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A further common q -extension of two supercongruences

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In 2020, the first author and Zudilin gave a q -supercongruence of which the special cases $q = -1$ and $q = 1$ correspond to the (B.2) and (H.2) supercongruences of Van Hamme. In this paper, we present a further generalization of this q -supercongruence with an extra parameter.

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1. Introduction

The formula of Bauer [1] from 1859,

$$\sum_{k=0}^{\infty} (-1)^k \frac{4k+1}{64^k} \binom{2k}{k}^3 = \frac{2}{\pi} \quad (1.1)$$

became famous after Ramanujan [15] found a number of similar looking infinite series for the constant but with a faster convergence in 1914. The formula (1.1) can be deduced from a ${}_4F_3$ summation (known to Ramanujan). But there are several other proofs of it without using hypergeometric functions, including the original one of Bauer [1]. In 1994, Ekhad and Zeilberger [2] gave a remarkable computer proof of (1.1) by making use of the Wilf–Zeilberger (WZ) method of creative telescoping.

It was Van Hamme [21] who observed in 1997 that many Ramanujan-type series have beautiful p -adic analogues. For instance, the supercongruence

$$\sum_{k=0}^{(p-1)/2} (-1)^k \frac{4k+1}{64^k} \binom{2k}{k}^3 \equiv p(-1)^{(p-1)/2} \pmod{p^3} \quad (1.2)$$

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(labeled (B.2) on Van Hamme’s list) holds for any odd prime p and corresponds to the identity (1.1). The supercongruence (1.2) was first confirmed by Mortenson [14] employing a ${}_6F_5$ transformation. It later received a WZ proof by [24] using the very same WZ pair as in [2]. In 2012, using the WZ method again, Sun [20] gave a generalization of (1.1) modulo p^4 for primes $p > 3$.

Another entry on Van Hamme’s list [21], labeled (H.2), is the supercongruence: for any odd prime p ,

$$\sum_{k=0}^{(p-1)/2} \frac{1}{64^k} \binom{2k}{k}^3 \equiv \begin{cases} -\Gamma_p(\frac{1}{4})^4 \pmod{p^2} & \text{if } p \equiv 1 \pmod{4}, \\ 0 \pmod{p^2} & \text{if } p \equiv 3 \pmod{4}, \end{cases} \quad (1.3)$$

where $\Gamma_p(x)$ is the p -adic Gamma function. Van Hamme [21] himself not only observed but also confirmed (1.3). Nowadays, many authors have given different generalizations of (1.3) (see [6,8,9,16,17,18,10,11,12]). For example, Long and Ramakrishna [12, Theorem 3] established the following extension of (1.3):

$$\sum_{k=0}^{(p-1)/2} \frac{1}{64^k} \binom{2k}{k}^3 \equiv \begin{cases} -\Gamma_p(\frac{1}{4})^4 \pmod{p^3} & \text{if } p \equiv 1 \pmod{4}, \\ -\frac{p^2}{16} \Gamma_p(\frac{1}{4})^4 \pmod{p^3} & \text{if } p \equiv 3 \pmod{4}. \end{cases}$$

The first author and Zudilin [9, Theorem 1.1] gave a common q -analogue of the supercongruences (1.2) and (1.3): for any positive odd integer n ,

$$\begin{aligned} & \sum_{k=0}^{(n-1)/2} \frac{(1+q^{4k+1})(q^2; q^4)_k^3}{(1+q)(q^4; q^4)_k^3} q^k \\ & \equiv \frac{[n]_{q^2}(q^3; q^4)_{(n-1)/2}}{(q^5; q^4)_{(n-1)/2}} q^{(1-n)/2} \begin{cases} \pmod{\Phi_n(q)^2 \Phi_n(-q)^3} & \text{if } n \equiv 1 \pmod{4}, \\ \pmod{\Phi_n(q)^3 \Phi_n(-q)^3} & \text{if } n \equiv 3 \pmod{4}. \end{cases} \end{aligned} \quad (1.4)$$

Here and throughout the paper, $\Phi_n(q)$ stands for the n -th *cyclotomic polynomial* in q ; the q -shifted factorial is defined as $(a; q)_0 = 1$ and $(a; q)_n = (1-a)(1-aq) \cdots (1-aq^{n-1})$ for $n \geq 1$ or $n = \infty$. For simplicity, we will also adopt the condensed notation

$$(a_1, a_2, \dots, a_m; q)_n = (a_1; q)_n (a_2; q)_n \cdots (a_m; q)_n$$

for $n \geq 0$ or $n = \infty$. Moreover, $[n] = [n]_q = (1-q^n)/(1-q)$ denotes the q -integer. Note that $\Phi_n(q)\Phi_n(-q) = \Phi_n(q^2)$ for all positive odd integers n .

A purpose of this note is to present the following generalization of (1.4).

Theorem 1.1. *Let n be a positive odd integer, and let $0 \leq s \leq (n-1)/4$. Then*

$$\begin{aligned} & \sum_{k=s}^{(n-1)/2+s} \frac{(1+q^{4k+1})(q^2; q^4)_{k-s}(q^2; q^4)_{k+s}(q^2; q^4)_k}{(1+q)(q^4; q^4)_{k-s}(q^4; q^4)_{k+s}(q^4; q^4)_k} q^k \\ & \equiv (-1)^s [n]_{q^2} \frac{(q^3; q^4)_{(n-1)/2-s}}{(q^5; q^4)_{(n-1)/2+s}} (q; q^2)_{2s} q^{2s(n-s)-(n-1)/2} \\ & \begin{cases} \pmod{\Phi_n(q)^2 \Phi_n(-q)^3} & \text{if } n \equiv 1 \pmod{4}, \\ \pmod{\Phi_n(q)^3 \Phi_n(-q)^3} & \text{if } n \equiv 3 \pmod{4}. \end{cases} \end{aligned}$$

Letting $n = p^r$ be a prime power and taking $q \rightarrow -1$ in Theorem 1.1, we arrive at the following generalization of (1.2), which was already obtained by the first author [4].

Corollary 1.2. *For any odd prime p , positive integer r , and non-negative integer $s \leq (p^r - 1)/4$, there holds*

$$\sum_{k=s}^{(p^r-1)/2+s} \frac{4k+1}{(-64)^k} \binom{2k-2s}{k-s} \binom{2k+2s}{k+s} \binom{2k}{k} \equiv p^r (-1)^{(p-1)r/2+s} \pmod{p^3}.$$

Similarly, letting $n = p^r$ be a prime power and taking $q \rightarrow 1$ in Theorem 1.1, we get the following generalization of (1.3).

Corollary 1.3. *For any odd prime p , positive integer r , and non-negative integer $s \leq (p^r - 1)/4$, there holds*

$$\begin{aligned} & \sum_{k=s}^{(p^r-1)/2+s} \frac{1}{64^k} \binom{2k-2s}{k-s} \binom{2k+2s}{k+s} \binom{2k}{k} \\ & \equiv \frac{p^r (-1)^s \left(\frac{3}{4}\right)_{(p^r-1)/2-s} \left(\frac{1}{2}\right)_{2s}}{4^s \left(\frac{5}{4}\right)_{(p^r-1)/2+s}} \begin{cases} \pmod{p^2} & \text{if } p \equiv 1 \pmod{4}, \\ \pmod{p^3} & \text{if } p \equiv 3 \pmod{4}. \end{cases} \end{aligned}$$

Mao and Pan [13] (see also [19, Theorem 1.3]) proved that, for any prime $p \equiv 1 \pmod{4}$,

$$\sum_{k=0}^{(p+1)/2} \frac{\left(-\frac{1}{2}\right)_k^3}{k!^3} \equiv 0 \pmod{p^2}. \quad (1.5)$$

On the other hand, the $r = -1$, $d = 2$ and $a = 1$ case of [7, Theorem 4.9] implies that, for any odd prime p ,

$$\sum_{k=0}^{(p+1)/2} (-1)^k (4k-1) \frac{\left(-\frac{1}{2}\right)_k^3}{k!^3} \equiv p (-1)^{(p+1)/2} \pmod{p^3}. \quad (1.6)$$

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The first author and Zudilin [9, Theorem 1.2] also gave a common q -analogue of the supercongruences (1.5) and (1.6) as follows: for any positive odd integer n ,

$$\begin{aligned} & \sum_{k=0}^{(n+1)/2} \frac{(1+q^{4k-1})(q^{-2}; q^4)_k^3}{(1+q)(q^4; q^4)_k^3} q^{7k} \\ & \equiv \frac{[n]_{q^2}(q; q^4)_{(n-1)/2}}{(q^7; q^4)_{(n-1)/2}} q^{(n-3)/2} \begin{cases} (\bmod \Phi_n(q)^3 \Phi_n(-q)^3) & \text{if } n \equiv 1 \pmod{4}, \\ (\bmod \Phi_n(q)^2 \Phi_n(-q)^3) & \text{if } n \equiv 3 \pmod{4}. \end{cases} \end{aligned} \quad (1.7)$$

In this note, we shall establish the following generalization of (1.7).

Theorem 1.4. *Let n be a positive odd integer, and let $0 \leq s \leq (n-3)/4$. Then*

$$\begin{aligned} & \sum_{k=s}^{(n+1)/2+s} \frac{(1+q^{4k-1})(q^{-2}; q^4)_{k-s}(q^{-2}; q^4)_{k+s}(q^{-2}; q^4)_k}{(1+q)(q^4; q^4)_{k-s}(q^4; q^4)_{k+s}(q^4; q^4)_k} q^{7k} \\ & \equiv (-1)^s \frac{q^{2s(n-s+2)+(n-9)/2} [n]_{q^2} [4s+1] (q; q^4)_{(n-1)/2-s} (q; q^2)_{2s}}{[2s+1]_{q^2} [2s-1]_{q^2} [4s-1] (q^7; q^4)_{(n-1)/2+s}} \\ & \begin{cases} (\bmod \Phi_n(q)^3 \Phi_n(-q)^3) & \text{if } n \equiv 1 \pmod{4}, \\ (\bmod \Phi_n(q)^2 \Phi_n(-q)^3) & \text{if } n \equiv 3 \pmod{4}. \end{cases} \end{aligned}$$

Letting n be a prime power and $q \rightarrow -1$ in Theorem 1.4, we get the following generalization of (1.5).

Corollary 1.5. *For any odd prime p , positive integer r , and non-negative integer $s \leq (p^r - 3)/4$, there holds*

$$\sum_{k=s}^{(p^r+1)/2+s} (-1)^k (4k-1) \frac{(-\frac{1}{2})_{k-s} (-\frac{1}{2})_{k+s} (-\frac{1}{2})_k}{(k-s)!(k+s)!k!} \equiv p^r \frac{(-1)^{(p-1)r/2+s}}{4s^2-1} \pmod{p^3}.$$

Meanwhile, letting n be a prime power and $q \rightarrow 1$ in Theorem 1.4, we are led to the following generalization of (1.6).

Corollary 1.6. *For any odd prime p , positive integer r , and non-negative integer $s \leq (p^r - 3)/4$, there holds*

$$\begin{aligned} & \sum_{k=s}^{(p^r+1)/2+s} \frac{(-\frac{1}{2})_{k-s} (-\frac{1}{2})_{k+s} (-\frac{1}{2})_k}{(k-s)!(k+s)!k!} \\ & \equiv \frac{p^r (-1)^s (4s+1) (\frac{1}{4})_{(p^r-1)/2-s} (\frac{1}{2})_{2s}}{4s(4s^2-1)(4s-1) (\frac{7}{4})_{(p^r-1)/2+s}} \begin{cases} (\bmod p^3) & \text{if } p \equiv 1 \pmod{4}, \\ (\bmod p^2) & \text{if } p \equiv 3 \pmod{4}. \end{cases} \end{aligned}$$

We shall prove Theorems 1.1 and 1.4 in Sections 2 and 3, respectively.

2. Proof of Theorem 1.1

The following easily proved q -congruence (see [5, Lemma 3.1]) will play an important part in our derivation of Theorems 1.1 and 1.4.

Lemma 2.1. *Let n be a positive odd integer. Then, for $0 \leq k \leq (n-1)/2$, we have*

$$\frac{(aq; q^2)_{(n-1)/2-k}}{(q^2/a; q^2)_{(n-1)/2-k}} \equiv (-a)^{(n-1)/2-2k} \frac{(aq; q^2)_k}{(q^2/a; q^2)_k} q^{(n-1)^2/4+k} \pmod{\Phi_n(q)}.$$

We shall also use the q -Dixon sum (see [3, Appendix (II.13)]), which can be written as

$${}_4\phi_3 \left[\begin{matrix} a, -qa^{\frac{1}{2}}, b, c \\ -a^{\frac{1}{2}}, aq/b, aq/c \end{matrix}; q, \frac{qa^{\frac{1}{2}}}{bc} \right] = \frac{(aq, qb^{-1}a^{\frac{1}{2}}, qc^{-1}a^{\frac{1}{2}}, aq/bc; q)_{\infty}}{(aq/b, aq/c, qa^{\frac{1}{2}}, qa^{\frac{1}{2}}/bc; q)_{\infty}}, \quad (2.1)$$

where the *basic hypergeometric* ${}_r+1\phi_r$ series with $r+1$ upper parameters a_1, \dots, a_{r+1} , r lower parameters b_1, \dots, b_r , base q and argument z is defined as

$${}_{r+1}\phi_r \left[\begin{matrix} a_1, a_2, \dots, a_{r+1} \\ b_1, \dots, b_r \end{matrix}; q, z \right] := \sum_{k=0}^{\infty} \frac{(a_1, \dots, a_{r+1}; q)_k}{(q, b_1, \dots, b_r; q)_k} z^k.$$

We first build the following q -congruence with an additional parameter a .

Theorem 2.2. *Let n be a positive odd integer and $0 \leq s \leq (n-1)/4$. Let a be an indeterminate. Then*

$$\begin{aligned} & \sum_{k=s}^{(n-1)/2+s} \frac{(1+q^{4k+1})(aq^2; q^4)_{k-s}(q^2; q^4)_{k+s}(q^2/a; q^4)_k}{(1+q)(q^4; q^4)_{k-s}(q^4/a; q^4)_{k+s}(aq^4; q^4)_k} q^k \\ & \equiv \frac{(q^2; q^4)_{(n+1)/2}(q^3; q^4)_{(n-1)/2-s}(q^2/a, aq^3; q^4)_s}{(q^{2-4s}; q^4)_{(n-1)/2+s}(q^{5+4s}; q^4)_{(n-1)/2}(1+q)(1-q^{1+4s})} q^{(4s-1)((n-1)/2-s)/2+s} \\ & \begin{cases} \pmod{\Phi_n(-q)(1-aq^{2n})(a-q^{2n})} & \text{if } n \equiv 1 \pmod{4}, \\ \pmod{\Phi_n(q^2)(1-aq^{2n})(a-q^{2n})} & \text{if } n \equiv 3 \pmod{4}. \end{cases} \end{aligned} \quad (2.2)$$

Proof. For $a = q^{-2n}$, the left-hand side of (2.2) can be written as

$$\begin{aligned} & \sum_{k=s}^{(n-1)/2+s} \frac{(1+q^{4k+1})(q^{2-2n}; q^4)_{k-s}(q^2; q^4)_{k+s}(q^{2+2n}; q^4)_k}{(1+q)(q^4; q^4)_{k-s}(q^{4+2n}; q^4)_{k+s}(q^{4-2n}; q^4)_k} q^k \\ & = \sum_{k=0}^{(n-1)/2} \frac{(1+q^{1+4k+4s})(q^{2-2n}; q^4)_k(q^2; q^4)_{k+2s}(q^{2+2n}; q^4)_{k+s}}{(1+q)(q^4; q^4)_k(q^{4+2n}; q^4)_{k+2s}(q^{4-2n}; q^4)_{k+s}} q^{k+s} \\ & = q^s \frac{(q^2; q^4)_{2s}(q^{2+2n}; q^4)_s}{(q^{4+2n}; q^4)_{2s}(q^{4-2n}; q^4)_s} \\ & \quad \times \sum_{k=0}^{(n-1)/2} \frac{(1+q^{1+4k+4s})(q^{2-2n}; q^4)_k(q^{2+8s}; q^4)_k(q^{2+4s+2n}; q^4)_k}{(1+q)(q^4; q^4)_k(q^{4+8s+2n}; q^4)_k(q^{4+4s-2n}; q^4)_k} q^k. \end{aligned} \quad (2.3)$$

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Making the parameter substitutions $q \mapsto q^4$, $a \mapsto q^{2+8s}$, $b \mapsto q^{2+4s}/a$ and $c \mapsto aq^2$ in (2.1), we get

$$\sum_{k=0}^{\infty} \frac{(q^{2+8s}, -q^{5+4s}, q^{2+4s}/a, aq^2; q^4)_k}{(q^4, -q^{1+4s}, aq^{4+4s}, q^{4+8s}/a; q^4)_k} q^k = \frac{(q^{6+8s}, aq^3, q^{3+4s}/a, q^{2+4s}; q^4)_{\infty}}{(aq^{4+4s}, q^{4+8s}/a, q^{5+4s}, q; q^4)_{\infty}}. \quad (2.4)$$

Since n is odd, taking $a = q^{-2n}$ in (2.4), we know that the left-hand side terminates and is equal to

$$\begin{aligned} & \sum_{k=0}^{\infty} \frac{(q^{2+8s}, -q^{5+4s}, q^{2+4s+2n}, q^{2-2n}; q^4)_k}{(q^4, -q^{1+4s}, q^{4+4s-2n}, q^{4+8s+2n}; q^4)_k} q^k \\ &= \sum_{k=0}^{(n-1)/2} \frac{(1 + q^{1+4k+4s})(q^{2-2n}, q^{2+8s}, q^{2+4s+2n}; q^4)_k}{(1 + q^{1+4s})(q^4, q^{4+8s+2n}, q^{4+4s-2n}; q^4)_k} q^k, \end{aligned}$$

while the right-hand side becomes

$$\frac{(q^{6+8s}, q^{3-2n}, q^{3+4s+2n}, q^{2+4s}; q^4)_{\infty}}{(q^{4+4s-2n}, q^{4+8s+2n}, q^{5+4s}, q; q^4)_{\infty}} = \frac{(q^{6+8s}, q^{3-2n}; q^4)_{(n-1)/2}}{(q^{4+4s-2n}, q^{5+4s}; q^4)_{(n-1)/2}}.$$

It follows that

$$\begin{aligned} & \sum_{k=0}^{(n-1)/2} \frac{(1 + q^{1+4k+4s})(q^{2-2n}, q^{2+8s}, q^{2+4s+2n}; q^4)_k}{(1 + q^{1+4s})(q^4, q^{4+8s+2n}, q^{4+4s-2n}; q^4)_k} q^k \\ &= \frac{(q^{6+8s}, q^{3-2n}; q^4)_{(n-1)/2}}{(q^{4+4s-2n}, q^{5+4s}; q^4)_{(n-1)/2}}. \end{aligned} \quad (2.5)$$

Substituting (2.5) into (2.3) and making some simplifications, we obtain

$$\begin{aligned} & \sum_{k=s}^{(n-1)/2+s} \frac{(1 + q^{4k+1})(q^{2-2n}; q^4)_{k-s}(q^2; q^4)_{k+s}(q^{2+2n}; q^4)_k}{(1 + q)(q^4; q^4)_{k-s}(q^{4+2n}; q^4)_{k+s}(q^{4-2n}; q^4)_k} q^k \\ &= \frac{(1 + q^{1+4s})(q^2; q^4)_{2s}(q^{2+2n}; q^4)_s(q^{6+8s}, q^{3-2n}; q^4)_{(n-1)/2}}{(1 + q)(q^{4+2n}; q^4)_{2s}(q^{4-2n}; q^4)_s(q^{4+4s-2n}, q^{5+4s}; q^4)_{(n-1)/2}} \\ &= \frac{(q^2; q^4)_{(n+1)/2}(q^3; q^4)_{(n-1)/2-s}(q^{2+2n}, q^{3-2n}; q^4)_s}{(q^{2-4s}; q^4)_{(n-1)/2+s}(q^{5+4s}; q^4)_{(n-1)/2}(1 + q)(1 - q^{1+4s})} q^{(4s-1)((n-1)/2-s)/2+s}. \end{aligned}$$

This proves that the q -congruence (2.2) is true modulo $1 - aq^{2n}$.

Similarly, for $a = q^{2n}$, the left-hand side of (2.4) can be written as

$$\begin{aligned}
& \sum_{k=s}^{(n-1)/2+s} \frac{(1+q^{4k+1})(q^{2+2n}; q^4)_{k-s}(q^2; q^4)_{k+s}(q^{2-2n}; q^4)_k}{(1+q)(q^4; q^4)_{k-s}(q^{4-2n}; q^4)_{k+s}(q^{4+2n}; q^4)_k} q^k \\
&= \sum_{k=0}^{(n-1)/2} \frac{(1+q^{1+4k+4s})(q^{2+2n}; q^4)_k(q^2; q^4)_{k+2s}(q^{2-2n}; q^4)_{k+s}}{(1+q)(q^4; q^4)_k(q^{4-2n}; q^4)_{k+2s}(q^{4+2n}; q^4)_{k+s}} q^{k+s} \\
&= q^s \frac{(q^2; q^4)_{2s}(q^{2-2n}; q^4)_s}{(q^{4-2n}; q^4)_{2s}(q^{4+2n}; q^4)_s} \\
&\quad \times \sum_{k=0}^{(n-1)/2} \frac{(1+q^{1+4k+4s})(q^{2+2n}; q^4)_k(q^{2+8s}; q^4)_k(q^{2+4s-2n}; q^4)_k}{(1+q)(q^4; q^4)_k(q^{4+8s-2n}; q^4)_k(q^{4+4s+2n}; q^4)_k} q^k. \quad (2.6)
\end{aligned}$$

Letting $a = q^{2n}$ in (2.4), we conclude that the left-hand side is equal to

$$\begin{aligned}
& \sum_{k=0}^{\infty} \frac{(q^{2+8s}, -q^{5+4s}, q^{2+4s-2n}, q^{2+2n}; q^4)_k}{(q^4, -q^{1+4s}, q^{4+4s+2n}, q^{4+8s-2n}; q^4)_k} q^k \\
&= \sum_{k=0}^{(n-1)/2-s} \frac{(1+q^{1+4k+4s})(q^{2+2n}, q^{2+8s}, q^{2+4s-2n}; q^4)_k}{(1+q^{1+4s})(q^4, q^{4+8s-2n}, q^{4+4s+2n}; q^4)_k} q^k \\
&= \sum_{k=0}^{(n-1)/2} \frac{(1+q^{1+4k+4s})(q^{2+2n}, q^{2+8s}, q^{2+4s-2n}; q^4)_k}{(1+q^{1+4s})(q^4, q^{4+8s-2n}, q^{4+4s+2n}; q^4)_k} q^k,
\end{aligned}$$

while the right-hand side becomes

$$\frac{(q^{6+8s}, q^{3+2n}, q^{3+4s-2n}, q^{2+4s}; q^4)_{\infty}}{(q^{4+4s+2n}, q^{4+8s-2n}, q^{5+4s}, q; q^4)_{\infty}} = \frac{(q^{6+8s}, q^{3+4s-2n}; q^4)_{(n-1)/2-s}}{(q^{4+8s-2n}, q^{5+4s}; q^4)_{(n-1)/2-s}}.$$

It follows that

$$\begin{aligned}
& \sum_{k=0}^{(n-1)/2} \frac{(1+q^{1+4k+4s})(q^{2+2n}, q^{2+8s}, q^{2+4s-2n}; q^4)_k}{(1+q^{1+4s})(q^4, q^{4+8s-2n}, q^{4+4s+2n}; q^4)_k} q^k \\
&= \frac{(q^{6+8s}, q^{3+4s-2n}; q^4)_{(n-1)/2-s}}{(q^{4+8s-2n}, q^{5+4s}; q^4)_{(n-1)/2-s}}. \quad (2.7)
\end{aligned}$$

Substituting (2.7) into (2.6), we arrive at

$$\begin{aligned}
& \sum_{k=s}^{(n-1)/2+s} \frac{(1+q^{4k+1})(q^{2+2n}; q^4)_{k-s}(q^2; q^4)_{k+s}(q^{2-2n}; q^4)_k}{(1+q)(q^4; q^4)_{k-s}(q^{4-2n}; q^4)_{k+s}(q^{4+2n}; q^4)_k} q^k \\
&= \frac{(1+q^{1+4s})(q^2; q^4)_{2s}(q^{2-2n}; q^4)_s(q^{6+8s}, q^{3+4s-2n}; q^4)_{(n-1)/2-s}}{(1+q)(q^{4-2n}; q^4)_{2s}(q^{4+2n}; q^4)_s(q^{4+8s-2n}, q^{5+4s}; q^4)_{(n-1)/2-s}} \\
&= \frac{(q^2; q^4)_{(n+1)/2}(q^3; q^4)_{(n-1)/2-s}(q^{2-2n}, q^{3+2n}; q^4)_s}{(q^{2-4s}; q^4)_{(n-1)/2+s}(q^{5+4s}; q^4)_{(n-1)/2}(1+q)(1-q^{1+4s})} q^{(4s-1)((n-1)/2-s)/2+s}.
\end{aligned}$$

This proves that the q -congruence (2.2) is true modulo $a - q^{2n}$.

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In view of Lemma 2.1 we can check that, for $m = (n-1)/2$ and $s \leq k \leq m-s$,

$$\begin{aligned} & \frac{(1+q^{4m-4k+1})(aq^2; q^4)_{m-k-s}(q^2; q^4)_{m-k+s}(q^2/a; q^4)_{m-k}}{(1+q)(q^4; q^4)_{m-k-s}(q^4/a; q^4)_{m-k+s}(aq^4; q^4)_{m-k}} q^{m-k} \\ & \equiv - \frac{(1+q^{4k+1})(aq^2; q^4)_{k-s}(q^2; q^4)_{k+s}(q^2/a; q^4)_k}{(1+q)(q^4; q^4)_{k-s}(q^4/a; q^4)_{k+s}(aq^4; q^4)_k} q^k \\ & \begin{cases} (\text{mod } \Phi_n(-q)) & \text{if } n \equiv 1 \pmod{4}, \\ (\text{mod } \Phi_n(q^2)) & \text{if } n \equiv 3 \pmod{4}. \end{cases} \end{aligned}$$

Furthermore, for s in the range $(n-1)/2-s < k \leq (n-1)/2$, the summand indexed k on the left-hand side of (2.2) is congruent to 0 modulo $\Phi_n(q^2)$ because the factor $(q^2; q^4)_{k+s}$ appears in the numerator. This means that

$$\begin{aligned} & \sum_{k=s}^{(n-1)/2+s} \frac{(1+q^{4k+1})(aq^2; q^4)_{k-s}(q^2; q^4)_{k+s}(q^2/a; q^4)_k}{(1+q)(q^4; q^4)_{k-s}(q^4/a; q^4)_{k+s}(aq^4; q^4)_k} q^k \\ & \equiv \begin{cases} (\text{mod } \Phi_n(-q)) & \text{if } n \equiv 1 \pmod{4}, \\ (\text{mod } \Phi_n(q^2)) & \text{if } n \equiv 3 \pmod{4}. \end{cases} \end{aligned}$$

Namely, the q -congruence (2.2) is true modulo $\Phi_n(-q)$ if $n \equiv 1 \pmod{4}$, and is true modulo $\Phi_n(q^2)$ if $n \equiv 3 \pmod{4}$. The proof then follows from the fact that $(\Phi_n(-q))$ (or $\Phi_n(q^2)$), $1-aq^{2n}$, and $a-q^{2n}$ are pairwise coprime polynomials in $\mathbb{Q}[q]$.

Proof of Theorem 1.1. Suppose that $n > 1$, for the $n = 1$ case is trivial. Both sides of (2.2) are coprime with $\Phi_n(q^2)$ when $a = 1$, since k is in the range $s \leq k \leq (n-1)/2+s$. On the other hand, when $a = 1$, the polynomial $(1-aq^{2n})(a-q^{2n}) = (1-q^{2n})^2$ has the factor $\Phi_n(q^2)^2$. Thus, letting $a = 1$ in (2.2) and performing some simplifications, we are led to

$$\begin{aligned} & \sum_{k=s}^{(n-1)/2+s} \frac{(1+q^{4k+1})(q^2; q^4)_{k-s}(q^2; q^4)_{k+s}(q^2; q^4)_k}{(1+q)(q^4; q^4)_{k-s}(q^4; q^4)_{k+s}(q^4; q^4)_k} q^k \\ & \equiv \frac{(q^2; q^4)_{(n+1)/2}(q^3; q^4)_{(n-1)/2-s}(q^2, q^3; q^4)_s}{(q^{2-4s}; q^4)_{(n-1)/2+s}(q^{5+4s}; q^4)_{(n-1)/2}(1+q)(1-q^{1+4s})} q^{(4s-1)((n-1)/2-s)/2+s} \\ & = (-1)^s [n]_{q^2} \frac{(q^3; q^4)_{(n-1)/2-s}}{(q^5; q^4)_{(n-1)/2+s}} (q, q^2)_{2s} q^{2s(n-s)-(n-1)/2} \\ & \begin{cases} (\text{mod } \Phi_n(q)^2 \Phi_n(-q)^3) & \text{if } n \equiv 1 \pmod{4}, \\ (\text{mod } \Phi_n(q)^3 \Phi_n(-q)^3) & \text{if } n \equiv 3 \pmod{4}, \end{cases} \end{aligned}$$

as desired. \square

3. Proof of Theorem 1.4

Likewise, we need the following parametric generalization of Theorem 1.4.

Theorem 3.1. *Let n be a positive odd integer and $0 \leq s \leq (n-3)/4$. Let a be an indeterminate. Then*

$$\begin{aligned} & \sum_{k=s}^{(n+1)/2+s} \frac{(1+q^{4k-1})(aq^{-2}; q^4)_{k-s}(q^{-2}; q^4)_{k+s}(q^{-2}/a; q^4)_k}{(1+q)(q^4; q^4)_{k-s}(q^4/a; q^4)_{k+s}(aq^4; q^4)_k} q^{7k} \\ & \equiv \frac{(q^{6+4s}; q^4)_{(n-1)/2-s}(q^{1-4s}; q^4)_{(n-1)/2}(aq^5, q^{-2}/a; q^4)_s}{(q^6; q^4)_{(n-3)/2}(q^7; q^4)_{(n-1)/2+s}(1+q)(q^{-1+4s}-1)} q^{(2n+5)s+(n-5)/2} \\ & \begin{cases} (\text{mod } \Phi_n(q^2)(1-aq^{2n})(a-q^{2n})) & \text{if } n \equiv 1 \pmod{4}, \\ (\text{mod } \Phi_n(-q)(1-aq^{2n})(a-q^{2n})) & \text{if } n \equiv 3 \pmod{4}. \end{cases} \end{aligned} \quad (3.1)$$

Proof. For $a = q^{-2n}$, the left-hand side of (3.1) can be written as

$$\begin{aligned} & \sum_{k=s}^{(n+1)/2+s} \frac{(1+q^{-1+4k})(aq^{-2}; q^4)_{k-s}(q^{-2}; q^4)_{k+s}(q^{-2}/a; q^4)_k}{(1+q)(q^4; q^4)_{k-s}(q^4/a; q^4)_{k+s}(aq^4; q^4)_k} q^{7k} \\ & = \sum_{k=s}^{(n+1)/2+s} \frac{(1+q^{-1+4k})(q^{-2-2n}; q^4)_{k-s}(q^{-2}; q^4)_{k+s}(q^{-2+2n}; q^4)_k}{(1+q)(q^4; q^4)_{k-s}(q^{4+2n}; q^4)_{k+s}(q^{4-2n}; q^4)_k} q^{7k} \\ & = \sum_{k=0}^{(n+1)/2} \frac{(1+q^{-1+4k+4s})(q^{-2-2n}; q^4)_k(q^{-2}; q^4)_{k+2s}(q^{-2+2n}; q^4)_{k+s}}{(1+q)(q^4; q^4)_k(q^{4+2n}; q^4)_{k+2s}(q^{4-2n}; q^4)_{k+s}} q^{7k+7s}. \end{aligned} \quad (3.2)$$

Performing the parameter substitutions $q \mapsto q^4$, $a \mapsto q^{-2+8s}$, $b \mapsto q^{-2+4s}/a$ and $c \mapsto aq^{-2}$ in (2.1), we obtain

$$\begin{aligned} & \sum_{k=0}^{\infty} \frac{(q^{-2+8s}, -q^{3+4s}, q^{-2+4s}/a, aq^{-2}; q^4)_k}{(q^4, -q^{-1+4s}, aq^{4+4s}, q^{4+8s}/a; q^4)_k} q^{7k} \\ & = \frac{(q^{2+8s}, aq^5, q^{5+4s}/a, q^{6+4s}; q^4)_{\infty}}{(aq^{4+4s}, q^{4+8s}/a, q^{3+4s}, q^7; q^4)_{\infty}}. \end{aligned} \quad (3.3)$$

Putting $a = q^{-2n}$ in (3.3), we obtain

$$\begin{aligned} & \sum_{k=0}^{(n+1)/2} \frac{(1+q^{-1+4k+4s})(q^{-2-2n}, q^{-2+8s}, q^{-2+4s+2n}; q^4)_k}{(1+q^{-1+4s})(q^4, q^{4+8s+2n}, q^{4+4s-2n}; q^4)_k} q^{7k} \\ & = \frac{(q^{5-2n}, q^{6+4s}; q^4)_{(n-1)/2+s}}{(q^7, q^{4+4s-2n}; q^4)_{(n-1)/2+s}}. \end{aligned} \quad (3.4)$$

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Substituting (3.4) into (3.2), we get

$$\begin{aligned}
& \sum_{k=s}^{(n+1)/2+s} \frac{(1+q^{-1+4k})(q^{-2-2n}; q^4)_{k-s}(q^2; q^4)_{k+s}(q^{-2+2n}; q^4)_k}{(1+q)(q^4; q^4)_{k-s}(q^{4+2n}; q^4)_{k+s}(q^{4-2n}; q^4)_k} q^{7k} \\
&= q^{7s} \frac{(1+q^{-1+4s})(q^{-2}; q^4)_{2s}(q^{-2+2n}; q^4)_s(q^{5-2n}, q^{6+4s}; q^4)_{(n-1)/2+s}}{(1+q)(q^{4+2n}; q^4)_{2s}(q^{4-2n}; q^4)_s(q^7, q^{4+4s-2n}; q^4)_{(n-1)/2+s}} \\
&= \frac{(q^{6+4s}; q^4)_{(n-1)/2-s}(q^{1-4s}; q^4)_{(n-1)/2}(q^{5-2n}, q^{-2+2n}; q^4)_s}{(q^6; q^4)_{(n-3)/2}(q^7; q^4)_{(n-1)/2+s}(1+q)(q^{-1+4s}-1)} q^{(2n+5)s+(n-5)/2}.
\end{aligned}$$

This proves that the q -congruence (3.1) is true modulo $1 - aq^{2n}$.

Similarly, for $a = q^{2n}$, the left-hand side of (3.1) can be written as

$$\begin{aligned}
& \sum_{k=s}^{(n+1)/2+s} \frac{(1+q^{-1+4k})(aq^{-2}; q^4)_{k-s}(q^{-2}; q^4)_{k+s}(q^{-2}/a; q^4)_k}{(1+q)(q^4; q^4)_{k-s}(q^4/a; q^4)_{k+s}(aq^4; q^4)_k} q^{7k} \\
&= \sum_{k=s}^{(n+1)/2+s} \frac{(1+q^{-1+4k})(q^{-2+2n}; q^4)_{k-s}(q^{-2}; q^4)_{k+s}(q^{-2-2n}; q^4)_k}{(1+q)(q^4; q^4)_{k-s}(q^{4-2n}; q^4)_{k+s}(q^{4+2n}; q^4)_k} q^{7k} \\
&= \sum_{k=0}^{(n+1)/2} \frac{(1+q^{-1+4k+4s})(q^{-2+2n}; q^4)_k(q^{-2}; q^4)_{k+2s}(q^{-2-2n}; q^4)_{k+s}}{(1+q)(q^4; q^4)_k(q^{4-2n}; q^4)_{k+2s}(q^{4+2n}; q^4)_{k+s}} q^{7k+7s}.
\end{aligned} \tag{3.5}$$

We now put $a = q^{2n}$ in (3.3) to get the following identity:

$$\begin{aligned}
& \sum_{k=0}^{(n+1)/2} \frac{(1+q^{-1+4k+4s})(q^{-2+2n}, q^{-2+8s}, q^{-2+4s-2n}; q^4)_k}{(1+q^{-1+4s})(q^4, q^{4+8s-2n}, q^{4+4s+2n}; q^4)_k} q^{7k} \\
&= \frac{(q^{5+4s-2n}, q^{6+4s}; q^4)_{(n-1)/2}}{(q^7, q^{4+8s-2n}; q^4)_{(n-1)/2}}.
\end{aligned} \tag{3.6}$$

Substituting (3.6) into (3.5), we get

$$\begin{aligned}
& \sum_{k=s}^{(n+1)/2+s} \frac{(1+q^{-1+4k})(aq^{-2}; q^4)_{k-s}(q^{-2}; q^4)_{k+s}(q^{-2}/a; q^4)_k}{(1+q)(q^4; q^4)_{k-s}(q^4/a; q^4)_{k+s}(aq^4; q^4)_k} q^{7k} \\
&= q^{7s} \frac{(1+q^{-1+4s})(q^{-2}; q^4)_{2s}(q^{-2-2n}; q^4)_s(q^{5+4s-2n}, q^{6+4s}; q^4)_{(n-1)/2}}{(1+q)(q^{4-2n}; q^4)_{2s}(q^{4+2n}; q^4)_s(q^7, q^{4+8s-2n}; q^4)_{(n-1)/2}} \\
&= \frac{(q^{6+4s}; q^4)_{(n-1)/2-s}(q^{1-4s}; q^4)_{(n-1)/2}(q^{5+2n}, q^{-2-2n}; q^4)_s}{(q^6; q^4)_{(n-3)/2}(q^7; q^4)_{(n-1)/2+s}(1+q)(q^{-1+4s}-1)} q^{(2n+5)s+(n-5)/2}.
\end{aligned}$$

This shows that the q -congruence (3.1) is true modulo $a - q^{2n}$.

In light of Lemma 2.1, we can verify that, for $m = (n+1)/2$ and $s \leq k \leq m-s$,

$$\begin{aligned} & \frac{(1+q^{4m-4k-1})(aq^{-2}; q^4)_{m-k-s}(q^{-2}; q^4)_{m-k+s}(q^{-2}/a; q^4)_{m-k}}{(1+q)(q^4; q^4)_{m-k-s}(q^4/a; q^4)_{m-k+s}(aq^4; q^4)_{m-k}} q^{7(m-k)} \\ & \equiv - \frac{(1+q^{4k-1})(aq^{-2}; q^4)_{k-s}(q^{-2}; q^4)_{k+s}(q^{-2}/a; q^4)_k}{(1+q)(q^4; q^4)_{k-s}(q^4/a; q^4)_{k+s}(aq^4; q^4)_k} q^{7k} \\ & \begin{cases} \pmod{\Phi_n(q^2)} & \text{if } n \equiv 1 \pmod{4}, \\ \pmod{\Phi_n(-q)} & \text{if } n \equiv 3 \pmod{4}. \end{cases} \end{aligned}$$

Moreover, for $(n+1)/2-s < k \leq (n+1)/2$, the summand indexed k on the left-hand side of (3.1) is congruent to 0 modulo $\Phi_n(q^2)$. This proves that

$$\begin{aligned} & \sum_{k=s}^{(n+1)/2+s} \frac{(1+q^{4k-1})(aq^{-2}; q^4)_{k-s}(q^{-2}; q^4)_{k+s}(q^{-2}/a; q^4)_k}{(1+q)(q^4; q^4)_{k-s}(q^4/a; q^4)_{k+s}(aq^4; q^4)_k} q^{7k} \\ & \equiv 0 \begin{cases} \pmod{\Phi_n(q^2)} & \text{if } n \equiv 1 \pmod{4}, \\ \pmod{\Phi_n(-q)} & \text{if } n \equiv 3 \pmod{4}. \end{cases} \end{aligned}$$

Namely, the q -congruence (3.1) is true modulo $\Phi_n(q^2)$ if $n \equiv 1 \pmod{4}$, and is true modulo $\Phi_n(-q)$ if $n \equiv 3 \pmod{4}$. Since $\Phi_n(q)^2$, $1-aq^{2n}$, and $a-q^{2n}$ are pairwise coprime polynomials, we complete the proof. \square

Proof of Theorem 1.4. Letting $a = 1$ in (3.1) and making some simplifications, we finish the proof. \square

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