# A NEW FAMILY OF q-HYPERGEOMETRIC CONGRUENCES FROM ANDREWS' MULTI-SERIES TRANSFORMATION

#### VICTOR J. W. GUO AND MICHAEL J. SCHLOSSER

ABSTRACT. We deduce a new family of q-hypergeometric congruences modulo the fourth power of a cyclotomic polynomial from George Andrews' multi-series extension of the Watson transformation. A Karlsson–Minton type summation for very-well-poised basic hypergeometric series due to George Gasper also plays an important role in our proof. We put forward two relevant conjectures on supercongruences and q-supercongruences for further study.

### 1. INTRODUCTION

Let  $(a)_n = a(a+1)\cdots(a+n-1)$  denote the rising factorial, or the Pochhammer symbol. In 2016, Long and Ramakrishna [19, Thm. 2] established the following supercongruence: for any odd prime p,

$$\sum_{k=0}^{p-1} (6k+1) \frac{(\frac{1}{3})_k^6}{k!^6} \equiv \begin{cases} -p\Gamma_p(\frac{1}{3})^9 \pmod{p^6}, & \text{if } p \equiv 1 \pmod{6}, \\ -\frac{10p^4}{27}\Gamma_p(\frac{1}{3})^9 \pmod{p^6}, & \text{if } p \equiv 5 \pmod{6}, \end{cases}$$
(1.1)

where  $\Gamma_p(x)$  denotes the *p*-adic Gamma function. For  $p \equiv 1 \pmod{6}$  this result confirms Van Hamme's (D.2) supercongruence [24], which is a congruence modulo  $p^4$ . The supercongruence (1.1) is now called a Ramanujan-type supercongruence. For more such supercongruences, we refer the reader to [24,27].

Nowadays, a number of supercongruences have been generalized to q-supercongruences by different authors (see, for example, [7,9,11,12,16,25]). In particular, the authors [11, Theorem 2.3] gave a partial q-analogue of (1.1):

$$\sum_{k=0}^{n-1} [6k+1] \frac{(q;q^3)_k^6}{(q^3;q^3)_k^6} q^{3k} \equiv \begin{cases} 0 \pmod{[n]}, & \text{if } n \equiv 1 \pmod{3}, \\ 0 \pmod{[n]\Phi_n(q)}, & \text{if } n \equiv 2 \pmod{3}. \end{cases}$$
(1.2)

Shortly afterwards, they [13,14] further proved the following result: for any integers d, r, n satisfying  $d \ge 2$ ,  $r \le d-2$ ,  $n \ge d-r$ , d and r are coprime, and  $n \equiv -r \pmod{d}$ , there

<sup>1991</sup> Mathematics Subject Classification. Primary 33D15; Secondary 11A07, 11B65.

Key words and phrases. basic hypergeometric series; supercongruences; q-congruences; cyclotomic polynomial; Andrews' transformation, Gasper's summation.

holds

$$\sum_{k=0}^{n-1} [2dk+r] \frac{(q^r; q^d)_k^{2d}}{(q^d; q^d)_k^{2d}} q^{d(d-1-r)k} \equiv 0 \pmod{[n]\Phi_n(q)^3}.$$
(1.3)

Recently, Wei [25] obtained the following complete q-analogue of the second supercongruence in (1.1): for any positive integer  $n \equiv 2 \pmod{3}$ ,

$$\sum_{k=0}^{n-1} [6k+1] \frac{(q;q^3)_k^6}{(q^3;q^3)_k^6} q^{3k} \equiv 5[2n] \frac{(q^2;q^3)_{(2n-1)/3}^3}{(q^3;q^3)_{(2n-1)/3}^3} \pmod{[n]\Phi_n(q)^5}, \tag{1.4}$$

He also generalized (1.2) for  $n \equiv 1 \pmod{3}$  to the modulus  $[n]\Phi_n(q)^4$  case. At this point, it is appropriate to recall the standard q-notation (see [3]). For any indeterminates a and q, let  $(a;q)_n = (1-a)(1-aq)\cdots(1-aq^{n-1})$  be the q-shifted factorial. For brevity, we adopt the notation  $(a_1, a_2, \ldots, a_m; q)_n = (a_1; q)_n (a_2; q)_n \cdots (a_m; q)_n$ . Moreover,  $[n] = [n]_q = 1 + q + \cdots + q^{n-1}$  denotes the q-integer and  $\Phi_n(q)$  the n-th cyclotomic polynomial in q, which is irreducible over the integers and may be factorized over the complex numbers as

$$\Phi_n(q) = \prod_{\substack{1 \le k \le n \\ \gcd(n,k)=1}} (q - \zeta^k),$$

where  $\zeta$  is any *n*-th primitive root of unity.

In this paper, we shall establish the following generalization of (1.3).

**Theorem 1.1.** Let d, r, s, n be integers satisfying  $d \ge 2$ ,  $r \le d-2$  (in particular, r is possibly negative),  $0 \le s \le d-r-2$ , and  $n \ge d-r$ , such that gcd(d, r) = 1 and  $n \equiv -r \pmod{d}$ . Then

$$\sum_{k=0}^{M} [2dk+r]_{q^2} [2dk+r]^{2s} \frac{(q^{2r};q^{2d})_k^{2d}}{(q^{2d};q^{2d})_k^{2d}} q^{2d(d-r-s-1)k} \equiv 0 \pmod{[n]_{q^2} \Phi_n(q^2)^3}, \quad (1.5)$$

where M = (dn - n - r)/d or M = n - 1.

Letting  $n = p^m$  be a prime power and then taking the limits as  $q \to 1$ , we get the following supercongruence from (1.5): for any integers d, r, s with  $d \ge 2$ ,  $r \le d-2$ ,  $0 \le s \le d-r-2$  and gcd(d,r) = 1, and prime p with  $p^m \ge d-r$  and  $p^m \equiv -r \pmod{d}$ ,

$$\sum_{k=0}^{M} (2dk+r)^{2s+1} \frac{\left(\frac{r}{d}\right)_k^{2d}}{(1)_k^{2d}} \equiv 0 \pmod{p^{m+3}},\tag{1.6}$$

where  $M = (dp^m - p^m - r)/d$  or  $M = p^m - 1$ .

The proof of Theorem 1.1 is similar to that of (1.3). Namely, we need to make a careful use of Andrews' multi-series generalization (2.2) of Watson's  ${}_{8}\Phi_{7}$  transformation [1, Theorem 4], along with Gasper's very-well-poised Karlsson–Minton type summation [2, Eq. (5.13)]. We remark that Andrews' transformation plays an important part in number theory and combinatorics. For instance, Zudilin [26] utilized Andrews' transformation to

solve a problem of Schmidt. Krattenthaler and Rivoal [18] applied it to give an alternative proof of a result of Zudilin relating a very-well-poised hypergeometric series to a Vasilenko–Vasilev-type multiple integral, an important tool in the study of the arithmetic behavior of values of the Riemann zeta function at integers. The couple Hessami Pilehrood [17] also employed this transformation to provide a short proof of a theorem of Zagier. For the application of Andrews' multi-series transformation in q-congruences, see [4–9, 12, 15, 23].

We shall prove the q-congruence (1.5) modulo  $\Phi_n(q^2)^4$  in Section 2, and prove it is also true modulo  $[n]_{q^2}$  in Section 3. The combination of these two congruences results in a congruence modulo the least common multiple of  $\Phi_n(q^2)^4$  and  $[n]_{q^2}$ , i.e., modulo  $[n]_{q^2}\Phi_n(q^2)^3$ , which full establishes Theorem 1.1. Finally, we propose two related conjectures in Section 4.

## 2. Proof of (1.5) modulo $\Phi_n(q^2)^4$

We need a simple q-congruence modulo  $\Phi_n(q^2)^2$ , which is intrinsically the same as [13, Lemma 1]. For the reader's convenience, we give a new proof here.

**Lemma 2.1.** Let  $\alpha$ , r be integers and n a positive integer. Then

$$(q^{2r-2\alpha n}, q^{2r+2\alpha n}; q^{2d})_k \equiv (q^{2r}; q^{2d})_k^2 \pmod{\Phi_n(q^2)^2}.$$
 (2.1)

*Proof.* It is easy to see that

$$(aq^{2r}, bq^{2r}; q^{2d})_k - (q^{2r}, abq^{2r}; q^{2d})_k \equiv 0 \pmod{(1-a)(1-b)}.$$

Since  $1-q^{\pm 2n} \equiv 0 \pmod{\Phi_n(q^2)}$ , putting  $a = q^{-2\alpha n}$  and  $b = q^{2\alpha n}$  in the above congruence, we immediately get the desired q-congruence (2.1).

We will make use of a wonderful transformation formula due to Andrews [1, Theorem 4], which can be written as follows:

$$\sum_{k \ge 0} \frac{(a, q\sqrt{a}, -q\sqrt{a}, b_1, c_1, \dots, b_m, c_m, q^{-N}; q)_k}{(q, \sqrt{a}, -\sqrt{a}, aq/b_1, aq/c_1, \dots, aq/b_m, aq/c_m, aq^{N+1}; q)_k} \left(\frac{a^m q^{m+N}}{b_1 c_1 \cdots b_m c_m}\right)^k = \frac{(aq, aq/b_m c_m; q)_N}{(aq/b_m, aq/c_m; q)_N} \sum_{j_1, \dots, j_{m-1} \ge 0} \frac{(aq/b_1 c_1; q)_{j_1} \cdots (aq/b_{m-1} c_{m-1}; q)_{j_{m-1}}}{(q; q)_{j_1} \cdots (q; q)_{j_{m-1}}} \times \frac{(b_2, c_2; q)_{j_1} \dots (b_m, c_m; q)_{j_1 + \dots + j_{m-1}}}{(aq/b_{m-1}, aq/c_{m-1}; q)_{j_1 + \dots + j_{m-1}}} \times \frac{(q^{-N}; q)_{j_1 + \dots + j_{m-1}}}{(b_m c_m q^{-N}/a; q)_{j_1 + \dots + j_{m-1}}} \frac{(aq)^{j_{m-2} + \dots + (m-2)j_1} q^{j_1 + \dots + j_{m-1}}}{(b_2 c_2)^{j_1} \cdots (b_{m-1} c_{m-1})^{j_1 + \dots + j_{m-2}}}.$$
 (2.2)

This transformation can be deemed a multi-series generalization of Watson's  $_8\phi_7$  transformation formula (see [3, Appendix (III.18)]) which we state below in standard notation

for q-series [3, Section 1] (n being a non-negative integer):

$${}_{8}\phi_{7}\left[\begin{array}{ccccc}a, & qa^{\frac{1}{2}}, & -qa^{\frac{1}{2}}, & b, & c, & d, & e, & q^{-n}\\ & a^{\frac{1}{2}}, & -a^{\frac{1}{2}}, & aq/b, & aq/c, & aq/d, & aq/e, & aq^{n+1} ; q, & \frac{a^{2}q^{n+2}}{bcde}\right]$$
$$= \frac{(aq, aq/de; q)_{n}}{(aq/d, aq/e; q)_{n}} {}_{4}\phi_{3}\left[\begin{array}{c}aq/bc, & d, & e, & q^{-n}\\ & aq/b, & aq/c, & deq^{-n}/a & ; q, q\right].$$
(2.3)

Next, we require the following very-well-poised Karlsson–Minton type summation due to Gasper [2, Eq. (5.13)] (see also [3, Ex. 2.33 (i)]):

$$\sum_{k=0}^{\infty} \frac{(a, q\sqrt{a}, -q\sqrt{a}, b, a/b, d, e_1, aq^{n_1+1}/e_1, \dots, e_m, aq^{n_m+1}/e_m; q)_k}{(q, \sqrt{a}, -\sqrt{a}, aq/b, bq, aq/d, aq/e_1, e_1q^{-n_1}, \dots, aq/e_m, e_mq^{-n_m}; q)_k} \left(\frac{q^{1-\nu}}{d}\right)^k = \frac{(q, aq, aq/bd, bq/d; q)_{\infty}}{(bq, aq/b, aq/d, q/d; q)_{\infty}} \prod_{j=1}^m \frac{(aq/be_j, bq/e_j; q)_{n_j}}{(aq/e_j, q/e_j; q)_{n_j}}, \quad (2.4)$$

where  $n_1, \ldots, n_m$  are non-negative integers,  $\nu = n_1 + \cdots + n_m$ , and  $|q^{1-\nu}/d| < 1$  if the series does not terminate. An elliptic extension of the terminating  $d = q^{-\nu}$  case of (2.4) was given by Rosengren and the second author [22, Eq. (1.7)].

It is worth mentioning that the right-hand side of (2.4) vanishes for d = bq. Additionally, putting  $b = q^{-N}$  we get the following terminating summation:

$$\sum_{k=0}^{N} \frac{(a, q\sqrt{a}, -q\sqrt{a}, e_1, aq^{n_1+1}/e_1, \dots, e_m, aq^{n_m+1}/e_m, q^{-N}; q)_k}{(q, \sqrt{a}, -\sqrt{a}, aq/e_1, e_1q^{-n_1}, \dots, aq/e_m, e_mq^{-n_m}, aq^{N+1}; q)_k} q^{(N-\nu)k} = 0,$$
(2.5)

which is valid for  $N > \nu = n_1 + \cdots + n_m$ .

By properly combining (2.2) with (2.5), we can establish the following multi-series summation formula, which is a generalization of [13, Lemma 2].

**Lemma 2.2.** Let  $m \ge 2$  and  $0 \le s \le m - 1$ . Let q, a and  $e_{s+1}, \ldots, e_{m+1}$  be arbitrary parameters with  $e_{m+1} = e_{s+1}$ , and let  $n_1, \ldots, n_m$  and N be non-negative integers satisfying  $N > n_1 + \cdots + n_m$ . Then

$$0 = \sum_{j_1,\dots,j_{m-1} \ge 0} \frac{(q^{-n_1};q)_{j_1} \cdots (q^{-n_s};q)_{j_s} (e_{s+1}q^{-n_{s+1}}/e_{s+2};q)_{j_{s+1}} \cdots (e_{m-1}q^{-n_{m-1}}/e_m;q)_{j_{m-1}}}{(q;q)_{j_1} \cdots (q;q)_{j_{m-1}}} \\ \times \frac{(\sqrt{a}q^{\frac{n_2+1}{2}}, \sqrt{a}q^{\frac{n_2+1}{2}};q)_{j_1} \cdots (\sqrt{a}q^{\frac{n_s+1}{2}}, \sqrt{a}q^{\frac{n_s+1}{2}};q)_{j_1+\dots+j_{s-1}}}{(\sqrt{a}q^{\frac{1-n_1}{2}}, \sqrt{a}q^{\frac{1-n_1}{2}};q)_{j_1} \cdots (\sqrt{a}q^{\frac{1-n_{s-1}}{2}}, \sqrt{a}q^{\frac{1-n_{s-1}}{2}};q)_{j_1+\dots+j_{s-1}}}}{(e_sq^{-n_s}, aq/e_{s+1};q)_{j_1+\dots+j_s} \cdots (e_{m-1}q^{-n_{m-1}}, aq/e_m;q)_{j_1+\dots+j_{m-1}}}}{(e_1q^{n_m-N+1}/e_m;q)_{j_1+\dots+j_{m-1}}(aq^{n_2})^{j_1} \cdots (aq^{n_s})^{j_1+\dots+j_{s-1}}}}$$

### A FAMILY OF q-SUPERCONGRUENCES MODULO $\Phi_n(q)^4$

$$\times \frac{(aq)^{j_{m-2}+\dots+(m-2)j_1}q^{j_1+\dots+j_{m-1}}}{(aq^{n_{s+1}+1}e_{s+2}/e_{s+1})^{j_1+\dots+j_s}\cdots(aq^{n_{m-1}+1}e_m/e_{m-1})^{j_1+\dots+j_{m-2}}}.$$
(2.6)

*Proof.* Performing the parameter substitutions  $b_i = c_i = \sqrt{aq^{\frac{1+n_i}{2}}}$  for  $1 \leq i \leq s$ , and  $b_i \mapsto aq^{n_i+1}/e_i$ ,  $c_i \mapsto e_{i+1}$ , for  $s+1 \leq i \leq m$  (where  $e_{m+1} = e_{s+1}$ ) in the multisum transformation (2.2), and dividing both sides by the prefactor of the multisum, we see that the series on the right-hand side of (2.6) is equal to

$$\frac{(e_m q^{-n_m}, aq/e_{s+1}; q)_N}{(aq, e_m q^{-n_m}/e_{s+1}; q)_N} \times \sum_{k=0}^N \frac{(a, q\sqrt{a}, -q\sqrt{a}, e_1, aq^{n_1+1}/e_1, \dots, e_m, aq^{n_m+1}/e_m, q^{-N}; q)_k}{(q, \sqrt{a}, -\sqrt{a}, aq/e_1, e_1q^{-n_1}, \dots, aq/e_m, e_mq^{-n_m}, aq^{N+1}; q)_k} q^{(N-\nu)k},$$

with  $e_i = \sqrt{aq^{\frac{1+n_i}{2}}}$  for  $1 \leq i \leq s$  and  $\nu = n_1 + \cdots + n_m$ . Now the last sum vanishes by the special case (2.5) of Gasper's summation. 

We have gathered enough ingredients and are able to prove the q-congruence (1.5) modulo  $\Phi_n(q^2)^4$ .

Proof of (1.5) modulo  $\Phi_n(q^2)^4$ . For M = (dn - n - r)/d, the left-hand side of (1.5) can be written as the following multiple of a terminating  $_{2d+2s+4}\phi_{2d+2s+3}$  series:

. .

$$[2r] \sum_{k=0}^{(dn-n-r)/d} \left( \frac{(q^{2r}, q^{2d+r}, -q^{2d+r}, q^{2d+r}, \dots, q^{2d+r}, q^{2r}, \dots, q^{2r}; q^{2d})_k}{(q^{2d}, q^r, -q^r, q^r, \dots, q^r, q^{2d}, \dots, q^{2d}; q^{2d})_k} \times \frac{(q^{2d+2(d-1)n}, q^{2r-2(d-1)n}; q^{2d})_k}{(q^{2r-2(d-1)n}, q^{2d+2(d-1)n}; q^{2d})_k} q^{2d(d-r-s-1)k} \right).$$

Here, the  $q^{2d+r}, \ldots, q^{2d+r}$  in the numerator means 2s instances of  $q^{2d+r}$ , the  $q^{2r}, \ldots, q^{2r}$  in the numerator refers to 2d-1 instances of  $q^{2r}$ . Similarly, the  $q^r, \ldots, q^r$  in the denominator means 2s instances of  $q^r$ , and the  $q^{2d}, \ldots, q^{2d}$  in the denominator refers to 2d-1 instances of  $q^{2d}$ .

Now, by the m = d + s case of Andrews' transformation (2.2), we can write the above expression as

$$\begin{split} &[2r] \frac{(q^{2(d+r)}, q^{-2(d-1)n}; q^{2d})_{(dn-n-r)/d}}{(q^{2d}, q^{2r-2(d-1)n}; q^{2d})_{(dn-n-r)/d}} \\ &\times \sum_{j_1, \dots, j_{d+s-1} \geqslant 0} \frac{(q^{-2d}; q^{2d})_{j_1} \cdots (q^{-2d}; q^{2d})_{j_s} (q^{2(d-r)}; q^{2d})_{j_{s+1}} \cdots (q^{2(d-r)}; q^{2d})_{j_{d+s-1}}}{(q^{2d}; q^{2d})_{j_1} \cdots (q^{2d}; q^{2d})_{j_1} \cdots (q^{2d+r}; q^{2d})_{j_{d+s-1}}} \\ &\times \frac{(q^{2d+r}, q^{2d+r}; q^{2d})_{j_1} \cdots (q^{2d+r}, q^{2d+r}; q^{2d})_{j_1 + \dots + j_{s-1}}}{(q^r, q^r; q^{2d})_{j_1} \cdots (q^r, q^r; q^{2d})_{j_1 + \dots + j_{s-1}}} \end{split}$$

5

$$\times \frac{(q^{2r}, q^{2r}; q^d)_{j_1 + \dots + j_s} \dots (q^{2r}, q^{2r}; q^{2d})_{j_1 + \dots + j_{d+s-2}} (q^{2r}, q^{2d+2(d-1)n}; q^{2d})_{j_1 + \dots + j_{d+s-1}}}{(q^{2d}, q^{2d}; q^{2d})_{j_1 + \dots + j_s} \dots (q^{2d}, q^{2d}; q^{2d})_{j_1 + \dots + j_{d+s-1}}}}{\times \frac{(q^{2r-2(d-1)n}; q^{2d})_{j_1 + \dots + j_{d+s-1}}}{(q^{2(d+r)}; q^{2d})_{j_1 + \dots + j_{d+s-1}}}}{\chi \frac{q^{2(d+r)}(j_{d+s-2} + \dots + (d+s-2)j_1)}{q^{2(2d+r)}(j_{1+s-2} + \dots + (d+s-2)j_1)} q^{2d(j_1 + \dots + j_{d+s-1})}}{(q^{2(2d+r)})_{j_1 + \dots + j_s} (q^{2r}, q^{2r}, q^{2r}, q^{2r}, q^{2d+2(d-1)n}; q^{2d})_{j_1 + \dots + j_{d+s-1}}}}$$

$$(2.7)$$

It is not difficult to see that the q-shifted factorial  $(q^{2(d+r)}; q^{2d})_{(dn-n-r)/d}$  (appearing in the prefactor of the multi-sum) has the factor  $1 - q^{2(d-1)n}$  which is divisible by  $\Phi_n(q^2)$ . Similarly, the q-shifted factorial  $(q^{-2(d-1)n}; q^{2d})_{(dn-n-r)/d}$  has the factor  $1 - q^{-2(d-1)n}$  (being congruent to 0 modulo  $\Phi_n(q^2)$ ) since  $(dn-n-r)/d \ge 1$  holds in view of the conditions  $d \ge 2$ ,  $r \le d-2$ , and  $n \ge d-r$ . This shows that the q-factorial  $(q^{2(d+r)}, q^{-2(d-1)n}; q^{2d})_{(dn-n-r)/d}$ appearing in the numerator of the prefactor of the multi-sum is congruent to 0 modulo  $\Phi_n(q^2)^2$ . Moreover, it is clear that the q-factorial  $(q^{2d}, q^{2r-2(d-1)n}; q^{2d})_{(dn-n-r)/d}$  in the denominator is coprime with  $\Phi_n(q^2)$ .

Notice that the non-zero terms in the multi-sum in (2.7) are those with multi-index  $(j_1, \ldots, j_{d+s-1})$  satisfying the conditions  $j_1 + \cdots + j_{d+s-1} \leq (dn - n - r)/d$  and  $j_1, \ldots, j_s \leq 1$  because the product  $(q^{-2d}; q^{2d})_{j_1} \cdots (q^{-2d}; q^{2d})_{j_s} (q^{2r-2(d-1)n}; q^{2d})_{j_1 + \cdots + j_{d+s-1}}$  appears as a factor in the numerator. None of the factors appearing in the denominator of the multi-sum of (2.7) incorporate a factor of the form  $1 - q^{2\alpha n}$  (and are consequently coprime with  $\Phi_n(q^2)$ ), except for  $(q^{2(d+r)}; q^{2d})_{j_1 + \cdots + j_{d+s-1}}$  when  $j_1 + \cdots + j_{d+s-1} = (dn - n - r)/d$ . Let n = ad - r (with  $a \geq 1$ ). Consider the case  $j_1 + \cdots + j_{d+s-1} = (dn - n - r)/d = a(d-1) - r$  and  $j_1, \ldots, j_s \leq 1$ . Then  $j_{s+1} + \cdots + j_{d+s-1} \geq a(d-1) - r - s$ . Since  $r \leq d - s - 2$ , there must exist an i ( $s + 1 \leq i \leq d + s - 1$ ) such that  $j_i \geq a$ . Then  $(q^{2(d-r)}; q^{2d})_{j_i}$  contains the factor  $1 - q^{2(d-r)+2d(a-1)} = 1 - q^{2n}$  which is a multiple of  $\Phi_n(q^2)$ . Hence, the reduced denominator of the multi-sum in (2.7), ignoring the prefactor, is congruent to 0 modulo  $\Phi_n(q^2)^2$ .

By repeatedly applying Lemma 2.1, the multi-sum in (2.7), without the prefactor, modulo  $\Phi_n(q^2)^2$ , is congruent to

$$\sum_{j_1,\dots,j_{d+s-1}\geqslant 0} \frac{(q^{-2d};q^{2d})_{j_1}\cdots(q^{-2d};q^{2d})_{j_s}(q^{2(d-r)};q^{2d})_{j_{s+1}}\cdots(q^{2(d-r)};q^{2d})_{j_{d+s-1}}}{(q^{2d};q^{2d})_{j_1}\cdots(q^{2d};q^{2d})_{j_1+\dots+j_{s-1}}} \\ \times \frac{(q^{2d+r},q^{2d+r};q^{2d})_{j_1}\cdots(q^{2d+r},q^{2d+r};q^{2d})_{j_1+\dots+j_{s-1}}}{(q^r,q^r;q^{2d})_{j_1}\cdots(q^r,q^r;q^{2d})_{j_1+\dots+j_{s-1}}} \\ \times \frac{(q^{2r-2(d-1)n},q^{2r+2(d-1)n};q^{2d})_{j_1+\dots+j_s}\cdots(q^{2(r-n)},q^{2(r+n)};q^{2d})_{j_1+\dots+j_{d+s-2}}}{(q^{2d+2dn},q^{2d-2dn};q^{2d})_{j_1+\dots+j_s}\cdots(q^{2d+4n},q^{2d-4n};q^{2d})_{j_1+\dots+j_{d+s-2}}} \\ \times \frac{(q^{2r},q^{2d+2(d-1)n};q^{2d})_{j_1+\dots+j_{d+s-1}}}{(q^{2d+2n},q^{2d-2n};q^{2d})_{j_1+\dots+j_{d+s-1}}} \frac{(q^{2r-2(d-1)n};q^{2d})_{j_1+\dots+j_{d+s-1}}}{(q^{2(d+r)};q^{2d})_{j_1+\dots+j_{d+s-1}}}$$

#### A FAMILY OF q-SUPERCONGRUENCES MODULO $\Phi_n(q)^4$

$$\times \frac{q^{2(d+r)(j_{d+s-2}+\dots+(d+s-2)j_1)}q^{2d(j_1+\dots+j_{d+s-1})}}{q^{2(2d+r)j_1}\dots q^{2(2d+r)(j_1+\dots+j_s)}q^{4r(j_1+\dots+j_{s+1})}\dots q^{4r(j_1+\dots+j_{d+s-2})}}$$

However, this sum vanishes in light of the m = d + s,  $q \mapsto q^{2d}$ ,  $a \mapsto q^{2r}$ ,  $e_{s+1} = q^{2d+2(d-1)n}$ ,  $e_{s+i} \mapsto q^{2r+2(d-i+1)n}$   $(2 \leq i \leq d)$ ,  $n_1 = \cdots = n_s = 1$ ,  $n_{s+1} = 0$ ,  $n_{s+j} \mapsto (n+r-d)/d$   $(2 \leq j \leq d)$ , N = (dn - n - r)/d, case of Lemma 2.2.

This proves that the q-hypergeometric congruence (1.5) is true modulo  $\Phi_n(q^2)^4$  for M = (dn - n - r)/d. Since  $n \ge d - r$ , we have  $(dn - n - r)/d \le n - 1$ . It is clear that  $(q^{2r}; q^{2d})_k/(q^{2d}; q^{2d})_k \equiv 0 \pmod{\Phi_n(q^2)}$  for  $(dn - n - r)/d < k \le n - 1$ . Thus, we see that (1.5) is also true modulo  $\Phi_n(q^2)^4$  for M = n - 1.

## 3. Proof of (1.5) modulo $[n]_{q^2}$

We need the following easily proved result, which first appeared in [14, Lemma 2.1].

**Lemma 3.1.** Let d, m and n be positive integers with  $m \leq n-1$ . Let r be an integer satisfying  $dm \equiv -r \pmod{n}$ . Then, for  $0 \leq k \leq m$ ,

$$\frac{(aq^r;q^d)_{m-k}}{(q^d/a;q^d)_{m-k}} \equiv (-a)^{m-2k} \frac{(aq^r;q^d)_k}{(q^d/a;q^d)_k} q^{m(dm-d+2r)/2+(d-r)k} \pmod{\Phi_n(q)}.$$

In order to prove that (1.5) is true modulo  $[n]_{q^2}$ , we shall establish the following more general result. It is easy to see that the condition  $n \equiv -r \pmod{d}$  guarantees that m = (dn - n - r)/d is an integer.

**Theorem 3.2.** Let d, n be positive integers with gcd(d, n) = 1. Let r, s be integers with  $s \ge 0$ . Then

$$\sum_{k=0}^{m} [2dk+r]_{q^2} [2dk+r]^{2s} \frac{(q^{2r};q^{2d})_k^{2d}}{(q^{2d};q^{2d})_k^{2d}} q^{2d(d-r-s-1)k} \equiv 0 \pmod{[n]_{q^2}}, \tag{3.1}$$

and

$$\sum_{k=0}^{n-1} [2dk+r]_{q^2} [2dk+r]^{2s} \frac{(q^{2r};q^{2d})_k^{2d}}{(q^{2d};q^{2d})_k^{2d}} q^{2d(d-r-s-1)k} \equiv 0 \pmod{[n]_{q^2}}, \tag{3.2}$$

where  $0 \leq m \leq n-1$  and  $dm \equiv -r \pmod{n}$ .

Proof. Since gcd(d, n) = 1, there exists a non-negative integer  $m \leq n-1$  such that  $dm \equiv -r \pmod{n}$ . By the a = 1 and  $q \mapsto q^2$  case of Lemma 3.1, we can easily verify that, for  $0 \leq k \leq m$ , the k-th and (m-k)-th terms on the left-hand side of (3.1) cancel each other modulo  $\Phi_n(q)$ , i.e.,

$$[2d(m-k)+r]_{q^2}[2d(m-k)+r]^{2s} \frac{(q^{2r};q^{2d})_{m-k}^{2d}}{(q^{2d};q^{2d})_{m-k}^{2d}} q^{2d(d-r-s-1)(m-k)}$$
  
$$\equiv -[2dk+r]_{q^2}[2dk+r]^{2s} \frac{(q^{2r};q^{2d})_k^{2d}}{(q^{2d};q^{2d})_k^{2d}} q^{2d(d-r-s-1)k} \pmod{\Phi_n(q^2)}.$$

This immediately leads to the q-congruence (3.1) modulo  $\Phi_n(q^2)$ . Furthermore, since  $dm \equiv -r \pmod{n}$ , for  $m < k \leq n-1$  the q-shifted factorial  $(q^{2r}; q^{2d})_k$  contains the factor  $1 - q^{2r+2dm} = 1 - q^{2an}$  (a is an integer) and is therefore congruent to 0 modulo  $\Phi_n(q^2)$ . At the same time, the q-shifted factorial  $(q^{2d}; q^{2d})_k$  is coprime with  $\Phi_n(q^2)$  for  $m < k \leq n-1$ . Thus, each summand in (3.2) with k in the range  $m < k \leq n-1$  is congruent to 0 modulo  $\Phi_n(q^2)$ .

Let  $\zeta \neq 1$  be an *n*-th root of unity. That is,  $\zeta$  is a primitive root of unity of odd degree  $n_1$  such that  $n_1 \mid n$ . Let  $c_q(k)$  stand for the *k*-th term on the left-hand side of (3.2). Specifically,

$$c_q(k) = [2dk+r]_{q^2} [2dk+r]^{2s} \frac{(q^{2r};q^{2d})_k^{2d}}{(q^{2d};q^{2d})_k^{2d}} q^{2d(d-r-s-1)k}.$$

Now the q-congruences (3.1) and (3.2) modulo  $\Phi_n(q^2)$  with  $n = n_1$  imply that

$$\sum_{k=0}^{m_1} c_{\zeta}(k) = \sum_{k=0}^{n_1-1} c_{\zeta}(k) = 0,$$

and

$$\sum_{k=0}^{m_1} c_{-\zeta}(k) = \sum_{k=0}^{n_1-1} c_{-\zeta}(k) = 0,$$

where  $0 \leq m_1 \leq n_1 - 1$  and  $dm_1 \equiv -r \pmod{n_1}$ . Noticing that, for all non-negative integers  $\ell$  and k,

$$\frac{c_{\zeta}(\ell n_1 + k)}{c_{\zeta}(\ell n_1)} = \lim_{q \to \zeta} \frac{c_q(\ell n_1 + k)}{c_q(\ell n_1)} = \frac{c_{\zeta}(k)}{[r]_{\zeta^2}[r]_{\zeta}^{2s}}$$

we have

$$\sum_{k=0}^{n-1} c_{\zeta}(k) = \sum_{\ell=0}^{n/n_1 - 1} \sum_{k=0}^{n_1 - 1} c_{\zeta}(\ell n_1 + k) = \frac{1}{[r]_{\zeta^2}[r]_{\zeta}^{2s}} \sum_{\ell=0}^{n/n_1 - 1} c_{\zeta}(\ell n_1) \sum_{k=0}^{n_1 - 1} c_{\zeta}(k) = 0, \quad (3.3)$$

and

$$\sum_{k=0}^{m} c_{\zeta}(k) = \frac{1}{[r]_{\zeta^2} [r]_{\zeta}^{2s}} \sum_{\ell=0}^{(m-m_1)/n_1 - 1} c_{\zeta}(\ell n_1) \sum_{k=0}^{n_1 - 1} c_{\zeta}(k) + \frac{c_{\zeta}(m - m_1)}{[r]_{\zeta^2} [r]_{\zeta}^{2s}} \sum_{k=0}^{m_1} c_{\zeta}(k) = 0.$$

This proves that the two sums  $\sum_{k=0}^{m} c_q(k)$  and  $\sum_{k=0}^{n-1} c_q(k)$  are congruent to 0 modulo  $\Phi_{n_1}(q)$ . Along the same lines we may prove that they are also congruent to 0 modulo  $\Phi_{n_1}(-q)$ . Letting  $n_1$  run over all divisors of n greater than 1, we deduce that these two sums are congruent to 0 modulo

$$\prod_{n_1|n, n_1>1} \Phi_{n_1}(q) \Phi_{n_1}(-q) = [n]_{q^2},$$

thus establishing (3.1) and (3.2).

#### 4. Two open problems

It seems that, for r = -1 and  $p \equiv 1 \pmod{d}$ , the following stronger version of (1.6) is true.

**Conjecture 4.1.** Let d, s be integers satisfying  $d \ge 2$  and  $0 \le s \le d-1$ . Let p be a prime with  $p \equiv 1 \pmod{d}$ . Then, for  $m \ge 1$ ,

$$\sum_{k=0}^{M} (2dk-1)^{2s+1} \frac{(-\frac{1}{d})_k^{2d}}{(1)_k^{2d}} \equiv 0 \pmod{p^{4m}},\tag{4.1}$$

where  $M = (dp^m - p^m + 1)/d$  or  $M = p^m - 1$ .

Furthermore, we believe the following q-analogue of (4.1) should also be true.

**Conjecture 4.2.** Let d, s be integers satisfying  $d \ge 2$  and  $0 \le s \le d-1$ . Let  $n \ge d+1$  be an integer with  $n \equiv 1 \pmod{d}$ . Then, for  $m \ge 1$ ,

$$\sum_{k=0}^{M} [2dk-1]_{q^2} [2dk-1]^{2s} \frac{(q^{-2};q^{2d})_k^{2d}}{(q^{2d};q^{2d})_k^{2d}} q^{2d(d-s)k} \equiv 0 \pmod{\prod_{i=1}^m \Phi_{n^i}(q)^4}, \quad (4.2)$$

where  $M = (dn^m - n^m + 1)/d$  or  $M = n^m - 1$ .

Since  $\Phi_{p^i}(1) = p$  for any prime p and positive integer i, the supercongruence (4.1) follows from (4.2) by taking n = p and  $q \to 1$ . Applying Zeilberger's algorithm [20] and its q-analogue, the authors [10] have proved that, for any odd integer n > 1,

$$\sum_{k=0}^{M} [4k-1] \frac{(q^{-1};q^2)_k^4}{(q^2;q^2)_k^4} q^{4k} \equiv -(1+3q+q^2)[n]^4 \pmod{[n]^4 \Phi_n(q)},$$

where M = (n+1)/2 or M = n-1. Replacing n by  $n^m$  and q by  $q^2$  in the above q-supercongruence, and noticing that  $[n^m]_{q^2}$  contains the factor  $\prod_{i=1}^m \Phi_{n^i}(q)$ , we see that Conjecture 4.2 is true for d = 2 and s = 0.

#### References

- G.E. Andrews, Problems and prospects for basic hypergeometric functions, in: *Theory and Appli*cation for Basic Hypergeometric Functions, R.A. Askey, ed., Math. Res. Center, Univ. Wisconsin, Publ. No. 35, Academic Press, New York, 1975, pp. 191–224.
- [2] G. Gasper, Elementary derivations of summation and transformation formulas for q-series, in Special Functions, q-Series and Related Topics (M.E.H. Ismail, D.R. Masson and M. Rahman, eds.), Amer. Math. Soc., Providence, R.I., Fields Inst. Commun. 14 (1997), 55–70.
- [3] G. Gasper, M. Rahman, Basic hypergeometric series, second edition, Encyclopedia of Mathematics and Its Applications 96, Cambridge University Press, Cambridge, 2004.
- [4] V.J.W. Guo, Proof of a generalization of the (B.2) supercongruence of Van Hamme through a qmicroscope, Adv. Appl. Math. 116 (2020), Art. 102016.
- [5] V.J.W. Guo, Proof of a generalization of the (C.2) supercongruence of Van Hamme, Rev. R. Acad. Cienc. Exactas Fís. Nat. Ser. A Mat. 115 (2021), Art. 45.

- [6] V.J.W. Guo, A new extension of the (H.2) supercongruence of Van Hamme for primes  $p \equiv 3 \pmod{4}$ , Ramanujan J. 57 (2022), 1387–1398.
- [7] V.J.W. Guo, F. Jouhet, and J. Zeng, Factors of alternating sums of products of binomial and qbinomial coefficients, Acta Arith. 127 (2007), 17–31.
- [8] V.J.W. Guo and X. Lian, On a generalization of a congruence related to q-Narayana numbers, J. Algebraic Combin. 55 (2022), 1299–1305.
- [9] V.J.W. Guo and M.J. Schlosser, Some new q-congruences for truncated basic hypergeometric series, Symmetry 11 (2019), no. 2, Art. 268.
- [10] V.J.W. Guo and M.J. Schlosser, Proof of a basic hypergeometric supercongruence modulo the fifth power of a cyclotomic polynomial, J. Difference Equ. Appl. 25 (2019), 921–929.
- [11] V.J.W. Guo and M.J. Schlosser, Some q-supercongruences from transformation formulas for basic hypergeometric series, Constr. Approx. 53 (2021), 155–200.
- [12] V.J.W. Guo and M.J. Schlosser, Some new q-congruences for truncated basic hypergeometric series: even powers, *Results Math.* 75 (2020), Art. 1.
- [13] V.J.W. Guo and M.J. Schlosser, A family of q-hypergeometric congruences modulo the fourth power of a cyclotomic polynomial, *Israel J. Math.* 240 (2020), 821–835.
- [14] V.J.W. Guo and M.J. Schlosser, A new family of q-supercongruences modulo the fourth power of a cyclotomic polynomial, *Results Math.* 75 (2020), Art. 155.
- [15] V.J.W. Guo and M.J. Schlosser, Some q-supercongruences modulo the square and cube of a cyclotomic polynomial, Rev. R. Acad. Cienc. Exactas Fís. Nat., Ser. A Mat. 115 (2021), Art. 132.
- [16] V.J.W. Guo and W. Zudilin, A q-microscope for supercongruences, Adv. Math. 346 (2019), 329–358.
- [17] Kh. Hessami Pilehrood and T. Hessami Pilehrood, An alternative proof of a theorem of Zagier, J. Math. Anal. Appl. 449 (2017), 168–175.
- [18] C. Krattenthaler and T. Rivoal, An identity of Andrews, multiple integrals, and very-well-poised hypergeometric series, *Ramanujan J.* 13 (2007), 203–219.
- [19] L. Long and R. Ramakrishna, Some supercongruences occurring in truncated hypergeometric series, Adv. Math. 290 (2016), 773–808.
- [20] M. Petkovšek, H.S. Wilf, and D. Zeilberger, A = B, A K Peters, Ltd., Wellesley, MA, 1996.
- [21] S. Ramanujan, Modular equations and approximations to  $\pi$ , Quart. J. Math. Oxford Ser. (2) 45 (1914), 350–372.
- [22] H. Rosengren and M.J. Schlosser, On Warnaar's elliptic matrix inversion and Karlsson–Minton-type elliptic hypergeometric series, J. Comput. Appl. Math. 178 (2005), 377–391.
- [23] H. Song and C. Wang, Some q-supercongruences from squares of basic hypergeometric series, Rev. R. Acad. Cienc. Exactas Fis. Nat. Ser. A Mat. 118 (2024), Art. 36.
- [24] L. Van Hamme, Some conjectures concerning partial sums of generalized hypergeometric series, in: *p-Adic Functional Analysis* (Nijmegen, 1996), Lecture Notes in Pure and Appl. Math. **192**, Dekker, New York (1997), 223–236.
- [25] C. Wei, q-Supercongruences from Jackson's  $_8\phi_7$  summation and Watson's  $_8\phi_7$  transformation, J. Combin. Theory Ser. A **204** (2024), Art. 105853.
- [26] W. Zudilin, On a combinatorial problem of Asmus Schmidt, *Electron. J. Combin.* 11 (2004), #R22.
- [27] W. Zudilin, Ramanujan-type supercongruences, J. Number Theory 129 (2009), 1848–1857.

School of Mathematics, Hangzhou Normal University, Hangzhou 311121, People's Republic of China

*E-mail address*: jwguo@hznu.edu.cn

FAKULTÄT FÜR MATHEMATIK, UNIVERSITÄT WIEN, OSKAR-MORGENSTERN-PLATZ 1, A-1090 VI-ENNA, AUSTRIA

E-mail address: michael.schlosser@univie.ac.at