# NEW q-ANALOGUES OF VAN HAMME'S (E.2) SUPERCONGRUENCE AND OF A SUPERCONGRUENCE BY SWISHER 

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#### Abstract

In this paper, a couple of $q$-supercongruences for truncated basic hypergeometric series are proved, most of them modulo the cube of a cyclotomic polynomial. One of these results is a new $q$-analogue of the (E.2) supercongruence by Van Hamme, another one is a new $q$-analogue of a supercongruence by Swisher, while the other results are closely related $q$-supercongruences. The proofs make use of special cases of a very-well-poised ${ }_{6} \phi_{5}$ summation. In addition, the proofs utilize the method of creative microscoping (which is a method recently introduced by the first author in collaboration with Wadim Zudilin), and the Chinese remainder theorem for coprime polynomials.


## 1. Introduction

In 1997, Van Hamme [24] presented 13 remarkable supercongruences corresponding to Ramanujan's or to Ramanujan-like formulas for $1 / \pi$. For instance, the two infinite series expansions

$$
\begin{aligned}
& \sum_{k=0}^{\infty}(-1)^{k}(4 k+1) \frac{\left(\frac{1}{2}\right)_{k}^{3}}{k!^{3}}=\frac{2}{\pi} \\
& \sum_{k=0}^{\infty}(-1)^{k}(6 k+1) \frac{\left(\frac{1}{3}\right)_{k}^{3}}{k!^{3}}=\frac{3 \sqrt{3}}{2 \pi}
\end{aligned}
$$

correspond to the following two supercongruences for truncated hypergeometric series:

$$
\begin{align*}
& \sum_{k=0}^{(p-1) / 2}(-1)^{k}(4 k+1) \frac{\left(\frac{1}{2}\right)_{k}^{3}}{k!^{3}} \equiv(-1)^{(p-1) / 2} p \quad\left(\bmod p^{3}\right),  \tag{1.1}\\
& \sum_{k=0}^{(p-1) / 3}(-1)^{k}(6 k+1) \frac{\left(\frac{1}{3}\right)_{k}^{3}}{k!^{3}} \equiv p \quad\left(\bmod p^{3}\right), \quad \text { for } \quad p \equiv 1 \quad(\bmod 3), \tag{1.2}
\end{align*}
$$

where $p$ is an odd prime, and $(a)_{n}=a(a+1) \cdots(a+n-1)$ denotes the Pochhammer symbol. The supercongruence (1.1) was first proved by Mortenson [18] using a technical

1991 Mathematics Subject Classification. Primary 33D15; Secondary 11A07.
Key words and phrases. basic hypergeometric series; supercongruences; $q$-congruences; cyclotomic polynomial; ${ }_{6} \phi_{5}$ summation.

The second author was partially supported by FWF Austrian Science Fund grant P 32305.
evaluation of gamma functions, and later reproved by Zudilin [28] and Long [16]. Swisher [23] employed Long's method to prove four supercongruences of Van Hamme, including (1.2) (i.e., the (E.2) supercongruence in [24]). He [11] also gave a generalization of (1.2). In 2016, Osburn and Zudilin [21] confirmed the last supercongruence conjecture of Van Hamme.

During the past few years, $q$-analogues of supercongruences have been investigated by many authors (see, for example, [3-10,12-15, 19, 20, 22, 25-27,29]). In particular, the first author $[3,4]$ gave $q$-analogues of (1.1) and (1.2) as follows: for any odd integer $n$,

$$
\sum_{k=0}^{(n-1) / 2}(-1)^{k}[4 k+1] \frac{\left(q ; q^{2}\right)_{k}^{3}}{\left(q^{2} ; q^{2}\right)_{k}^{3}} k^{k^{2}} \equiv(-1)^{(n-1) / 2} q^{(n-1)^{2} / 4}[n] \quad\left(\bmod [n] \Phi_{n}(q)^{2}\right)
$$

and for any positive integer $n$ with $n \equiv 1(\bmod 3)$,

$$
\sum_{k=0}^{(n-1) / 3}(-1)^{k}[6 k+1] \frac{\left(q ; q^{3}\right)_{k}^{3}}{\left(q^{3} ; q^{3}\right)_{k}^{3}} q^{\left(3 k^{2}+k\right) / 2} \equiv(-1)^{(n-1) / 3} q^{(n-1)(n-2) / 6}[n] \quad\left(\bmod [n] \Phi_{n}(q)^{2}\right)
$$

Here and in what follows, $(a ; q)_{n}=(1-a)(1-a q) \cdots\left(1-a q^{n-1}\right)$ is the $q$-shifted factorial, $[n]=[n]_{q}=\left(1-q^{n}\right) /(1-q)$ is the $q$-integer, and $\Phi_{n}(q)$ denotes the $n$-th cyclotomic polynomial in $q$, i.e.,

$$
\Phi_{n}(q)=\prod_{\substack{1 \leqslant k \leqslant n \\ \operatorname{gcd}(k, n)=1}}\left(q-\zeta^{k}\right)
$$

where $\zeta$ is an $n$-th primitive root of unity. The first author and Zudilin [10, Theorem 3.5 with $r=1$ ] also gave another $q$-analogue of (1.2): for any positive integer $n$ with $n \equiv 1$ $(\bmod 6)$,

$$
\begin{equation*}
\sum_{k=0}^{(n-1) / 3}(-1)^{k}[6 k+1]_{q^{2}} \frac{\left(q^{2} ; q^{6}\right)_{k}^{3}\left(-q^{3} ; q^{6}\right)_{k}}{\left(q^{6} ; q^{6}\right)_{k}^{3}\left(-q^{5} ; q^{6}\right)_{k}} q^{k} \equiv q^{1-n}[n]_{q^{2}} \quad\left(\bmod [n] \Phi_{n}(q)^{2}\right) \tag{1.3}
\end{equation*}
$$

One of the aims of this paper is to establish the following new $q$-analogue of (1.2).
Theorem 1.1. Let $n \equiv 1(\bmod 6)$ be a positive integer. Then

$$
\begin{equation*}
\sum_{k=0}^{M}(-1)^{k}[6 k+1] \frac{\left(q ; q^{3}\right)_{k}^{3}}{\left(q^{3} ; q^{3}\right)_{k}^{3}} \equiv q^{2(1-n) / 3}[n] \frac{\left(-q^{3} ; q^{3}\right)_{(n-1) / 3}}{\left(-q^{2} ; q^{3}\right)_{(n-1) / 3}} \quad\left(\bmod [n] \Phi_{n}(q)^{2}\right) \tag{1.4}
\end{equation*}
$$

where $M=(n-1) / 3$ or $M=n-1$.
We shall also give the following similar result.
Theorem 1.2. Let $n \equiv 1(\bmod 3)$ be a positive integer. Then

$$
\begin{equation*}
\sum_{k=0}^{M}[6 k+1] \frac{\left(q ; q^{3}\right)_{k}^{3}}{\left(q^{3} ; q^{3}\right)_{k}^{3}} \equiv q^{2(1-n) / 3}[n] \frac{\left(q^{3} ; q^{3}\right)_{(n-1) / 3}}{\left(q^{2} ; q^{3}\right)_{(n-1) / 3}} \quad\left(\bmod \Phi_{n}(q)^{3}\right) \tag{1.5}
\end{equation*}
$$

where $M=(n-1) / 3$ or $M=n-1$.
Note that the supercongruence (1.5) does not hold modulo $[n] \Phi_{n}(q)^{2}$ in general, even for $n \equiv 1(\bmod 6)$. We take this opportunity to point out that Theorems 1 and 2 in [8] only hold modulo $\Phi_{n}(q)^{3}$ and $\Phi_{n}(q)^{2}$, respectively, but do not hold modulo [ $n$ ], since Lemma 3 in $[8]$ is not true (it only holds for even integers $d$ ).

Swisher [23] also proved that, for any prime $p \equiv 2(\bmod 3)$,

$$
\begin{equation*}
\sum_{k=0}^{(2 p-1) / 3}(-1)^{k}(6 k+1) \frac{\left(\frac{1}{3}\right)_{k}^{3}}{k!^{3}} \equiv-2 p \quad\left(\bmod p^{3}\right) \tag{1.6}
\end{equation*}
$$

A $q$-analogue of (1.6) was given by the first author [4, Theorem 1.5 with $(d, r)=(3,1)]$ : for any positive integer $n \equiv 2(\bmod 3)$,

$$
\sum_{k=0}^{(2 n-1) / 3}(-1)^{k} q^{\left(3 k^{2}+k\right) / 2}[6 k+1] \frac{\left(q ; q^{3}\right)_{k}^{3}}{\left(q^{3} ; q^{3}\right)_{k}^{3}} \equiv-[2 n] q^{(n-1)(2 n-1) / 3} \quad\left(\bmod [n] \Phi_{n}(q)^{2}\right)
$$

In this paper, we shall give a new $q$-analogue of (1.6).
Theorem 1.3. Let $n \equiv 5(\bmod 6)$ be a positive integer. Then

$$
\begin{equation*}
\sum_{k=0}^{M}(-1)^{k}[6 k+1] \frac{\left(q ; q^{3}\right)_{k}^{3}}{\left(q^{3} ; q^{3}\right)_{k}^{3}} \equiv-q^{2(1-2 n) / 3}[2 n] \frac{\left(-q^{3} ; q^{3}\right)_{(2 n-1) / 3}}{\left(-q^{2} ; q^{3}\right)_{(2 n-1) / 3}} \quad\left(\bmod [n] \Phi_{n}(q)^{2}\right) \tag{1.7}
\end{equation*}
$$

where $M=(2 n-1) / 3$ or $M=n-1$.
Similarly, we have the following result.
Theorem 1.4. Let $n \equiv 2(\bmod 3)$ be a positive integer. Then

$$
\begin{equation*}
\sum_{k=0}^{M}[6 k+1] \frac{\left(q ; q^{3}\right)_{k}^{3}}{\left(q^{3} ; q^{3}\right)_{k}^{3}} \equiv q^{2(1-2 n) / 3}[2 n] \frac{\left(q^{3} ; q^{3}\right)_{(2 n-1) / 3}}{\left(q^{2} ; q^{3}\right)_{(2 n-1) / 3}} \quad\left(\bmod \Phi_{n}(q)^{2}\right) \tag{1.8}
\end{equation*}
$$

where $M=(2 n-1) / 3$ or $M=n-1$.
Note that the $q$-supercongruence (1.8) does not hold modulo $\Phi_{n}(q)^{3}$ for $n>2$. We shall prove Theorems 1.1, 1.2, and 1.3 modulo $\Phi_{n}(q)^{3}$ and Theorem 1.4 by using a summation for a very-well-poised ${ }_{6} \phi_{5}$ series and the 'creative microscoping' method introduced by the first author in collaboration with Zudilin [9]. The proof of Theorems 1.1 and 1.3 also requires the use of a lemma previously given by the present authors.

From Theorems 1.2 and 1.4, we can deduce the following supercongruences.
Corollary 1.5. Let $p \equiv 1(\bmod 3)$ be a prime. Then

$$
\begin{equation*}
\sum_{k=0}^{(p-1) / 3}(6 k+1) \frac{\left(\frac{1}{3}\right)_{k}^{3}}{k!^{3}} \equiv p \Gamma_{p}\left(\frac{2}{3}\right)^{3} \quad\left(\bmod p^{3}\right) \tag{1.9}
\end{equation*}
$$

where $\Gamma_{p}(x)$ denotes the $p$-adic Gamma function.

Corollary 1.6. Let $p \equiv 2(\bmod 3)$ be an odd prime. Then

$$
\sum_{k=0}^{(2 p-1) / 3}(6 k+1) \frac{\left(\frac{1}{3}\right)_{k}^{3}}{k!^{3}} \equiv-6 \Gamma_{p}\left(\frac{2}{3}\right)^{3} \quad\left(\bmod p^{2}\right)
$$

## 2. Proof of Theorem 1.1

We first give the following result, which is due to the present authors [6, Lemma 2.1].
Lemma 2.1. Let $d, m$ and $n$ be positive integers with $m \leqslant n-1$. Let $r$ be an integer satisfying $d m \equiv-r(\bmod n)$. Then, for $0 \leqslant k \leqslant m$ and any indeterminate $a$, we have

$$
\frac{\left(a q^{r} ; q^{d}\right)_{m-k}}{\left(q^{d} / a ; q^{d}\right)_{m-k}} \equiv(-a)^{m-2 k} \frac{\left(a q^{r} ; q^{d}\right)_{k}}{\left(q^{d} / a ; q^{d}\right)_{k}} q^{m(d m-d+2 r) / 2+(d-r) k} \quad\left(\bmod \Phi_{n}(q)\right)
$$

If $\operatorname{gcd}(d, n)=1$, then the above $q$-congruence also holds for $a=1$.
We also need the following result to prove the truth of (1.4) modulo $[n]$.
Lemma 2.2. Let $n$ be a positive integer coprime with 6 , and let $a$ be an indeterminate. Then

$$
\begin{align*}
& \sum_{k=0}^{m}(-1)^{k}[6 k+1] \frac{\left(a q ; q^{3}\right)_{k}\left(q / a ; q^{3}\right)_{k}\left(q ; q^{3}\right)_{k}}{\left(a q^{3} ; q^{3}\right)_{k}\left(q^{3} / a ; q^{3}\right)_{k}\left(q^{3} ; q^{3}\right)_{k}} \equiv 0 \quad(\bmod [n]),  \tag{2.1}\\
& \sum_{k=0}^{n-1}(-1)^{k}[6 k+1] \frac{\left(a q ; q^{3}\right)_{k}\left(q / a ; q^{3}\right)_{k}\left(q ; q^{3}\right)_{k}}{\left(a q^{3} ; q^{3}\right)_{k}\left(q^{3} / a ; q^{3}\right)_{k}\left(q^{3} ; q^{3}\right)_{k}} \equiv 0 \quad(\bmod [n]), \tag{2.2}
\end{align*}
$$

where $m=(n-1) / 3$ if $n \equiv 1(\bmod 6)$, and $m=(2 n-1) / 3$ if $n \equiv 5(\bmod 6)$.
Proof. Clearly, Lemma 2.2 is true for $n=1$. We now assume that $n>1$. By Lemma 2.1, we can easily deduce that the $k$-th and $(m-k)$-th terms on the left-hand side of (2.1) cancel each other modulo $\Phi_{n}(q)$, i.e.,

$$
\begin{aligned}
& (-1)^{m-k} \frac{[6(m-k)+1]\left(a q ; q^{3}\right)_{m-k}\left(q / a ; q^{3}\right)_{m-k}\left(q ; q^{3}\right)_{m-k}}{\left(a q^{3} ; q^{3}\right)_{m-k}\left(q^{3} / a ; q^{3}\right)_{m-k}\left(q^{3} ; q^{3}\right)_{m-k}} \\
& \equiv-(-1)^{k}[6 k+1] \frac{\left(a q ; q^{3}\right)_{k}\left(q / a ; q^{3}\right)_{k}\left(q ; q^{3}\right)_{k}}{\left(a q^{3} ; q^{3}\right)_{k}\left(q^{3} / a ; q^{3}\right)_{k}\left(q^{3} ; q^{3}\right)_{k}} \quad\left(\bmod \Phi_{n}(q)\right) .
\end{aligned}
$$

Thus, we have proved that the $q$-congruence (2.1) holds modulo $\Phi_{n}(q)$. Since the numerator contains the factor $\left(q ; q^{3}\right)_{k}$, it is easy to see that the $k$-th summand in (2.2) is congruent to 0 modulo $\Phi_{n}(q)$ for $m<k \leqslant n-1$. This proves the $q$-congruence (2.2) modulo $\Phi_{n}(q)$.

Now we can prove (2.1) and (2.2) modulo $[n]$. Let $\zeta \neq 1$ be an $n$-th root of unity, not necessarily primitive. In other words, $\zeta$ is a primitive root of unity of degree $s$ satisfying
$s \mid n$ and $s>1$. Let $c_{q}(k)$ stand for the $k$-th term on the left-hand side of (2.2), i.e.,

$$
c_{q}(k)=(-1)^{k}[6 k+1] \frac{\left(a q ; q^{3}\right)_{k}\left(q / a ; q^{3}\right)_{k}\left(q ; q^{3}\right)_{k}}{\left(a q^{3} ; q^{3}\right)_{k}\left(q^{3} / a ; q^{3}\right)_{k}\left(q^{3} ; q^{3}\right)_{k}}
$$

Taking $n=s$ in the $q$-congruences (2.1) and (2.2) modulo $\Phi_{n}(q)$, we get

$$
\sum_{k=0}^{s_{1}} c_{\zeta}(k)=\sum_{k=0}^{s-1} c_{\zeta}(k)=0
$$

where $s_{1}=(s-1) / 3$ if $s \equiv 1(\bmod 6)$, and $s_{1}=(2 s-1) / 3$ if $s \equiv 5(\bmod 6)$. It is not difficult to see that

$$
\lim _{q \rightarrow \zeta} \frac{c_{q}(\ell s+k)}{c_{q}(\ell s)}=\frac{c_{\zeta}(\ell s+k)}{c_{\zeta}(\ell s)}=c_{\zeta}(k) .
$$

Therefore,

$$
\begin{equation*}
\sum_{k=0}^{n-1} c_{\zeta}(k)=\sum_{\ell=0}^{n / s-1} \sum_{k=0}^{s-1} c_{\zeta}(\ell s+k)=\sum_{\ell=0}^{n / s-1} c_{\zeta}(\ell s) \sum_{k=0}^{s-1} c_{\zeta}(k)=0 \tag{2.3}
\end{equation*}
$$

and

$$
\sum_{k=0}^{m} c_{\zeta}(k)=\sum_{\ell=0}^{\left(m-s_{1}\right) / s-1} c_{\zeta}(\ell s) \sum_{k=0}^{s-1} c_{\zeta}(k)+c_{\zeta}\left(m-s_{1}\right) \sum_{k=0}^{s_{1}} c_{\zeta}(k)=0 .
$$

This proves that both of the sums $\sum_{k=0}^{n-1} c_{q}(k)$ and $\sum_{k=0}^{m} c_{q}(k)$ are divisible by $\Phi_{s}(q)$ for any divisor $s>1$ of $n$. Since

$$
\prod_{s \mid n, s>1} \Phi_{s}(q)=[n]
$$

we complete the proof of (2.1) and (2.2).
Like most of the $q$-supercongruences in [9], we have the following parametric generalization of Theorem 1.1.

Theorem 2.3. Let $n \equiv 1(\bmod 6)$ be a positive integer. Then, modulo $[n]\left(1-a q^{n}\right)\left(a-q^{n}\right)$,

$$
\begin{equation*}
\sum_{k=0}^{M}(-1)^{k}[6 k+1] \frac{\left(a q ; q^{3}\right)_{k}\left(q / a ; q^{3}\right)_{k}\left(q ; q^{3}\right)_{k}}{\left(a q^{3} ; q^{3}\right)_{k}\left(q^{3} / a ; q^{3}\right)_{k}\left(q^{3} ; q^{3}\right)_{k}} \equiv q^{2(n-1) / 3}[n] \frac{\left(-q^{3} ; q^{3}\right)_{(n-1) / 3}}{\left(-q^{2} ; q^{3}\right)_{(n-1) / 3}} \tag{2.4}
\end{equation*}
$$

where $M=(n-1) / 3$ or $M=n-1$.
Proof. We start with the following summation for a very-well-poised ${ }_{6} \phi_{5}$ series (see [2, Appendix (II.20)]):

$$
\begin{align*}
& \sum_{k=0}^{\infty} \frac{\left(1-a q^{2 k}\right)(a ; q)_{k}(b ; q)_{k}(c ; q)_{k}(d ; q)_{k}}{(1-a)(q ; q)_{k}(a q / b ; q)_{k}(a q / c ; q)_{k}(a q / d ; q)_{k}}\left(\frac{a q}{b c d}\right)^{k} \\
& =\frac{(a q ; q)_{\infty}(a q / b c ; q)_{\infty}(a q / b d ; q)_{\infty}(a q / c d ; q)_{\infty}}{(a q / b ; q)_{\infty}(a q / c ; q)_{\infty}(a q / d ; q)_{\infty}(a q / b c d ; q)_{\infty}} \tag{2.5}
\end{align*}
$$

(The infinite series in (2.5) converges for $|q|<1$ and $|a q / b c d|<1$.) Specializing (2.5) by letting $q \mapsto q^{3}, a=q, b=q^{1-n}, c=q^{1+n}$, and $d=-q^{2}$, we have

$$
\begin{aligned}
& \sum_{k=0}^{(n-1) / 3}(-1)^{k}[6 k+1] \frac{\left(q^{1-n} ; q^{3}\right)_{k}\left(q^{1+n} ; q^{3}\right)_{k}\left(q ; q^{3}\right)_{k}}{\left(q^{3-n} ; q^{3}\right)_{k}\left(q^{3+n} ; q^{3}\right)_{k}\left(q^{3} ; q^{3}\right)_{k}} \\
& =\frac{\left(q^{4} ; q^{3}\right)_{(n-1) / 3}\left(-q^{1-n} ; q^{3}\right)_{(n-1) / 3}}{\left(q^{3-n} ; q^{3}\right)_{(n-1) / 3}\left(-q^{2} ; q^{3}\right)_{(n-1) / 3}} \\
& =q^{2(1-n) / 3}[n] \frac{\left(-q^{3} ; q^{3}\right)_{(n-1) / 3}}{\left(-q^{2} ; q^{3}\right)_{(n-1) / 3}} .
\end{aligned}
$$

This shows that both sides of (2.4) are equal for $a=q^{-n}$ and $a=q^{n}$. This means that the congruence (2.4) holds modulo $1-a q^{n}$ and $a-q^{n}$.
Moreover, by Lemma 2.2, the left-hand side of (2.4) is congruent to 0 modulo [ $n$ ]. Since $1-q^{n}$ ( $n$ is odd) is relatively prime to $1+q^{k}$, we see that the right-hand side of (2.4) is also congruent to 0 modulo $[n]$. Noticing that $1-a q^{n}, a-q^{n}$, and $[n]$ are pairwise coprime polynomials in $q$, we finish the proof of the theorem.

Proof of Theorem 1.1. Since $\left(1-q^{n}\right)^{2}$ contains the factor $\Phi_{n}(q)^{2}$ and $\left(q^{3} ; q^{3}\right)_{M}$ is coprime with $\Phi_{n}(q)$, letting $a=1$ in (2.4), we conclude that (1.4) is true modulo $\Phi_{n}(q)^{3}$. Note that Lemma 2.2 also holds for $a=1$. Namely, the $q$-congruence (1.4) is true modulo $[n]$ and is therefore also true modulo $[n] \Phi_{n}(q)^{2}$. This completes the proof.

## 3. Proof of Theorem 1.2

We first give the following parametric generalization of Theorem 1.2: for $n \equiv 1(\bmod 3)$, modulo $\Phi_{n}(q)\left(1-a q^{n}\right)\left(a-q^{n}\right)$,

$$
\begin{equation*}
\sum_{k=0}^{M}[6 k+1] \frac{\left(a q ; q^{3}\right)_{k}\left(q / a ; q^{3}\right)_{k}\left(q ; q^{3}\right)_{k}}{\left(a q^{3} ; q^{3}\right)_{k}\left(q^{3} / a ; q^{3}\right)_{k}\left(q^{3} ; q^{3}\right)_{k}} \equiv q^{2(n-1) / 3}[n] \frac{\left(q^{3} ; q^{3}\right)_{(n-1) / 3}}{\left(q^{2} ; q^{3}\right)_{(n-1) / 3}} \tag{3.1}
\end{equation*}
$$

where $M=(n-1) / 3$ or $M=n-1$. The proof of (3.1) is analogous to that of (2.4). This time, we make the substitutions $q \mapsto q^{3}, a=q, b=q^{1-n}, c=q^{1+n}$, and $d=q^{2}$ in (2.5) to obtain

$$
\begin{aligned}
& \sum_{k=0}^{(n-1) / 3}[6 k+1] \frac{\left(q^{1-n} ; q^{3}\right)_{k}\left(q^{1+n} ; q^{3}\right)_{k}\left(q ; q^{3}\right)_{k}}{\left(q^{3-n} ; q^{3}\right)_{k}\left(q^{3+n} ; q^{3}\right)_{k}\left(q^{3} ; q^{3}\right)_{k}} \\
& \quad=\frac{\left(q^{4} ; q^{3}\right)_{(n-1) / 3}\left(q^{1-n} ; q^{3}\right)_{(n-1) / 3}}{\left(q^{3-n} ; q^{3}\right)_{(n-1) / 3}\left(q^{2} ; q^{3}\right)_{(n-1) / 3}} \\
& \quad=q^{2(1-n) / 3}[n] \frac{\left(q^{3} ; q^{3}\right)_{(n-1) / 3}}{\left(q^{2} ; q^{3}\right)_{(n-1) / 3}} .
\end{aligned}
$$

Thus, the two sides of (3.1) are equal for $a=q^{-n}$ and $a=q^{n}$. This means that the congruence (3.1) is true modulo $1-a q^{n}$ and $a-q^{n}$.

Moreover, by Lemma 2.1, for $m=(n-1) / 3$ we can deduce that the $k$-th and $(m-k)$-th terms on the left-hand side of (3.1) cancel each other modulo $\Phi_{n}(q)$, i.e.,

$$
\begin{aligned}
& \frac{[6(m-k)+1]\left(a q ; q^{3}\right)_{m-k}\left(q / a ; q^{3}\right)_{m-k}\left(q ; q^{3}\right)_{m-k}}{\left(a q^{3} ; q^{3}\right)_{m-k}\left(q^{3} / a ; q^{3}\right)_{m-k}\left(q^{3} ; q^{3}\right)_{m-k}} \\
& \equiv-[6 k+1] \frac{\left(a q ; q^{3}\right)_{k}\left(q / a ; q^{3}\right)_{k}\left(q ; q^{3}\right)_{k}}{\left(a q^{3} ; q^{3}\right)_{k}\left(q^{3} / a ; q^{3}\right)_{k}\left(q^{3} ; q^{3}\right)_{k}} \quad\left(\bmod \Phi_{n}(q)\right)
\end{aligned}
$$

(Note that we have utilized the fact that $q^{n / 2} \equiv-1\left(\bmod \Phi_{n}(q)\right)$ for even $n$.) This proves (3.1) modulo $\Phi_{n}(q)$.

Finally, letting $a=1$ in (3.1), we arrive at the $q$-supercongruence (1.5).

## 4. Proof of Theorems 1.3 and 1.4

Proof of Theorem 1.3. We first give a parametric generalization of Theorem 1.4: for $n \equiv 5$ $(\bmod 6)$, modulo $[n]\left(1-a q^{2 n}\right)\left(a-q^{2 n}\right)$,

$$
\begin{equation*}
\sum_{k=0}^{M}(-1)^{k}[6 k+1] \frac{\left(a q ; q^{3}\right)_{k}\left(q / a ; q^{3}\right)_{k}\left(q ; q^{3}\right)_{k}}{\left(a q^{3} ; q^{3}\right)_{k}\left(q^{3} / a ; q^{3}\right)_{k}\left(q^{3} ; q^{3}\right)_{k}} \equiv-q^{2(1-2 n) / 3}[2 n] \frac{\left(-q^{3} ; q^{3}\right)_{(2 n-1) / 3}}{\left(-q^{2} ; q^{3}\right)_{(2 n-1) / 3}} \tag{4.1}
\end{equation*}
$$

where $M=(2 n-1) / 3$ or $n-1$. The proof of (4.1) is very similar to that of (2.4). Specializing (2.5) by $q \mapsto q^{3}, a=q, b=q^{1-2 n}, c=q^{1+2 n}$, and $d=-q^{2}$, we have

$$
\begin{aligned}
& \sum_{k=0}^{(2 n-1) / 3}(-1)^{k}[6 k+1] \frac{\left(q^{1-2 n} ; q^{3}\right)_{k}\left(q^{1+2 n} ; q^{3}\right)_{k}\left(q ; q^{3}\right)_{k}}{\left(q^{3-2 n} ; q^{3}\right)_{k}\left(q^{3+2 n} ; q^{3}\right)_{k}\left(q^{3} ; q^{3}\right)_{k}} \\
& \quad=\frac{\left(q^{4} ; q^{3}\right)_{(2 n-1) / 3}\left(-q^{1-2 n} ; q^{3}\right)_{(2 n-1) / 3}}{\left(q^{3-2 n} ; q^{3}\right)_{(2 n-1) / 3}\left(-q^{2} ; q^{3}\right)_{(2 n-1) / 3}} \\
& \quad=-q^{2(1-2 n) / 3}[2 n] \frac{\left(-q^{3} ; q^{3}\right)_{(2 n-1) / 3}}{\left(-q^{2} ; q^{3}\right)_{(2 n-1) / 3}} .
\end{aligned}
$$

This proves the congruence (4.1) modulo $1-a q^{2 n}$ and $a-q^{2 n}$. Moreover, the proof of (4.1) modulo $[n]$ follows from Lemma 2.2.

Finally, taking $a=1$ in (4.1), we arrive at the desired $q$-supercongruence (1.7).
Proof of Theorem 1.4. We have the following congruence with a parameter $a$ : for $n \equiv 5$ $(\bmod 6)$, modulo $\left(1-a q^{2 n}\right)\left(a-q^{2 n}\right)$,

$$
\begin{equation*}
\sum_{k=0}^{M}[6 k+1] \frac{\left(a q ; q^{3}\right)_{k}\left(q / a ; q^{3}\right)_{k}\left(q ; q^{3}\right)_{k}}{\left(a q^{3} ; q^{3}\right)_{k}\left(q^{3} / a ; q^{3}\right)_{k}\left(q^{3} ; q^{3}\right)_{k}} \equiv q^{2(1-2 n) / 3}[2 n] \frac{\left(q^{3} ; q^{3}\right)_{(2 n-1) / 3}}{\left(q^{2} ; q^{3}\right)_{(2 n-1) / 3}} \tag{4.2}
\end{equation*}
$$

where $M=(2 n-1) / 3$ or $M=n-1$. The congruence (4.2) is equivalent to say that both sides are equal for $a=q^{2 n}$ and $a=q^{-2 n}$. But this again follows from (2.5) by performing the parameter substitutions $q \mapsto q^{3}, a=q, b=q^{1-2 n}, c=q^{1+2 n}$, and $d=q^{2}$. At last, letting $a=1$ in (4.2), we get (1.8).

## 5. Proof of Corollaries 1.5 and 1.6

Proof of Corollary 1.5. Letting $n=p$, where $p$ is a prime congruent to $1(\bmod 3)$, and $q \rightarrow 1$ in (1.5), we obtain

$$
\sum_{k=0}^{(p-1) / 3}(6 k+1) \frac{\left(\frac{1}{3}\right)_{k}^{3}}{k!^{3}} \equiv p \frac{\left(\frac{p-1}{3}\right)!}{\left(\frac{2}{3}\right)_{(p-1) / 3}} \quad\left(\bmod p^{3}\right)
$$

Recall that the $p$-adic Gamma function has the properties: for any $p$-adic integer $x$,

$$
\begin{gathered}
\frac{\Gamma_{p}(x+1)}{\Gamma_{p}(x)}= \begin{cases}-x, & p \nmid x \\
-1, & p \mid x\end{cases} \\
\Gamma_{p}(x) \Gamma_{p}(1-x)=(-1)^{a_{0}(x)},
\end{gathered}
$$

where $a_{0}(x) \in\{1,2, \ldots, p\}$ satisfies $a_{0}(x) \equiv x(\bmod p)$. Let $\Gamma(x)$ be the classical Gamma function. Then

$$
\frac{\left(\frac{p-1}{3}\right)!}{\left(\frac{2}{3}\right)_{(p-1) / 3}}=\frac{\Gamma\left(\frac{p+2}{3}\right) \Gamma\left(\frac{2}{3}\right)}{\Gamma(1) \Gamma\left(\frac{p+1}{3}\right)}=\frac{\Gamma_{p}\left(\frac{p+2}{3}\right) \Gamma_{p}\left(\frac{2}{3}\right)}{\Gamma_{p}(1) \Gamma_{p}\left(\frac{p+1}{3}\right)}=(-1)^{(2 p+1) / 3} \frac{\Gamma_{p}\left(\frac{p+2}{3}\right) \Gamma_{p}\left(\frac{2-p}{3}\right) \Gamma_{p}\left(\frac{2}{3}\right)}{\Gamma_{p}(1)} .
$$

By [17, Theorem 14]), for $p \geqslant 5$, we have

$$
\begin{equation*}
\Gamma_{p}(a+m p) \equiv \Gamma_{p}(a)+\Gamma_{p}^{\prime}(a) m p \quad\left(\bmod p^{2}\right), \tag{5.1}
\end{equation*}
$$

and so $\Gamma_{p}\left(\frac{p+2}{3}\right) \Gamma_{p}\left(\frac{2-p}{3}\right) \equiv \Gamma_{p}\left(\frac{2}{3}\right)^{2}\left(\bmod p^{2}\right)$. The proof then follows from the fact $\Gamma_{p}(1)=$ $(-1)^{(2 p+1) / 3}=-1$.

Proof of Corollary 1.6. Letting $n=p$, where $p$ is an odd prime congruent to $2(\bmod 3)$, and $q \rightarrow 1$ in (1.8), we obtain

$$
\sum_{k=0}^{(2 p-1) / 3}(6 k+1) \frac{\left(\frac{1}{3}\right)_{k}^{3}}{k!^{3}} \equiv 2 p \frac{\left(\frac{2 p-1}{3}\right)!}{\left(\frac{2}{3}\right)_{(2 p-1) / 3}} \quad\left(\bmod p^{2}\right)
$$

Further,

$$
\frac{p\left(\frac{2 p-1}{3}\right)!}{\left(\frac{2}{3}\right)_{(2 p-1) / 3}}=p \frac{\Gamma\left(\frac{2 p+2}{3}\right) \Gamma\left(\frac{2}{3}\right)}{\Gamma(1) \Gamma\left(\frac{2 p+1}{3}\right)}=3 \frac{\Gamma_{p}\left(\frac{2 p+2}{3}\right) \Gamma_{p}\left(\frac{2}{3}\right)}{\Gamma_{p}(1) \Gamma_{p}\left(\frac{2 p+1}{3}\right)}=3 \frac{\Gamma_{p}\left(\frac{2 p+2}{3}\right) \Gamma_{p}\left(\frac{2-2 p}{3}\right) \Gamma_{p}\left(\frac{2}{3}\right)}{\Gamma_{p}(1)},
$$

and by $(5.1), \Gamma_{p}\left(\frac{2 p+2}{3}\right) \Gamma_{p}\left(\frac{2-2 p}{3}\right) \equiv \Gamma_{p}\left(\frac{2}{3}\right)^{2}\left(\bmod p^{2}\right)$.

## 6. Some open problems

Although the $q$-supercongruence (1.5) is not true modulo $[n]$ in general, using the same arguments as in the proof of Theorem 1.1, we can show that, for $n \equiv 1(\bmod 3)$ and
$n>1$,

$$
\begin{equation*}
\sum_{k=0}^{M}[6 k+1] \frac{\left(q ; q^{3}\right)_{k}^{3}}{\left(q^{3} ; q^{3}\right)_{k}^{3}} \equiv 0 \quad\left(\bmod \prod_{\substack{j \mid n, j>1 \\ j \equiv 1 \bmod 3}} \Phi_{j}(q)\right) \tag{6.1}
\end{equation*}
$$

where $M=(n-1) / 3$ or $M=n-1$. Letting $n=p^{r}$ and $q \rightarrow 1$ in the above $q$-congruence, we obtain the following result: for any prime $p \equiv 1(\bmod 3)$ and integer $r \geqslant 1$,

$$
\begin{equation*}
\sum_{k=0}^{\left(p^{r}-1\right) / d}(6 k+1) \frac{\left(\frac{1}{3}\right)_{k}^{3}}{k!^{3}} \equiv 0 \quad\left(\bmod p^{r}\right) \tag{6.2}
\end{equation*}
$$

where $d=1,3$. Inspired by Dwork's work [1] and Swisher's conjectures [23, (A.3)-(L.3)], we propose the following conjecture on Dwork-type supercongruences, which is a uniform generalization of (1.9) and (6.2).
Conjecture 6.1. Let $p \equiv 1(\bmod 3)$ be a prime and let $r \geqslant 1$. Then

$$
\sum_{k=0}^{\left(p^{r}-1\right) / d}(6 k+1) \frac{\left(\frac{1}{3}\right)_{k}^{3}}{k!^{3}} \equiv p \Gamma_{p}\left(\frac{2}{3}\right)^{3} \sum_{k=0}^{\left(p^{r-1}-1\right) / d}(6 k+1) \frac{\left(\frac{1}{3}\right)_{k}^{3}}{k!^{3}}\left(\bmod p^{3 r}\right)
$$

where $d=1,3$.
Note that the following Dwork-type supercongruence (see [23, (E.3)] and [4, Conjecture 5.3]) has been proved by the first author and Zudilin [9, Theorem 3.5] by establishing its $q$-analogue:

For any prime $p \equiv 1(\bmod 3)$ and integer $r \geqslant 1$,

$$
\begin{equation*}
\sum_{k=0}^{\left(p^{r}-1\right) / d}(-1)^{k}(6 k+1) \frac{\left(\frac{1}{3}\right)_{k}^{3}}{k!^{3}} \equiv p \sum_{k=0}^{\left(p^{r-1}-1\right) / d}(-1)^{k}(6 k+1) \frac{\left(\frac{1}{3}\right)_{k}^{3}}{k!^{3}} \quad\left(\bmod p^{3 r}\right) \tag{6.3}
\end{equation*}
$$

where $d=1,3$.
We believe that the following new $q$-analogue of (6.3), which is also a generalization of Theorem 1.1, should be true.

Conjecture 6.2. Let $n>1$ be an integer with $n \equiv 1(\bmod 6)$ and let $r \geqslant 1$. Then, modulo $\left[n^{r}\right] \prod_{j=1}^{r} \Phi_{n^{j}}(q)^{2}$,

$$
\begin{aligned}
\sum_{k=0}^{\left(n^{r}-1\right) / d}(-1)^{k}[6 k+1] \frac{\left(q ; q^{3}\right)_{k}^{3}}{\left(q^{3} ; q^{3}\right)_{k}^{3}} \equiv & q^{2(1-n) / 3}[n] \frac{\left(-q^{3} ; q^{3}\right)_{\left(n^{r}-1\right) / 3}\left(-q^{2 n} ; q^{3 n}\right)_{\left(n^{r-1}-1\right) / 3}}{\left(-q^{2} ; q^{3}\right)_{\left(n^{r}-1\right) / 3}\left(-q^{3 n} ; q^{3 n}\right)_{\left(n^{r-1}-1\right) / 3}} \\
& \times \sum_{k=0}^{\left(n^{r-1}-1\right) / d}(-1)^{k}[6 k+1]_{q^{n}} \frac{\left(q^{n} ; q^{3 n}\right)_{k}^{3}}{\left(q^{3 n} ; q^{3 n}\right)_{k}^{3}},
\end{aligned}
$$

where $d=1,3$.
Likewise, we conjecture a Dwork-type generalization of Theorem 1.2 as follows.

Conjecture 6.3. Let $n>1$ be an integer with $n \equiv 1(\bmod 3)$ and let $r \geqslant 1$. Then, modulo $\prod_{j=1}^{r} \Phi_{n^{j}}(q)^{3}$,

$$
\begin{aligned}
\sum_{k=0}^{\left(n^{r}-1\right) / d}[6 k+1] \frac{\left(q ; q^{3}\right)_{k}^{3}}{\left(q^{3} ; q^{3}\right)_{k}^{3}} \equiv & q^{2(1-n) / 3}[n] \frac{\left(q^{3} ; q^{3}\right)_{\left(n^{r}-1\right) / 3}\left(q^{2 n} ; q^{3 n}\right)_{\left(n^{r-1}-1\right) / 3}}{\left(q^{2} ; q^{3}\right)_{\left(n^{r}-1\right) / 3}\left(q^{3 n} ; q^{3 n}\right)_{\left(n^{r-1}-1\right) / 3}} \\
& \times \sum_{k=0}^{\left(n^{r-1}-1\right) / d}[6 k+1]_{q^{n}} \frac{\left(q^{n} ; q^{3 n}\right)_{k}^{3}}{\left(q^{3 n} ; q^{3 n}\right)_{k}^{3}},
\end{aligned}
$$

where $d=1,3$.

Acknowledgement. The authors thank the anonymous referee for a careful reading of a previous version of this paper.

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## Statements and Declarations

The second author is partially supported by FWF Austrian Science Fund (grant P 32305). The authors declare no conflicts of interest. All authors contributed to the preparation, writing, reviewing and editing of the manuscript. Data sharing not applicable to this article.

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