p.283]. One might think that we may omit “1” and simply call a $(1, d)$ -tree a d -tree. This cannot be done, since the term d -tree already has another well accepted meaning (see e.g. [3]).

For a given positive integer n , what are the possible values of d such that there exists a $(1, d)$ -tree of order n ? Let us consider two examples. There exists a $(1, d)$ -tree of order 20 if and only if

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$d = 2, 3, 4, 7, 10$, or 19 , while there exists a $(1, d)$ -tree of order 39 if and only if $d = 2$, or 38 . Thus there are six possible values of d for the order 20 , but there are only two possible values of d for the larger order 39 . Why?

In this note, for a given positive integer n we determine (1) the possible values of d such that there exists a $(1, d)$ -tree of order n ; (2) the values of d such that there exists a unique $(1, d)$ -tree of order n and (3) the maximum diameter of two-degree trees of order n . These results provide a new example showing that sometimes the behavior of graphs is determined by number theoretic properties.

A *caterpillar* is a tree in which a single path (the *spine*) is incident to or contains every edge; in other words, removal of its leaves yields a path.

Notation. Let d and n be positive integers such that $d - 1$ divides $n - 2$. We use $CP(n, d)$ to denote the unique caterpillar of order n which is a $(1, d)$ -tree.

The caterpillar $CP(18, 5)$ is depicted in Figure 1.

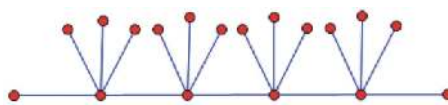


Fig. 1 The caterpillar $CP(18, 5)$

Theorem 1. Let $n \geq 3$ be a positive integer. Then there exists a $(1, d)$ -tree of order n if and only if $d - 1$ divides $n - 2$.

Proof. Suppose there exists a $(1, d)$ -tree of order n . The degree sum formula [1, p.7] proved by Leonhard Euler in 1736 states that if a graph has vertex set V and size e then

$$\sum_{x \in V} \deg(x) = 2e,$$

where $\deg(x)$ denotes the degree of the vertex x . Now suppose in a $(1, d)$ -tree of order n , there are k vertices of degree d and hence there are $n - k$ vertices of degree 1. Since a tree of order n has size $n - 1$, by the degree sum formula we have $(n - k) + kd = 2(n - 1)$; i.e., $(d - 1)k = n - 2$. Hence $d - 1$ divides $n - 2$.

Conversely, suppose $d - 1$ divides $n - 2$. Then the caterpillar $CP(n, d)$ is a $(1, d)$ -tree of order n .

We denote by P_n and S_n the path and star of order n respectively.

Corollary 2. The path P_n and the star S_n are the only two-degree trees of order $n \geq 4$ if and only if $n - 2$ is a prime number.

Proof. If $n - 2$ is a prime number, 1 and $n - 2$ are the only divisors of $n - 2$. By Theorem 1, there exists a $(1, d)$ -tree of order n if and only if $d - 1 = 1$ or $d - 1 = n - 2$; i.e., $d = 2$ or $d = n - 1$. The path P_n is the unique $(1, 2)$ -tree of order n and the star S_n is the unique $(1, n - 1)$ -tree of order n .

If $n - 2$ is a composite number, let q be a divisor of $n - 2$ with $2 \leq q \leq n - 3$. By Theorem 1, there exists a $(1, q + 1)$ -tree of order n , which is neither the path P_n or the star S_n since $3 \leq q + 1 \leq n - 2$.

Theorem 3. There exists a unique $(1, d)$ -tree of order $n \geq 3$ if and only if $d - 1$ divides $n - 2$ and furthermore $d = 2$ or $3d \geq n + 1$.

Proof. Suppose there exists a $(1, d)$ -tree T of order n . By Theorem 1 and its proof, $d - 1$ divides $n - 2$ and $(n - 2)/(d - 1)$ is the number of vertices of degree d . First note that the only $(1, 2)$ -tree is the path P_n . Next assume $d \geq 3$.

Suppose $3d \geq n + 1$. Then $(n - 2)/(d - 1) \leq 3$. There are three possibilities. If $(n - 2)/(d - 1) = 1$, i.e., $d = n - 1$, then the star S_n is the unique $(1, n - 1)$ -tree of order n . If $(n - 2)/(d - 1) = 2$, i.e., $d = n/2$, then the caterpillar $CP(n, n/2)$ (a double-broom) is the unique $(1, n/2)$ -tree of order n . If $(n - 2)/(d - 1) = 3$, let x, y, z be the three vertices of degree d which equals $(n + 1)/3$. If any two of the vertices x, y and z do not have a common neighbor, then the tree T would have order at least $3d = n + 1$, a contradiction. Without loss of generality, suppose x and y have a common neighbor, which must be z . Now x and y are nonadjacent since T contains no cycles. It follows that $T = CP(n, d)$ and the uniqueness is proved.

Now suppose $3d \leq n$. We will show that there exist at least two non-isomorphic $(1, d)$ -trees of order n . First, the caterpillar $CP(n, d)$ is such a tree. The condition that $d - 1$ divides $n - 2$ implies $d - 1$ divides $(n - d + 1) - 2$. Hence by Theorem 1, the caterpillar $CP(n - d + 1, d)$, which we denote by G , exists. Let v_1, v_2, \dots, v_k be the vertices of degree d on the spine of G in order where $k = ((n - d + 1) - 2)/(d - 1)$. Since k is an integer, the assumption that $3d \leq n$ implies $k \geq 3$. Let $H(n, d)$ be the graph obtained from G by attaching $d - 1$ edges to one of the leaf neighbors of v_2 . The graphs $CP(17, 4)$ and $H(17, 4)$ are depicted in Figure 2.

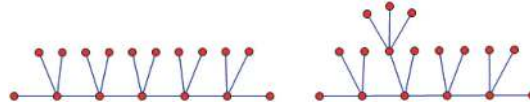


Fig. 2 $CP(17, 4)$ and $H(17, 4)$

Both $CP(n, d)$ and $H(n, d)$ are $(1, d)$ -trees of order n , but they are non-isomorphic, since the former has diameter $(n - 2)/(d - 1) + 1$ while the latter has diameter $(n - 2)/(d - 1)$. The proof is complete.

Finally we consider the maximum possible diameter of non-path two-degree trees of a given order.

Theorem 4. Let $n \geq 4$ be a positive integer and suppose p is the smallest prime divisor of $n - 2$. Then the maximum possible diameter of a non-path two-degree tree of order n is $(n - 2)/p + 1$.

Proof. First note that a $(1, d)$ -tree of order at least three is a path if and only if $d = 2$. Let T be a $(1, d)$ -tree of order n with $d \geq 3$. Suppose T has diameter k and let $P: x_0, x_1, \dots, x_k$ be a diametral path of T ; i.e., the distance between x_0 and x_k is k . Since each internal vertex x_i of P with $1 \leq i \leq k - 1$ has degree d and any two of them do not have a common neighbor, we have $n \geq (k + 1) + (k - 1)(d - 2)$. Hence $k \leq (n - 2)/(d - 1) + 1$. On the other hand, the caterpillar $CP(n, d)$ has the diameter $(n - 2)/(d - 1) + 1$. This proves that the maximum diameter of a $(1, d)$ -tree of order n is $(n - 2)/(d - 1) + 1$. It follows that the maximum possible diameter of a non-path two-degree tree of order n is

$$\max \left\{ \left\lfloor \frac{n - 2}{d - 1} + 1 \right\rfloor \mid d \geq 3, d - 1 \text{ divides } n - 2 \right\} = \frac{n - 2}{p} + 1,$$

where p is the smallest prime divisor of $n - 2$.

The following corollary is an immediate consequence of Theorem 4.

Corollary 5. *If $n \geq 4$ is an even positive integer, then the maximum possible diameter of a non-path two-degree tree of order n is $n/2$.*

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